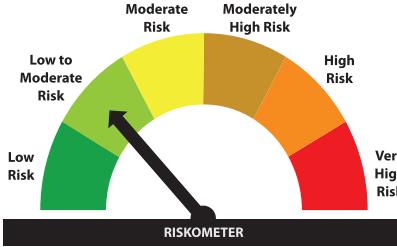
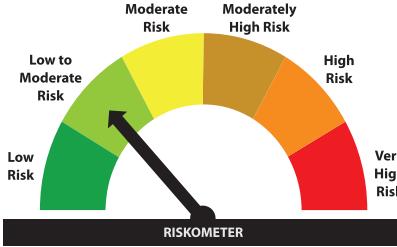


KEY INFORMATION MEMORANDUM

SHRIRAM MONEY MARKET FUND

(An open-ended debt scheme investing in money market instruments. A relatively low-interest rate risk and moderate credit risk)

Product Labelling

This product is suitable for investors who are seeking*:	Scheme Risk-o-meter	Benchmark Riskometer
<ul style="list-style-type: none"> Regular Income over short term To generate income by investing in money market instrument. 	 <p>The risk of the Scheme is Low to Moderate</p>	 <p>The risk of the Benchmark is Low to Moderate As per AMFI Tier 1 Benchmark i.e Nifty Money Market Index A-I</p>

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics and the same may vary post NFO when the actual investments are made.

Offer for Units of INR 1000/- per unit for cash during the New Fund Offer period and at NAV based prices upon re-opening.

New Fund Offer opens on:	January 19, 2026
New Fund Offer closes on:	January 21, 2026
Scheme Re-opens for continuous sale and repurchase on:	January 28, 2026

Potential Risk Class Matrix (PRC)			
Credit Risk →	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Interest Rate Risk ↓			
Relatively Low (Class I)		B-1	
Moderate (Class II)			
Relatively High (Class III)			

Note: Any modification to the New Fund Offer Period shall be announced by way of an Addendum uploaded on website of the AMC

Name of Mutual Fund	Name of Asset Management Company	Name of Trustee Company
Shriram Mutual Fund Shriram House No. 4, Burkit Road, T. Nagar, Chennai - 600 017	Shriram Asset Management Company Limited CIN: L65991MH1994PLC07987 Registered Office: 217, 2nd Floor, Swastik Chambers, Near Junction of S.T. & C.S.T. Road, Chembur, Mumbai - 400 071, India Website: www.shriramamc.in	Shriram Trustees Limited CIN: U66190TN2024PLC173213 Registered Office: Shriram House, No.4 (67), Burkit Road, T. Nagar, Chennai - 600017, Tamil Nadu, India Website: www.shriramamc.in

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.shriramamc.in.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The Key Information Memorandum is dated January 01, 2026.

Investment Objective

The investment objective of the Scheme is to generate regular income through investment in a portfolio comprising of money market instruments with residual maturity up to 1 year.

There is no assurance that the investment objective of the Scheme will be achieved.

Asset Allocation Pattern of the scheme

Under normal circumstances, the anticipated asset allocation pattern of the Scheme would be as under:

Instruments	Indicative Allocations (% of total assets)	
	Minimum	Maximum
Money market instruments*	0	100%

*Money market instruments (as defined by SEBI / RBI from time to time) having maturity up to 1 Year.

- The Scheme retains the flexibility to invest across all the securities in the Debt and Money Market Instruments.
- In line with Para 4.5 of SEBI Master Circular for Mutual Funds dated June 27, 2024, Securities in which investment is made for the purpose of ensuring liquidity (debt and money market instruments) are those that fall within the definition of liquid assets which includes Cash, Government Securities, T-bills and Repo on Government Securities.
- Investment in Debt Derivatives shall be up to 50% of net assets of the Scheme.
- Pursuant to SEBI Master Circular for Mutual Funds dated June 27, 2024 read with AMFI Best Practices Guidelines circular ref. no. 135/BP/93/2021-22 dated July 24, 2021, the Scheme shall hold- (i) at least 10% of its net assets in liquid assets; OR (ii) liquid assets basis Liquidity Ratio based on 30 - day Redemption at Risk (i.e LR – RaR), whichever is higher. For this purpose, "liquid assets" shall include Cash, Government Securities, T-bills and Repo on Government Securities. For ensuring liquidity the scheme will undertake the investment in liquid assets as per SEBI (Mutual Funds) Regulations, 1996. In addition to the above, the Scheme shall also maintain the liquidity ratio based on 30-day Conditional Redemption at Risk (LR-CRaR) in 'eligible assets' for LR-CRaR, in accordance with the guidelines / computation methodology (including definition of eligible assets for this purpose), as provided in the AMFI Best Practices Guidelines circular dated July 24, 2021. It shall be ensured that the liquid assets / eligible assets are maintained to the extent of the LR-RaR and LR-CRaR ratios. In case, the exposure in such liquid assets / eligible assets falls below the prescribed threshold levels of net assets of the Scheme, the AMC shall ensure that the LR-RaR and LR-CRaR ratios are restored to 100% of the required level(s) by ensuring that the net inflows (through net subscription / accruals / maturity & sale proceeds) into the Scheme are used for restoring the ratios before making any new purchases outside 'Liquid Assets / Eligible Assets' as specified in the above referred circular(s).
- As per SEBI Master Circular for Mutual Funds dated June 27, 2024, the exposure in Credit Default Swaps should not exceed 10% of AUM of the scheme and shall be within the overall limit of derivatives exposure.
- The Scheme may invest in other scheme(s) under the same AMC or any other mutual fund without charging any fees, provided that aggregate inter-scheme investment made by all Schemes under the same AMC or in Schemes under the management of any other asset management shall not exceed 5% of the net asset value of the Mutual Fund. Further, the Scheme shall not invest in any fund of funds scheme.
- Investment in debt instruments having structured obligations / credit enhancements as per para 12.3 of Master Circular for Mutual Funds dated June 27, 2024. The investment of the Scheme in the following instruments shall not exceed 10% of the debt portfolio of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Scheme.
- The cumulative gross exposure through money market instruments, repo in corporate debt securities, credit default swaps, derivative positions, short term deposits, units of mutual funds, securitized

debt and such other securities/assets as may be permitted by SEBI from time to time subject to regulatory approvals, if any should not exceed 100% of the net assets of the Scheme as per Clause 12.24 of SEBI Master Circular for Mutual Funds dated June 27, 2024.

Pursuant to para 12.25.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days: a) Government Securities; b) T-Bills; and c) Repo on Government securities.

- In line with SEBI circular no. SEBI/HO/IMD/ IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025; deployment of the funds garnered in an NFO shall be made within 30 business days from the date of allotment of units. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee. The Investment Committee, after examining the root cause for delay, may extend the timeline by 30 business days. In case the funds are not deployed as per the asset allocation mentioned above and as per the aforesaid mandated plus extended timelines, the AMC shall comply with the provisions mentioned in SEBI circular no. SEBI/HO/IMD/ IMD-PoD 1/P/CIR/2025/23 dated February 27, 2025.
- The investment in Repo / Reverse Repo in Corporate debt securities (including listed AA and above rated corporate debt securities and Commercial Papers (CPs) and Certificate of Deposits (CDs)) with maturity up to 1 year shall be up to 10% of the net assets of the scheme.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sl. No.	Type of Instrument	Percentage of Exposure	Circular references*
1	Debt Derivatives (Hedging and Non hedging)	Upto 50% of the net assets of the Scheme	Clause 7.5, 7.6 and 12.25 of SEBI Master Circular for Mutual Funds dated June 27, 2024
2	Liquid Assets (Cash, Government Securities, T-bills and Repo on Government Securities)	Atleast 10% of the net assets of the Scheme	Clause 4.6.1 of SEBI Master Circular for Mutual Funds dated June 27, 2024
3	Credit Default Swaps	Upto 10% of net assets of the Scheme	Clause 12.28 of SEBI Master Circular for Mutual Funds dated June 27, 2024
4	Repo / Reverse Repo in corporate debt securities	Upto 10% of the net assets of the Scheme	Clause 12.18.1.1 of SEBI Master Circular for Mutual Funds dated June 27, 2024
5	Short Term Deposits	15% of net assets of all scheduled commercial banks put together and upto 10% of net assets in single scheduled commercial bank.	Clause 12.16 of SEBI Master Circular for Mutual Funds dated June 27, 2024
6	Mutual Fund Units	The Scheme may invest in units of schemes of Shriram Mutual Fund and/or any other mutual fund subject to the overall limit of upto 5% of the net asset value of the mutual fund.	Clause 4 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996.
7	Units of CDMDF	0.25% of the net assets of the Scheme	Clause 16A.2.4.2 of SEBI Master Circular for Mutual Funds dated June 27, 2024

Sl. No.	Type of Instrument	Percentage of Exposure	Circular references*
8	Interest Rate Futures (imperfect hedging)	Upto 20% of the net assets of the Scheme	Clause 12.25.9 of SEBI Master Circular for Mutual Funds dated June 27, 2024
9	Debt instruments having Structured Obligations / Credit Enhancements	Upto 10% of the debt portfolio assets and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Scheme	Clause 12.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024

The scheme shall not intent to undertake / invest / engage in:

- Securitised debt
- foreign securities
- Debt instruments having Special Features
- REIT and InvIT
- AT1 and AT2 bonds
- Short selling securities

Short Term Defensive Considerations: Subject to SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Investors. As per clause 1.14.1.2.b of SEBI Master Circular for Mutual Funds dated June 27, 2024, as may be amended from time to time, such changes in the investment pattern will be for short term and for defensive consideration only. In the event of change in the asset allocation, the fund manager will carry out portfolio rebalancing within 30 calendar days from the date of such deviation or such other timeline as may be prescribed by SEBI from time to time.

Portfolio Rebalancing: Pursuant to Paragraph 2.9 of SEBI Master Circular for Mutual Funds dated June 27, 2024 read with SEBI circular no. SEBI/HO/IMD/PoD2/P/CIR/2025/92 dated June 26, 2025, in the event of any deviations from the mandated asset allocation as mentioned above due to passive breaches, portfolio rebalancing will be carried out by the AMC/fund manager within 30 Business Days of the date of the said deviation. This rebalancing will be subject to prevailing market conditions and in the interest of the investors. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to 60 Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in para 2.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024.

Investment by scheme in the units of CDMDF

In accordance with the requirement of regulation 43A of SEBI (Mutual Funds) Regulations, 1996 read with SEBI circular no. SEBI/ HO/IMD/PoD2/P/CIR/2023/129 dated July 27, 2023 on Investment by Mutual Fund Schemes and AMCs in units of Corporate Debt Market Development Fund, scheme shall invest 25 bps of its AUM as on December 31, 2022 in the units of the Corporate Debt Market Development Fund ('CDMDF') within 10 working days from the request of CDMDF. Further, an incremental contribution to CDMDF shall be made every six months within 10 working days from the end of half year starting from December 2023 to ensure 25 bps of scheme AUM is invested in units of CDMDF. However, if AUM decreases there shall be no return or redemption from CDMDF. Contribution made to CDMDF, including the appreciations on the same, if any, shall be locked-in till winding up of the CDMDF.

However, in case of winding up of contributing Scheme, inter-scheme transfers within the same Mutual Fund or across Mutual Funds may be undertaken.

Further, investments in CDMDF units shall not be considered as violation while considering maturity restriction as applicable for various purposes (including applicable Investment limits) and the calculations of Potential Risk Class (PRC) Matrix, Risk-o-meter, Stress testing and Duration for various purposes shall be done after excluding investments in units of CDMDF.

Investment by the AMC in the units of Corporate Debt Market Development Fund

Pursuant to regulation 43A of SEBI (Mutual Funds) Regulations, 1996 and Chapter 16A of SEBI Master Circular dated June 27, 2024 on Investment by Mutual Fund Schemes and AMCs in units of Corporate Debt Market Development Fund, AMC shall make a one-time contribution equivalent to 2 bps of the AUM of the specified debt-oriented schemes as on December 31, 2022 in the units of the Corporate Debt Market Development Fund ('CDMDF') within 10 working days of request from CDMDF. Contribution made to CDMDF, including the appreciations on the same, if any, shall be locked-in till winding up of the CDMDF.

In case of delay in contribution by the Scheme and AMC, the AMC shall be liable to pay interest at fifteen percent (15%) per annum for the period of delay.

Timelines for deployment of funds collected in NFO:

In line with SEBI circular no. SEBI/HO/IMD/IMD-PoD1/P/CIR/2025/23 dated February 27, 2025, deployment of the funds garnered in NFO shall be made within 30 business days from the date of allotment of units. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee. The Investment Committee, after examining the root cause for delay may extend the timeline by 30 business days.

Investment Strategies

An open-ended actively managed debt scheme investing in money market instruments with residual maturity up to 1 year. The investment strategy would be towards generating regular returns through a portfolio of Money Market instruments seeking to capture the term and credit spreads. The Scheme shall endeavor to develop a well-diversified portfolio of money market instruments. Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising of Money Market Instruments. Every investment opportunity in Money Market Instruments would be assessed with regard to credit risk, interest rate risk, liquidity risk and concentration risk. The scheme may also undertake repo transactions in corporate debt securities, investments in credit default swaps, mutual fund units, units of CDMDF, in accordance with the directions issued by RBI and SEBI from time to time.

Derivatives Strategy: The Scheme may take exposure to debt derivatives like Interest Rate Swaps, Interest Rate Futures, Forward Rate Agreements or other derivative instruments for the purpose of hedging / non hedging, portfolio rebalancing and other purposes, as permitted by regulations from time to time. Such exposure to derivative instruments will be in line with the investment objective and overall strategy of the scheme. Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.

Portfolio Turnover: Portfolio Turnover is a term used to measure the volume of trading that occurs in a Scheme's portfolio during a given time period. The Scheme has no specific target relating to turnover of securities, given the low liquidity in the debt market. However, the turnover is guided by sale and purchase of securities arising out of the purchase and redemption of Units. Trading opportunities may arise due to changes in system liquidity, interest rate policy announced by RBI,

shifts in the yield curve, change or anticipation of change in the credit worthiness or credit rating of securities or any other factors, which may lead to increase in the turnover. The fund manager will endeavour to optimize portfolio turnover to maximize gains and minimize risks keeping in mind the cost associated with it.

Risk Control: Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising of Debt and Money Market Instruments. Every investment opportunity in Debt and Money Market Instruments would be assessed with regard to credit risk, interest rate risk, liquidity risk, derivatives risk and concentration risk.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal.

Standard Risk Factors:

- Investment in Mutual Fund units involves investment risks such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of principal.
- As the price / value / interest rate of the securities in which the Scheme invests fluctuates, the value of your investment in the scheme can go up or down depending on various factors and forces affecting capital markets and money markets.
- Past performance of the Sponsor(s)/ AMC/ Mutual Fund does not guarantee the future performance of the Scheme.
- The name of the Scheme does not in any manner indicate its quality or its future prospects and returns.
- The Sponsor(s) are not responsible or liable for any loss resulting from the operation of the Scheme beyond the initial contribution of Rs. 1 lakh each made by it towards setting up the Fund.
- The present scheme is not a guaranteed or assured return scheme.

Please read the SID carefully for details on risk factors before investment.

Scheme specific Risk Factors are summarized below:

- Risk factors associated with investing in Debt Securities and Money Market Instruments
- Risk factors associated with investment in Tri-Party Repo
- Risk factors associated with investing in Derivatives
- Risks associated with investment in Credit Default Swap
- Risk associated with Interest Rate Future
- Risk Factors associated with schemes investing in Gilt Securities
- Risks Associated with Repo Transactions in Corporate Debt Securities
- Risks Associated with Investing in Mutual Fund Schemes Risks Associated with Corporate Debt Market Development Fund (CDMDF) Default Risk:
- Risks associated with Investing in Structured Obligation (SO) & Credit Enhancement (CE) rated securities
- Risks associated with segregated portfolio

For details on risk factors and risk mitigation measures, please refer SID.

Plans/Options

The Scheme will have two Plans i.e. Direct Plan & Regular Plan.

Both the Plans offer Growth option only.

Currently, the scheme is not offering Income Distribution cum Capital Withdrawal Option (IDCW).

The Trustees reserve the right to introduce/modify investment Plans/ Options under the Scheme at a future date in accordance with SEBI (MF) Regulations.

All plans/options will have a common portfolio.

Direct Plan is only for investors who purchase /subscribe Units in the Scheme directly with the Fund and is not available for investors who

route their investments through a Distributor.

Guidelines for Processing of transactions received under Regular Plan with invalid ARN

In accordance with AMFI circular no. 135/BP/ 111 /2023-24 dated February 2, 2024, transactions received in Regular Plan with Invalid ARN shall be processed in Direct Plan of the same Scheme (even if reported in Regular Plan), applying the below logic:

Transaction Type	Primary ARN			SUB distributor ARN		EUIN*	Execution Only Mentioned	Regular Plan / Direct Plan
	Valid	Invalid	Empanelled	Valid	Invalid			
Lump Sum/ Registration	Y		Y				Y	Regular
	Y		N		Not applicable			Direct
	Y		Y	N. A.	N.A.	N.A.	N	Regular*
	Y		Y	Y		Y		Regular
		Y						Direct
Trigger	Y		Y	Y			Y	Regular
	Y		Y		Y			Direct
	Y				Not applicable			Regular
		Y			Not applicable			Direct

**DIRECT PLAN: Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Mutual Fund or through the stock exchange and is not available for investors who route their investments through a Distributor.

Applicable NAV (after the scheme opens for subscriptions and redemptions)

Cut-off time is the time before which the Investor's Application Form(s) (complete in all respects) should reach the Official Points of Acceptance to be entitled to the Applicable NAV of that Business Day.

An application will be considered accepted on a Business Day, subject to it being complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.

Cut off timing for subscriptions/purchases/switch- ins:

- In respect of valid applications received upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable.
- In respect of valid applications received after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable.
- Irrespective of the time of receipt of applications at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.

For Redemption/ Repurchases/Switch out:

- In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.
- In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.

Minimum Application Amount/ Number of Units

Minimum Application Amount/switch in	Minimum Additional Purchase Amount	Minimum Redemption/switch out amount
Rs. 1000/- and in multiples of Re 1/- thereafter	Minimum of Rs. 1000/- and in multiples of Re. 1/- thereafter	The minimum redemption amount for all plans will be Rs. 1000 and in multiples of Re. 1/- or account balance, whichever is lower.

Despatch of Redemption Request

The redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from the date of redemption or repurchase.

For list of exceptional circumstances refer para 14.1.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024.

For further details, please refer SID

Benchmark Index

The benchmark of the scheme is NIFTY Money Market Index A-I TRI. The same is in line with AMFI Tier 1 benchmark to be adopted by mutual funds and which are reflective of the category of the scheme.

Rationale for adoption of benchmark:

The Trustees have adopted NIFTY Money Market Index A-I as the benchmark index.

NIFTY Money Market Index has been selected as the benchmark as the asset allocation of the scheme will be in conformity with the asset allocation of the benchmark. Benchmark has been chosen on the basis of the investment pattern/objective of the scheme and the composition of the index.

The above benchmark is in accordance with clause 1.9 of SEBI

Master Circular dated June 27, 2024 on 'Guiding Principles for bringing uniformity in Benchmarks of Mutual Fund Schemes' and the list published by AMFI in this regard on Tier 1 benchmark for debt schemes.

The Trustees may change the benchmark in future if a benchmark better suited to the investment objective of the Scheme is available.

Dividend Policy (IDCW)

The Scheme is currently not offering IDCW option.

However, the said option may be introduced at later date.

Name of the Fund Manager

Mr. Amit Modani & Mr. Sudip More

Name of the Trustee Company / Board of Trustees

Shriram Trustees Limited

Performance of the scheme :

Shriram Money Market Fund is a new scheme and does not have any performance track record.

Additional Scheme Related Disclosures

- Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors): NA as Shriram Money Market Fund is a new scheme.
- Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds: Not applicable
- Portfolio Disclosure – Fortnightly/Monthly and Half Yearly - NA as Shriram Money Market Fund is a new scheme.
- Portfolio Turnover Rate particularly for equity-oriented schemes - NA
- Aggregate investment in the Scheme by:

Sr. No.	Concerned scheme's Fund Manager(s)	Net Value	
		Units Held as on	NAV per unit as on
Not Applicable			

The above disclosures are not applicable since this Scheme is a new scheme and does not contain any details.

For any other disclosure w.r.t investments by key personnel and AMC directors including regulatory provisions in this regard kindly refer SAI.

- Investments of AMC in the Scheme – For details, please refer to the website of the Mutual Fund at <https://www.shriramamc.in/investor-statutory-disclosures>.

As per the amended regulations i.e. sub-regulation 16(A) in Regulation 25 of SEBI (Mutual Funds) Regulations,1996 ('MF Regulations'), asset management companies ('AMCs') are required to invest such amount in such scheme(s) of the mutual fund, based on the risk associated with the scheme, as may be specified by the Board from time to time. As per sub-regulation 17 in Regulation 25 of SEBI (Mutual Funds) Regulations,1996 ('MF Regulations'), The asset management company shall not invest in any of its scheme, unless full disclosure of its intention to invest has been made in the offer documents, in case of schemes launched after the notification of Securities and Exchange Board of India (Mutual Funds) (Amendment) Regulations, 2011. Provided that an asset management company shall not be entitled to charge any fee on its investment in that scheme.

Expenses of the Scheme

New Fund Offer Period:

These expenses are incurred for the purpose of various activities related to the NFO like sale and distribution fees paid, marketing and advertising, registrar expenses, printing and stationery, bank charges etc. NFO expenses shall be borne by the AMC.

Load Structure

Continuous Offer:

Exit Load: NIL

Recurring Expenses

ANNUAL SCHEME RECURRING EXPENSES

These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc.

The AMC has estimated that upto 2.00% of the daily net assets of the Scheme will be charged to the scheme as expenses. As per the Regulations, the maximum recurring expenses including investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

First Rs. 500 crores	2.00%
Next Rs. 250 crores	1.75%
Next Rs. 1250crores	1.50%
Next Rs. 3000 crores	1.35%
Next Rs. 5000 crores	1.25%
on the next Rs. 40,000 crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of Rs 5,000 crores of daily net assets or part thereof
Balance of assets	0.80%

For the actual current expenses being charged, the investor should refer to the website of the Shriram Mutual fund

Actual expenses for the previous financial year: Not Applicable as it is

a new scheme

Nature Of expense	Shriram Money Market Fund (% p.a. of net assets)
Investment Management & Advisory Fee	Upto 2.00%
Trustee fee	
Audit fees	
Custodian fees	
RTA Fees	
Marketing & Selling expense incl. agent commission	
Cost related to investor communications	
Cost of fund transfer from location to location	
Cost of providing account statements and redemption cheques and IDCW warrants	
Costs of statutory Advertisements	
Cost towards investor education & awareness (2 bps)	
Brokerage & transaction cost over and above 12 bps for cash market transactions	
and 5 bps for derivative trades @@	
GST on expenses other than investment and advisory fees	
GST on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c) (i) and (6) (a)	
^ Additional expenses under regulation 52 (6A) (c) Upto 0.05%	Upto 0.05%

*Other expenses: Any other expenses which are directly attributable to the Scheme, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.

^ Such expenses will not be charged if exit load is not levied/not applicable to the scheme.

For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.

**Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid / charged under Direct Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/commission which is charged in the Regular Plan.

@@ Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.

For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.

All fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan. The TER of the Direct Plan will be lower to the extent of the distribution expenses/commission which is charged in the Regular Plan and no commission for distribution of Units will be paid / charged under the Direct Plan.

In addition to the limits as specified in Regulation 52(6) of SEBI (Mutual Funds) Regulations 1996 ['SEBI Regulations'] or the Total Recurring

Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely:-

Additional expenses under regulation 52 (6A) (c)

^GST payable on investment and advisory service fees ('AMC fees') charged by Shriram Asset Management Company Limited;

Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme:

- GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme;
- Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.

Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.

However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the scheme through email or SMS and uploaded on the AMC website.

The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.

The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.

Tax treatment for the Investors (Unitholders)

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

Daily Net Asset Value (NAV) Publication

The NAVs of the Scheme will be calculated and updated on every business day on AMFI's website www.amfiindia.com by 11.00 p.m. The first NAV of the scheme shall be declared within 5 working days from the date of allotment.

The NAVs shall also be updated on every business day on the website of Shriram Mutual Fund viz. www.shriramamc.in. Unitholders may avail the facility to receive the latest available NAVs through SMS by submitting a specific request in this regard to the AMC/Mutual Fund.

Delay in uploading of NAV beyond 11.00 p.m. on every business day shall be explained in writing to AMFI. In case the NAVs are not available before the commencement of business hours on the following business day due to any reason, a press release for revised NAV shall be issued.

For Investor Grievances please contact

Name & Address of Registrar:

Computer Age Management Services Ltd.,

(SEBI Registration No. : INR000002813)

New No. 10, Old No. 178, M.G.R. Salai Nungambakkam, Chennai - 600034

Email: enq_sh@camsongline.com,

Website: www.camsongline.com

SHRIRAM MUTUAL FUND

Tanmoy Sengupta

(Investor Relations Officer)

Shriram Asset Management Co. Ltd.

511-512, Meadows, Sahar Plaza, J. B. Nagar, Andheri (East), Mumbai - 400 059

Tel: 022-6947 3400

Email: info@shriramamc.in

Website : www.shriramamc.in

Unitholders' Information

Accounts Statements:

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail

address and/ or mobile number (whether units are held in demat mode or in account statement form).

A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by email on or before 12th of the succeeding month who have opted for e-CAS and on or before 15th day of the succeeding month to investors who have opted for delivery via physical mode.

Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 18th day of succeeding month who have opted for e-CAS and on or before 21st day of the succeeding month to investors who have opted for delivery via physical mode, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.

For further details, refer SAI.

Portfolio Disclosure:

Pursuant to the Para 5.1 of SEBI Mutual Funds Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. The AMC shall disclose portfolio (along with ISIN) as on the last day of the month / half-year for the scheme on AMC website and on the website of AMFI within 10 days from the close of each month/ half-year respectively in a user-friendly and downloadable spread sheet format.

In case of unit holders whose e-mail addresses are registered, the Mutual Fund/ AMC shall send via email both the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month/ half-year respectively. Mutual Fund/ AMC shall publish an advertisement every half-year disclosing the hosting of the half-yearly statement of its scheme portfolio on the AMC website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unit holder can submit a request for a physical or electronic copy of the statement of scheme portfolio. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Mutual Fund/ AMC shall provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unit holder.

For further details, please refer to the website of the Mutual Fund at <https://www.shriramamc.in/investor-statutory-disclosures>.

Half Yearly Unaudited Financial Results:

The mutual fund and Asset Management Company shall before the expiry of one month from the close of each half year that is on 31st March and on 30th September, publish its unaudited financial results in one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the mutual fund is situated.

These shall also be displayed on the web site of the Fund and that of AMFI.

For further details, please refer to the website of the Mutual Fund at <https://www.shriramamc.in/investor-statutory-disclosures>.

Annual Report:

Pursuant to Para 5.4 of SEBI Mutual Funds Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. The scheme wise annual report shall be hosted on the website of the Mutual Fund/ AMC and on the website of AMFI. The Mutual Fund/ AMC shall display the link prominently on the AMC website and make the physical copies available to the unit holders at the registered offices at all times.

Mutual Fund/ AMC shall e-mail the scheme annual reports or abridged summary thereof to those unit holders whose email addresses are registered with the Mutual Fund unless specified otherwise.

Mutual Fund/ AMC shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on the AMC website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers one each in English and Hindi.

Mutual Fund/ AMC shall provide a physical copy of the abridged summary of the Annual Report, without charging any cost, on specific request received from a unit holder.

For further details, please refer to the website of the Mutual Fund at <https://www.shriramamc.in/investor-statutory-disclosures>

The Key Information Memorandum is dated as January 01, 2026.

GENERAL INFORMATION AND GUIDELINES

GENERAL INFORMATION

- a. It must be understood clearly that all applicants are deemed to have accepted the terms subject to which these offers are being made and bind themselves to the terms mentioned in the SAI/SID/KIM upon signing the application form and tendering payment.
- b. Please complete the Application Form legibly in black ink or blue ink, in the English language only, in BLOCK CAPITALS. Please strike out with a line across any section that is not applicable.
- c. **Submission of Application Forms** : Application form complete in all respects with the necessary remittances may be submitted at Shriram MF Admin. HO, Shriram MF ISC/ official Points of Acceptance of the Registrar or such other collection centers as may be designated by the AMC. The list of collection centers are given on the website of Shriram Mutual Fund. Applications received by post/courier will be deemed to have been submitted on date of receipt at the designated collection centre.
- d. Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- e. Allotment of Units: Allotment is assured to all applicants provided the application is complete in all respects and is in order. Applications not complete in any respect are liable to be rejected.

F. GUIDELINES TO HELP YOU COMPLETE THE APPLICATION FORM

(1) Distributor details

Investments through distributors : As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

Employee Unique Identification Number (EUIN) : SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/ relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

Direct Investments : Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan".

(2) Email ID/ Email Communication : Investors desirous of receiving documents such as allotment advice, statement of accounts, periodical reports and other communications in electronic form are required to fill up their email ID. The email ID as declared by the investor will be registered in our records and all future communication will be made to such registered email ID. It may be noted that no physical document will be sent to an investor (unless specifically requested) who has a registered email ID with us.

(3) PAN (Permanent Account Number) : Permanent Account Number is the sole identification number for all investors transacting in the units of Shriram Mutual Fund, submission of attested copy of PAN card is mandatory for all categories of investors (including NRIs, Guardian of a minor). Attestation can be done by distributors / AMC officials etc. However, pursuant to SEBI letter OW/16541/2012 dated July 24, 2012 investment in Mutual Fund schemes (including investments in SIP) upto Rs. 50,000 per year, per investor, across all schemes of the fund shall be exempted from the requirement of PAN. Accordingly, individuals (including who are individuals, NRIs, but not POIs, Minors) and sole proprietary firms who do not possess PAN (HUF and other categories are not eligible for such investments) are exempt from submission of PAN for investments upto Rs. 50,000 in a rolling 12 months period or in a financial year (i.e. April to March). However eligible investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authority (KRA). Eligible investor must quote PAN Exempt KYC Reference Number (PEKRN) issued by KRA. Fresh/ Additional Purchase and Systematic Investment Plans will be covered within the limit of Rs. 50,000.

- (4) **Know your Customer (KYC) Policy** : SEBI Pursuant to clause b of Para 16.2.4.4 of SEBI Mutual Funds Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 has simplified the KYC registration process and authorised KRA (KYC registration agency) for storing, safeguarding and retrieving the KYC documents. If the investor has successfully completed KYC registration with stock brokers, depository participants, Mutual Funds, portfolio managers, collective investment schemes and venture capital funds on or after January 1, 2012, no fresh KYC application is required to be submitted for investing in this scheme. Further if the investor has already completed KYC registration with CVL for Mutual Fund investments, no fresh KYC application is required to be submitted. In case the investor has not undergone the KYC registration as aforesaid or investors wish to submit updated/additional information/ document they may submit necessary application for KYC Registration/ updation along with the application form for subscribing the units. The documents required to be submitted along with KYC application form are detailed in the KYC application form itself.

Notwithstanding anything stated herein above the AMC/MF reserves absolute right and discretion to call for any additional information/document as they may require for verifying the KYC of the investor.

New to Shriram Mutual Fund : Investors who are registering with Shriram Mutual Fund for the first time but have completed KYC from CVL may have to undergo an in-Person verification and may be required to submit missing/not-available information as appearing in the database provided by KYC Registering Agencies. These details are required to be updated only if the KYC status as per CVL-KRA reflects as "MF-VERIFIED BY CVLMF"

In-Person Verification : With effect from January 1, 2012 in person verification is compulsory for obtaining KYC registration for all securities market investment including Mutual fund. In view of the same, investors submitting KYC application form shall undergo in-person verification by the AMC/MF. KYD compiled distributors, employees of Shriram Asset Management Co. Ltd and employees of Registrar and Transfer agent appointed by Shriram mutual Fund are authorized to perform the inperson verification on behalf of Shriram Mutual Fund.

In addition to the above, pursuant to the AMFI Best Practices Guidelines Circular No. 62/2015-16 dated September 18, 2015, effective from November 01, 2015:

- It is mandatory for all new Mutual Fund investors to provide additional KYC details as prescribed in Part II of the Account Opening Form (such as Gross Annual Income Details, Net Worth, Occupation, PEP) as part of account opening; and
- No purchase transactions (whether fresh or additional subscriptions) and switches pertaining to 'KYC-On Hold' cases shall be accepted, unless and until the customer also submits missing information or updated information, for updating the same in KRA-KYC system promptly.

A ready-reckoner of action to be taken by AMC/RTA in respect of new customers/folios/accounts is given in the table below

KYC Status	Action till October 31, 2015	Action from November 01, 2015
KYC - Registered	Accept	Accept
KYC-On Hold	Communicate for remediation	Reject all purchase & switch transactions if the missing information is not provided
KYC-Under Process	Accept	Accept
KYC Rejected	Reject	Reject
KYC-Not Available	Reject	Reject
KYC- Deactivated	Reject	Reject

Note: SIP and STP mandates already registered till October 31, 2015 may be exempted from the above stipulations

As regards the cases involving 'Missing/Not Available' KYC information, effective from January 01, 2016 it is mandatory for the existing MF customers to:

- Provide/update additional KYC details as prescribed in Part II of the Account Opening Form (such as Gross Annual Income Details, Net Worth, Occupation, PEP) where such information was not provided to KRAs earlier, to be able to continue to make additional subscription (including switches) in their existing folios
- Provide the missing information, and complete In-Person Verification (IPV) in order to continue to make additional subscription, including switches, in their existing folios to make this process consistent across the Industry.

A ready-reckoner of action to be taken by AMC/RTA in respect of existing folios with KYC status as 'MF-KYC Registered' is given in the table below

KYC Status	Action till December 31, 2015	Action from January 01, 2016
MF-KYC Registered	Make sustained efforts to obtain the requisite Missing/Not Available KYC information and/or complete the IPV requirement, as the case may be and update in KRA records.	Reject ALL Purchase and switch transactions, if the missing KYC information is NOT provided and/or IPV is not completed.

Note: SIP and STP mandates already registered till December 31, 2015 may be exempted from the above stipulations

Aadhaar based e-KYC process

SEBI vide circular no: CIR/MIRSD/09/2013 dated October 8, 2013, enabled Aadhaar based e-KYC service offered by UIDAI for KYC verification.

Also vide circular No. CIR/MIRSD/29/2016 dated January 22, 2016, SEBI clarified that the usage of Aadhaar card as issued by the UIDAI is voluntary.

Moreover, pursuant to SEBI Circular No: SEBI/HO/MIRSD/DOP/CIR/P/2019/123 dated November 05, 2019, entities in the securities market, as may be notified by the Central Government, shall be allowed to undertake Aadhaar Authentication under section 11A of the PMLA. These entities would be registered with UIDAI as KYC user agency ("KUA") and shall allow all the SEBI registered intermediaries / mutual fund distributors to undertake Aadhaar Authentication of their clients for the purpose of KYC through them.

For entering into account based relationship, the client may provide the following information to the intermediary:

- i. Name
- ii. Aadhaar number
- iii. Permanent Account Number (PAN)

For more details on Aadhaar based e-KYC process, please refer the Statement of Additional Information (SAI)

Central KYC

Pursuant to SEBI circular no: CIR/MIRSD/ 66 /2016 dated July 21, 2016 the registered intermediaries shall upload the KYC data with Central KYC Records Registry (CKYCR), in respect of all individual accounts opened on or after August 1, 2016, wherever KYC is required to be carried out as per the circulars issued by SEBI from time to time and accordingly, shall take steps to prepare their systems for uploading the KYC data.

Further, SEBI circular no. SEBI/HO/MIRSD/DOP/CIR/P/2021/31 dated March 10, 2021 has mandated to upload the KYC records of Legal Entity (other than individuals) accounts as well opened on or after April 01, 2021 on to CKYCR in terms of Rule 9 (1A) of the Prevention of Money Laundering (Maintenance of Records) Rules, 2005. For Legal Entity accounts opened prior to April 1, 2021, the KYC records are required to be uploaded on to CKYCR when the updated KYC information is obtained/received from the client.

(5) Accounts opened on behalf Minor /Change of status from minor to major/ Change of Guardian :

The minor shall only be the first and the sole holder in an account (folio). There shall not be any joint accounts with minor as the first or joint holder. Name of the Parent or Guardian must be mentioned if the investments are being made on behalf of a minor. Guardian in the folio should either be a natural guardian (i.e. father or mother, as the case may be) or a court appointed legal guardian.

Date of birth of the minor along with photocopy of supporting documents (i.e. Birth certificate School leaving certificate Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., Passport, or any other suitable proof evidencing the date of birth of the minor) should be provided while opening the folio. In case of a natural guardian, document evidencing the relationship of the Guardian with the minor, if the same is not available as part of the documents mentioned above should be submitted. In case of court appointed legal guardian, supporting documentary evidence should be provided.

Further, in case of SIP/STP/SWAP registration requests received on/after April 1, 2011, the Mutual Fund/AMC will register SIP/STP/SWAP in the folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date.

Further process to be complied for investments made in the name of a Minor through a Guardian (Pursuant to para 17.6.1 of SEBI Mutual Funds Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 read with SEBI Circular no. SEBI/HO/IMD/POD-II/CIR/P/2023/0069 dated May 12, 2023)

- a. Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian. For existing folios, the AMC shall insist upon a Change of Pay-out Bank mandate before redemption is processed. Irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.

- b. Upon the minor attaining the status of major, the minor in whose name the investment was made, shall be required to provide all the KYC details, updated bank account details including cancelled original cheque leaf of the new account. No further transactions shall be allowed till the status of the minor is changed to major.

In addition, guidelines/formats prescribed by AMFI vide its Best Practice Circular no. 135/BP/ 86 /2020-21 dated July 16, 2020 need to be complied/followed w.r.t. Minors' Accounts & Change in status from Minor to Major.

(6) Mode of Payment

a) For Resident investors

Cheque, demand draft shall be drawn in the name of the scheme as detailed below and crossed "Account Payee only".

- a. the Specific Scheme A/c Permanent Account Number (where the investor has a PAN) e.g.: Shriram Money Market Fund A/C XXXXXX (PAN) OR
- b. the Specific Scheme A/c First Investor Name* (investors from the state of Sikkim & investors without PAN.)

Source of funds

If paid by cheque : Identification of third party cheques by the AMC/Mutual Fund/ Registrar & Transfer Agent (R&TA) will be on the basis of matching the name / signature on the investment cheque with the name/ signature of the first named applicant available on the application or in our records for the said folio. If the name of the bank account holder is not pre-printed on the investment cheque or the signature on the said cheque does not match with that of the first named applicant mentioned on the application / available in our records for the said folio, then the investor should submit any one of the following documents at the time of investment :

- (i) A copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number, Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of Shriram Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the AMC/ Mutual Fund/R&TA. The original documents will be returned across the counter to the investor after due verification.
- (ii) A letter (it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number) in original from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

The account number mentioned in the above supporting documents should be the same as one of the registered bank accounts or the bank details mentioned in the application form.

If paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, NACH etc : Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/ investor should be one of the account holders to the bank account debited for such electronic transfer of funds.

Third Party Payments : The Mutual Fund shall adopt the following procedures to ascertain whether payments are Third Party Payments and investors are therefore required to comply with the requirements specified herein below.

"Third Party Payment" means payment made through an instrument issued from a bank account other than that of the first named applicant/ investor mentioned in the application form. In case of payment instruments issued from a joint bank account, the first named applicant/ investor must be one of the joint holders of the bank account from which the payment instrument is issued to consider the payment as a non - Third Party Payment.

1. An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B will be treated as valid application.
2. An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A will be treated as valid application.
3. An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y will be considered as Third Party Payment.

As referred in above, following are the exceptional cases where third party payments will be accepted subject to submission of requisite documentation/ declarations.

- Payment by Parents/Grand-Parents/Related Persons (*any person investing on behalf of a minor in consideration of natural love and affection or as a gift*) on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000 for each regular purchase or per SIP installment. However this restriction of Rs. 50,000 will not be applicable for payment made by a Guardian whose name is registered in the records of Mutual Fund in that folio (i.e. Father / Mother / Court Appointed Legal Guardian).
- Payment by Employer on behalf of employee under Systematic Investment Plans or as lump sum / one-time subscription, through Payroll deductions.
- Custodian on behalf of an FII or a Client.

b) For Non-Resident Indian (NRI)/PIO/FII investors

On Repatriation Basis : In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit Certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FII shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations.

On non-repatriation basis : In the case of NRIs/PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non- resident Rupee Accounts an account debit Certificate from the Bank issuing the draft confirming the debit shall also be enclosed.

(7) Default Plan / Option

The treatment of applications under "Direct" / "Regular" Plan shall be based on the following scenarios:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not mentioned	Regular Plan
9	Mentioned	Both Direct/ Regular Plan ticked/no clarity	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 Calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

(8) Demat : Investors have the option to hold units in dematerialized mode and the units are freely transferable. For holding units in dematerialized mode, investors should have a Depository account in their name. If the investor selects the depository mode for receiving units, no separate KYC needs to be complied. The statement of account issued by the depository participant will be sufficient and no separate statement of account indicating crediting of units by the mutual fund is required for proving allotment of units in the name of the unit holder. For redemption, investors will have to submit duly filled up delivery instruction slip to his concerned depository participant and an acknowledgement for the same along with application for redemption is required to be submitted at the POS. Investors have the responsibility in ensuring transfer of units to the designated pool account of the Mutual fund maintained with the registrar for redemption of units. The Scheme wise ISIN is displayed in the website of the mutual Fund.

(9) Mode of Payment of Redemption/ IDCW Proceeds : To the extent possible, the AMC will credit the redemption proceeds to the Bank account of the investor directly through direct credit facility/NEFT/RGESS. In order to enable the AMC to credit the funds directly to the Bank account of the investors, the investors are requested to provide all details about their bank account as required in point 5 of the application form. In all other cases, the redemption proceeds will be issued in the form of Cheque or DD in the name of the sole/ first unit holder to the correspondence address registered with us.

(10) E-services Facility : The E-services facility includes Shriram Online, E-alerts and Epayouts.

E-alerts : This facility enables the Unit holder to receive SMS confirmation for purchase, redeem or switch IDCW declaration details and other alerts.

E-payouts : Apart from above mentioned facilities, the facility of E-payouts comprising of mode of payment of Redemption / IDCW Proceeds if any, via Direct Credit / NEFT / ECS is covered under E-services facility.

(11) Investment Options : If the direct plan is ticked, irrespective of any ARN code filled/ written in the application form, the application will be treated as direct application. Plans/Options exercised at the time of application may be changed by the investor at a later date by way of a communication to the Registrar of the Scheme. Such changes would be elective from a prospective date.

(12) Nomination Facility :

i As per SEBI guidelines, it is mandatory for individual investors, except jointly held folios to either:

Provide nominee details, or Opt out of nomination by submitting a duly signed declaration.

- ii. Applications where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected. Nomination shall be optional for jointly held Mutual Fund folios.
- iii. The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
 - 'Either or Survivor' Folios - any one of the holder can sign
 - 'Jointly' Folios – all holders have to sign
 - 'First holder' Folios – only First holder to sign
- iv. Investors shall mandatorily provide the following:
 - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number relationship of nominee(s) with the investor Date of birth of nominee(s) (if nominee is a minor)
 - v. Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.
 - vi. Multiple nominees (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
 - vii. Maximum of 3 Nominee can be nominated by investor.
 - viii. Investor can define the Percentage of allocation for respective nominee such that the total of the same is equal to 100%. In case no percentage is defined by the investor, it will be treated as equal.
 - ix. Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time.
 - x. The nomination may be changed at any time during the tenure of the investment by the same person(s) who originally made the nomination.
 - xi. Unitholders being either parent or lawful guardian on behalf of a minor and an eligible institution, societies, bodies corporate, HUF, AoPs, Bolts and partnership firms shall have no right to make any nomination.
 - xii. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of HUF or power of attorney holder.
 - xiii. On registration of nomination a suitable endorsement shall be made on the statement of account.
 - xiv. The facility of nomination is available to a unitholder under SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.
 - xv. Nomination in respect of units stands rescinded, upon the transfer of units.
 - xvi. On cancellation of nominations, the nomination shall stand rescinded, and Shriram MF shall not be under any obligation to transfer the units in favor of the nominee.
 - xvii. Where a nomination in respect of any unit has been made, the units shall, on the death of the unitholder(s), vest in the nominee and on compliance of necessary formalities the nominee shall be issued a SOA in respect of the units so vested subject to any charge or encumbrance over the said units. The nominee would be able to hold the units provided he is otherwise eligible to become a unitholder of the scheme.
 - xviii. Where there are two or more unitholders, one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, non-expression of desire to change or substitute the nominee by surviving unitholder shall be deemed to be the consent of surviving unitholder for the existing nomination.
 - xix. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer to SAI.
 - xx. In case of a Zero Balance Folio Holder, nomination mentioned in Zero Balance Folio form shall be taken as default unless Scheme specific nomination has been made.
 - xxi. Where a folio has joint holders, all joint holders should sign the request for Nomination/ cancellation of nomination, even if the mode of holding is not "joint."
 - xxii. Power of Attorney (POA) holder cannot sign the Nomination form.
 - xxiii. Nomination is not allowed in a folio held on behalf of a minor.
 - xxiv. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with a new Nominee name then the same shall supersede the existing nominee details in the folio.
- iii. Applications where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected. Nomination shall be optional for jointly held Mutual Fund folios.
- iv. The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
 - 'Either or Survivor' Folios - any one of the holder can sign
 - 'Jointly' Folios – all holders have to sign
 - 'First holder' Folios – only First holder to sign
- v. Investors shall mandatorily provide the following:
 - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number relationship of nominee(s) with the investor Date of birth of nominee(s) (if nominee is a minor)
 - vi. Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.
 - vii. Multiple nominees (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
 - viii. Maximum of 3 Nominee can be nominated by investor.
 - ix. Investor can define the Percentage of allocation for respective nominee such that the total of the same is equal to 100%. In case no percentage is defined by the investor, it will be treated as equal.
 - x. Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time.
 - xi. The nomination may be changed at any time during the tenure of the investment by the same person(s) who originally made the nomination.
 - xii. Unitholders being either parent or lawful guardian on behalf of a minor and an eligible institution, societies, bodies corporate, HUF, AoPs, Bolts and partnership firms shall have no right to make any nomination.
 - xiii. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of HUF or power of attorney holder.
 - xiv. On registration of nomination a suitable endorsement shall be made on the statement of account.
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 - xx. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer to SAI.
 - xxi. In case of a Zero Balance Folio Holder, nomination mentioned in Zero Balance Folio form shall be taken as default unless Scheme specific nomination has been made.
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 - xxiv. Nomination is not allowed in a folio held on behalf of a minor.
 - xxv. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with a new Nominee name then the same shall supersede the existing nominee details in the folio.
- iii. Applications where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected. Nomination shall be optional for jointly held Mutual Fund folios.
- iv. The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
 - 'Either or Survivor' Folios - any one of the holder can sign
 - 'Jointly' Folios – all holders have to sign
 - 'First holder' Folios – only First holder to sign
- v. Investors shall mandatorily provide the following:
 - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number relationship of nominee(s) with the investor Date of birth of nominee(s) (if nominee is a minor)
 - vi. Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.
 - vii. Multiple nominees (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
 - viii. Maximum of 3 Nominee can be nominated by investor.
 - ix. Investor can define the Percentage of allocation for respective nominee such that the total of the same is equal to 100%. In case no percentage is defined by the investor, it will be treated as equal.
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 - xxiv. Nomination in respect of units stands rescinded, upon the transfer of units.
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 - xxvii. Where there are two or more unitholders, one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, non-expression of desire to change or substitute the nominee by surviving unitholder shall be deemed to be the consent of surviving unitholder for the existing nomination.
 - xxviii. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer to SAI.
 - xxix. In case of a Zero Balance Folio Holder, nomination mentioned in Zero Balance Folio form shall be taken as default unless Scheme specific nomination has been made.
 - xxxi. Where a folio has joint holders, all joint holders should sign the request for Nomination/ cancellation of nomination, even if the mode of holding is not "joint."
 - xxxi. Power of Attorney (POA) holder cannot sign the Nomination form.
 - xxxi. Nomination is not allowed in a folio held on behalf of a minor.
 - xxxi. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with a new Nominee name then the same shall supersede the existing nominee details in the folio.

CHECKLIST (Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/ Company Secretary /Authorized signatory / Notary Public/AMC or R&T Officials)

Documents	Individual (RI)	Companies	Societies	Partnership Firm	Investment through POA	Trusts	NRI	FIIs	PIO
Copy of the PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓
Copy of Aadhaar / Enrollment Letter	✓	✓	✓	✓	✓	✓			
Copy of the KYC acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/Authorization to invest		✓	✓						✓
List of authorised signatories with specimen signatures		✓	✓	✓		✓		✓	
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditor Certificate and SEBI registration								✓	
Notarised POA					✓				
Proof of Address									✓
PIO Card									✓
Foreign Inward Remittance Certificate							✓	✓	

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**SHIRIRAM Application Form for SHIRIRAM MONEY MARKET FUND**

(An open-ended debt scheme investing in money market instruments. New Fund Offer opens on: January 19, 2026)

GOALS ANEK, MUTUAL FUND EK A relatively low-interest rate risk and moderate credit risk)

Scheme Code: SHRI/O/D/MMF/25/12/0010

511-512, Meadows, Sahar Plaza,
J. B. Nagar, Andheri (East),
Mumbai - 400 059
Website : www.shriramamc.in

Potential Risk Class Matrix (PRC)			
Credit Risk	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Relatively Low (Class I)		B-I	
Moderate (Class II)			
Relatively High (Class III)			

New Fund Offer closes on: January 21, 2026

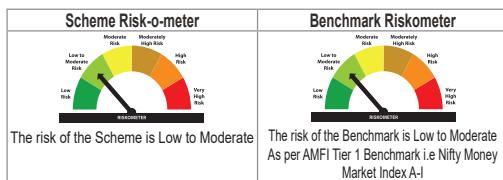
Scheme Re-opens for continuous January 28, 2026

sale and repurchase on:

This product is suitable for investors who are seeking:

- Regular Income over short term
- To generate income by investing in money market instrument.

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



Application No.

Name & ARN Code	Sub Broker Code / ARN	Internal code for sub Agent/Employee	EUIN	Bank Serial No./Bank Stamp/ Receipt Date

The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

Applicable only if ARN is mentioned but EUIN box is left blank: "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." Applicable only if RIA Code is mentioned: "I / We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the SEBI-Registered Investment Adviser whose code is mentioned herein."

Signatures	First / Sole Applicant / Guardian	Second Applicant	Third Applicant

1. INVESTOR EXISTING FOLIO NUMBER INFORMATION (Please fill in your folio Number and proceed to Investment Details)

Folio No.	The details in our records under the folio number mentioned will apply for this application.
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2. APPLICANT(S) DETAILS (Please refer to instruction No. II (b) & (IV) (Name should be as per Aadhaar) (Mandatory Information)

Sole /First Applicant/Minor*	<input type="text"/>	Date of Birth
PAN/PEKRN*	<input type="text"/>	AADHAAR No.#
<input type="text"/>	Enclose (Please <input checked="" type="checkbox"/>) O KYC Acknowledgement Letter	<input type="text"/>
KYC Id No.*	<input type="text"/>	<input type="text"/>
Name of GUARDIAN (In case First/Sole applicant is minor / CONTACT PERSON- DESIGNATION/ PoA HOLDER (In case of Non-Individual Investor)	<input type="text"/>	Date of Birth
<input type="text"/>	<input type="text"/>	AADHAAR No.#
PAN/PEKRN* <input type="checkbox"/> KYC Proof Attached (Mandatory) Relationship with Minor applicant: O Natural guardian O Court applicant guardian	<input type="text"/>	<input type="text"/>
<input type="text"/>	KYC Id No.* <input type="text"/>	Date of Birth
2nd APPLICANT (Name should be as per Aadhaar)	<input type="text"/>	AADHAAR No.#
<input type="text"/>	<input type="text"/>	<input type="text"/>
PAN/PEKRN* <input type="checkbox"/> KYC Proof Attached (Mandatory) Relationship with Minor applicant: O Natural guardian O Court applicant guardian	<input type="text"/>	<input type="text"/>
<input type="text"/>	KYC Id No.* <input type="text"/>	Date of Birth
3rd APPLICANT (Name should be as per Aadhaar)	<input type="text"/>	AADHAAR No.#
<input type="text"/>	<input type="text"/>	<input type="text"/>
PAN/PEKRN* <input type="checkbox"/> KYC Proof Attached (Mandatory) Relationship with Minor applicant: O Natural guardian O Court applicant guardian	<input type="text"/>	<input type="text"/>
<input type="text"/>	KYC Id No.* <input type="text"/>	Date of Birth

*If the first/sole applicant is a Minor, then please provide details of Natural/Legal Guardian. # If Aadhaar No. is applied for please enclose proof of enrolment.

Mode of Holding (Please <input checked="" type="checkbox"/>)	<input type="checkbox"/> Anyone or Survivor	<input type="checkbox"/> Single	<input type="checkbox"/> Joint	(Default option is Anyone or Survivor)
Tax Status (Please <input checked="" type="checkbox"/>)	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI/PIO	<input type="checkbox"/> Trust	<input type="checkbox"/> HUF <input type="checkbox"/> Bank FIs <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> NRO <input type="checkbox"/> Other

3. MAILING ADDRESS (Please provide Full Address, P.O. Box No. may not be sufficient, Overseas Investors will have to provide Indian Address)
Local Address of 1st Applicant - <input type="text"/>
<input type="text"/>
City <input type="text"/> State <input type="text"/>
Tel. Off. <input type="text"/> Resi. <input type="text"/> Mobile^ <input type="text"/>
E-mail^ <input type="text"/>
Overseas Correspondence Address (Mandatory for NRI/FII Applicant) <input type="text"/>
City <input type="text"/> Country <input type="text"/> Pincode <input type="text"/>

^ Primary Holder's own email address and mobile number to be provided

In case family member's Mobile no / Email ID provided, then please provide the family description as per the code given below. Family description code

Values : <Family Code>					
Family Code	Family Description	Family Code	Family Description	Family Code	Family Description
SE	Self	DS	Dependent Siblings	PM	PMS
SP	Spouse	DP	Dependent Parents	CD	Custodian
DC	Dependent Children	GD	Guardian	PO	POA

4. COMMUNICATION (Please)

<input type="checkbox"/> Opt-in facility to receive physical copy of the scheme - wise annual report or abridged summary there of.
<input type="checkbox"/> I/We wish to receive Account Statement/Annual Reports/Quarterly Statements/Newsletter/Updates or any other Statutory Information via E-mail/SMS alerts in lieu of Physical Documents.
<input type="checkbox"/> I/We would like to know more about Shriram MF products over the telephone / Mailer.

ACKNOWLEDGEMENT SLIP (To be filled in by the Sole / First Applicant)

Shriram Money Market Fund

Application No.

GOALS ANEK, MUTUAL FUND EK

511-512, Meadows, Sahar Plaza, J. B. Nagar, Andheri (East), Mumbai - 400 059
Website : www.shriramamc.inDate / / Stamp, Signature & Date Received from Mr. / Ms. / M/s. an application for purchase of units of Shriram Money Market Fund for Rs. on date / /

"In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency"

5. BANK ACCOUNT DETAILS - MANDATORY (For multiple banks registration please submit the Multiple Bank Registration Form)

Name of the Bank												
Branch Address												
Bank Branch City			State								Pincode	
Account No.							A/C. Type (Please <input type="checkbox"/>)		<input type="checkbox"/> Savings		<input type="checkbox"/> NRE	
9 digit MICR Code			11 digit IFSC Code						<input type="checkbox"/> Current		<input type="checkbox"/> NRO	
Please attach a cancelled cheque OR a clear photo copy of a cheque												

6. ■ UNITS IN DEMAT MODE (Please) ■ NSDL ■ CDSL

DP ID			Beneficiary Account No./Client ID									
DP Name												

Note : Please attach the depository transaction statement or DP master data indicating the DP account number of the applicant. Please ensure that sequence of names as mention in the Application Form match with that of the account held with the DP.

7. POWER OF ATTORNEY (POA)

POA Name														
PAN			KYC <input type="checkbox"/> Yes <input type="checkbox"/> No - if investment is being made by a constitutional Attorney, please submit the notarized copy of the POA											

8. INVESTMENT DETAILS AND PAYMENT DETAILS-Cheque/DD/RTGS/NEFT/Transfer (outstation cheques will be rejected) Please wherever applicable.

Scheme Name : SHIRAM MONEY MARKET FUND

Plan : Regular * Direct Option : Growth

* Default Plan Investment Amount (Rs.)	DD Charges if any (Rs.)	Net Amount (in words) _____
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Mode of Payment (Please)

Cheque DD Funds Transfer RTGS/NEFT Rs. (amt. in Rs.) (in words) _____

Drawn on Bank _____

Branch & City _____ Account No. _____

Cheque / DD No. _____ Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 IFSC Code _____

A/c Type - S/B source of funds NRE Current NRO FCNR* | *Kindly provide photocopy of the payment Instrument or Foreign Inward Remittance Certificate (FIRC) evidencing

Cheque/D.D. to be crossed "Account Payee" only and should be drawn payable to :-"SHIRAM MONEY MARKET FUND A/C xxxxxx" (Investor PAN) or "SHIRAM MONEY MARKET FUND A/C XXXXXX" (Name of the Firstholder)

9. KYC DETAILS (Mandatory)

Sole/First Applicant	<input type="checkbox"/> Private sector service <input type="checkbox"/> Housewife	<input type="checkbox"/> Public sector service <input type="checkbox"/> Student	<input type="checkbox"/> Government Services <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Business <input type="checkbox"/> Other (Please Specify)	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
Second Applicant	<input type="checkbox"/> Private sector service <input type="checkbox"/> Housewife	<input type="checkbox"/> Public sector service <input type="checkbox"/> Student	<input type="checkbox"/> Government Services <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Business <input type="checkbox"/> Other (Please Specify)	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
Third Applicant	<input type="checkbox"/> Private sector service <input type="checkbox"/> Housewife <input type="checkbox"/> Student	<input type="checkbox"/> Public sector service <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Government Services <input type="checkbox"/> Other (Please Specify)	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired

Gross Annual Income [Please tick (✓)]

Sole/First Applicant	<input type="checkbox"/> Below 1 Lac OR Net worth (Mandatory for Non - Individuals)	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> as on	<input type="checkbox"/> >25 Lacs - 1Crone Not older than 1 year	<input type="checkbox"/> >1 Crore OR Net Worth
Second Applicant	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs - 1Crone	<input type="checkbox"/> >1 Crore OR Net Worth	
Third Applicant	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs - 1Crone	<input type="checkbox"/> >1 Crore OR Net Worth	

Sole/First Applicant	For Individuals [Please tick (✓)] I am Politically Exposed Person (PEP)* I am Related to Politically Exposed Person (RPEP) Not applicable For Non Individuals [Please tick (✓)] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form: (i) Foreign Exchange/Money changer services - <input type="checkbox"/> Yes <input type="checkbox"/> No (ii) Gaming/Gambling/Lottery/Casino Services - <input type="checkbox"/> Yes <input type="checkbox"/> No (iii) Money Lending/Pawning - <input type="checkbox"/> Yes <input type="checkbox"/> No							
Second Applicant	<input type="checkbox"/> Politically Exposed Person (PEP)*	<input type="checkbox"/> Related to Politically Exposed Person (RPEP)	<input type="checkbox"/> Not applicable					
Third Applicant	<input type="checkbox"/> Politically Exposed Person (PEP)*	<input type="checkbox"/> Related to Politically Exposed Person (RPEP)	<input type="checkbox"/> Not applicable					

Scheme Name : SHIRAM MONEY MARKET FUND

Plan : Regular Direct (Please any one). Option : _____ Sub Option : _____

Cheque / DD No. _____ Date : _____ Amount Rs.: _____

Bank and Branch : _____

REGISTRAR & TRANSFER AGENTS

Computer Age Management Services Ltd., (SEBI Registration No. : INR000002813)

New No. 10, Old No. 178, M.G.R. Salai, Nungambakkam, Chennai - 600 034, Email enq_sh@camsonline.com, Website : www.camsonline.com

10. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) (Mandatory)

Non Individual Investors should mandatorily fill separate **FATCA Form** (The below information is required for all applications guardian.

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant/Guardian			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please Specify) _____
Second Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please Specify) _____
Third Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please Specify) _____

Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? Yes No [Please tick (✓)]

If "Yes" please fill for All countries (Other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident /Green Card Holder /Tax Resident in the respective countries.

	Country of Tax Residency	Tax identification number or Functional Equivalent	Identification Type (TIN or other please specify)	Country of Citizenship / Nationality
First Applicant/Guardian				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Second Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Third Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

Reason A : The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

Reason B : No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected)

Reason C : Others, please state the reason thereof: _____

Address Type of Sole/1st Holder :

Address Type of 2nd Holder :

Address Type of 3rd Holder :

Residential Registered Office Business Residential Registered Office Business Residential Registered Office Business

FATCA Form for Non Individual is available on the website of AMC i.e. www.shriramamc.in or at the CAMS Investor Service

11. NOMINATION DETAILS [Minor / HUF / POA Holder / Non Individuals Cannot Nominate]

I/We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

Nomination Details

	Mandatory Details						Additional Details	
	Name of nominee	Share of nominee (%)***	Relationship	Postal Address	Mobile / Telephone No. & E-mail	Identity Number ***	D.O. B. of nominee	Guardian
Nominee 1								
Nominee 2								
Nominee 3								

*Joint Accounts:

<input type="checkbox"/> I/We do not wish to nominate anybody on my/our behalf.	Signature of the declarant	
---	-----------------------------------	--

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners
Demise of all joint holders simultaneously - having nominees	Nominee
Demise of all joint holders simultaneously - not having nominees	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of % shall be transferred to the first nominee mentioned in the nomination form (see table in "Transmission aspects").

***Provided only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the documents is not required. In case of NRI / OCI / PIO, Passport number is acceptable.

**** To be furnished only in following conditions / circumstances:

- Date of Birth (DoB) : please provide only if the nominee is minor.
- Guardian : It is optional for you to provide, if the nominee is minor.

12. DECLARATION

I/We have read, understand and hereby agree to abide by the Scheme information Document/ Key information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Director Taxes notified Rules 114 F to 114 H, as part of the Income Tax Rules, 1962. I/We hereby apply to the Shriram Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and I/we undertake to provide all necessary proof/ documentation, if any, required to substantiate the facts of this undertaking. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorize the Fund to disclose details of my/our account and all my/our transactions to the intermediary whose stamp appears on the application form. I/We also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me/ us. Applicable to NRIs only : I/We confirm that I am/we are Non-Resident of Indian Nationality/Origin and I/we hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR/NRSR Account.

Investment in the scheme is made by me / us on : Repatriation basis Non Repatriation basis.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature

First / Sole Applicant / Guardian	Second Applicant	Third Applicant
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Nomination Form

Applicable for Individual Unitholders only

Please read the instructions carefully before filling up this form

I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account.		Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
Mandatory Details				
1	Name of the nominee(s) (Mr./Ms.) Guardian Name in case of Minor (Optional)			
2	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%
3	Date of Birth* (for Minor) Relationship with the Applicant			
4	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country: Pincode			
5	Mobile / Telephone No. of nominee(s)/ Guardian in case of Minor			
6	Email ID of nominee(s)/ Guardian in case of Minor			
7	Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of the following and provide details of same] <input type="radio"/> Photograph & Signature <input type="radio"/> PAN <input type="radio"/> Aadhaar (masked – only last 4 digits visible) <input type="radio"/> Saving Bank account no. <input type="radio"/> Proof of Identity <input type="radio"/> Demat Account ID			

Signature(s) – As per mode of holding in demat accounts / MF Folio(s).

	Name of the Holder	Signature / Thumb Impression
Sole / First Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:
Second Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:
Third Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Note:

*This nomination shall supersede any prior nomination made by the account holder(s), if any.
The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)*

Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder **cannot nominate**.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form. If the mode of holding/operation is on "Anyone or Survivor" basis, any one of the investor can sign).
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of ten nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
12. Nomination shall stand rescinded upon the transfer of units.
13. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
15. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
16. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

Form for Opting out of Nomination

[To be signed by ALL holders, irrespective of Mode of Holding or Mode of Operation.]

Date D D M M Y Y Y Y

To

Mutual Fund

Declaration of Opting out of Nomination

I / We, the undersigned applicant(s)/unitholder(s) hereby confirm that I / we do not wish to appoint any nominee(s) in respect of the mutual fund application(s)/ units held in my / our mutual fund folio(s) mentioned herein below :

Application Number(s)/ Folio Number(s) /		

I/We do hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holders, my/our legal heirs would need to submit all the requisite documents issued by court or such other competent authority, based on the value of the assets held in the mutual fund folio.

	Name of the Applicant/ Unitholder	Signature
Sole / 1st Holder		
2nd Holder		
3rd Holder		

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Shriram Money Market Fund

An open-ended debt scheme investing in money market instruments. A relatively low-interest rate risk and moderate credit risk

ASBA Application Form (Application Supported by Blocked Amount)

To be submitted to Sell Certified Syndicate Bank [SCSB]



GOALS ANEK, MUTUAL FUND EK

Broker Name (ARN)	Sub Broker Code / ARN	SCSB Stamp / Code / Sr. No.	Application No.

1. APPLICANT(S) INFORMATION (Existing Unit Holder - Please Fill Folio Number and Name)

Folio No.		Name of 1st Unit Holder	
NAME OF 1st / SOLE APPLICANT / CORPORATE INVESTOR / MINOR		DATE OF BIRTH (Mandatory in case of Minor)	
Mr. / Ms. / M/s.		D	D
Contact Name		(In case of Corporate investor)	Designation
NAME OF 2nd APPLICANT Mr. / Ms. / M/s.			
NAME OF 3rd APPLICANT Mr. / Ms. / M/s.			
NAME OF THE GUARDIAN (In case 1st Applicant is a Minor) / NAME OF POA HOLDER			Relationship with Minor Please <input type="checkbox"/>
Mr. / Ms. / M/s.			Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian <input type="checkbox"/>

2. PAN DETAILS

1st / Sole Applicant @	
2nd Applicant	
3rd Applicant	
Guardian/POA Holder	

3. DEPOSITORY PARTICIPANT (DP) DETAILS

# National Securities Depository Limited (NSDL)	
DP ID	I N
Beneficiary A/C No.	
# Central Depository Services (India) Limited (CDSL)	
DP ID	
Beneficiary A/C No.	

@If the 1st / sole applicant is a Minor then please provide details of Natural / Legal Guardian. # Please ensure that the sequence of names as mentioned under section 1 above, matches with DP Details.

4. INVESTMENT DETAILS - (Please (✓) Plan / Option you wish to invest - Growth or Dividend (Div.)

Plan: Regular Direct

Option: Growth

5. SCSB ACCOUNT DETAILS - Attn : NRI Investors : Payment should be made through NRE Accounts only

Name of the Bank	
Core Banking A/c No.	A/c. Type Please (✓) NRE <input type="checkbox"/> CURRENT <input type="checkbox"/> SAVINGS <input type="checkbox"/> NRO <input type="checkbox"/>
Branch Name	Address
Bank Branch City	State
Amount to be blocked (₹)	In Words

Undertaking by ASBA Investor & A/c Holder, Declaration & Signature(s): 1. I/We hereby Undertake that, I/we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Document (SID) / Key Information Memorandum (KIM) of the above mentioned Scheme and Statement of Additional Information (SAI) of Shriram Mutual Fund. Further, I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case, the information as provided by me/us herein will be relied upon. 1.A. I/we further confirm and undertake that I am/we are eligible ASBA applicant(s) as per the relevant provisions of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009. 2. In accordance with provisions of ASBA in the SEBI ICDR Regulations, 2009 and as disclosed in the SAI. I/We authorize - (a) the SCSB to do all acts as are necessary to make an application in the New Fund Offer of above mentioned Scheme, including uploading of application details, blocking the amount to the extent mentioned above under "SCSB BANK ACCOUNT DETAILS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds of the Shriram Mutual Funds account on receipt of instructions from the Registrar to Shriram Mutual Fund after finalisation of the basis of allotment, entitling me/us to receive mutual fund units on such transfer of funds, etc. (b) Registrar to issue instructions to the SCSB to unlock the funds in the bank account specified above upon finalisation of the basis of allotment and to transfer the requisite money to the Shriram Mutual Fund's account. 3. In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application. Further, I/We also authorize the SCSB to make relevant revisions as may be required to be done during the NFO. In the event of any modification due to any information required. 4. If the DP ID, Beneficiary or PAN is not provided by me/us or the details on the same as furnished in the form are incorrect or incomplete or not matching with the depository records, my/our application shall be rejected and Shriram Mutual Fund or SCSB shall not be liable for losses, if any.

⊗ Signature of 1st Applicant / Guardian / Auth. Signatory / POA / Karta (AS IN BANK RECORDS)	⊗ Signature of 2nd Applicant / Guardian / Auth. Signatory / POA / Karta (AS IN BANK RECORDS)	⊗ Signature of 3rd Applicant / Guardian / Auth. Signatory / POA / Karta (AS IN BANK RECORDS)
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ACKNOWLEDGEMENT SLIP (To be filled and attached by the Applicant with the Application Form)

Shriram Money Market Fund

An open-ended debt scheme investing in money market instruments. A relatively low-interest rate risk and moderate credit risk

App No.:

Received from _____ (sole / 1st applicant ASBA Application Form details of which are as follows :

Application No. _____	Amount Blocked (₹) _____
SCSB (Bank and Branch) _____	Bank Account No. _____
Date of Submission _____	

"In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency"

GENERAL INSTRUCTIONS FOR INVESTORS

ASBA is an application containing an authorization given by the Investor to block the application/money in his specified bank account towards the subscription of Units offered during the NFO of the Schemes. On intimation of allotment by CAMS (R&T Agent) to the banker the investors account shall be debited to the extent of the amount due thereon. On allotment, units will be credited to the Investor's demat account as specified in the ASBA application form.

Background : In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as"ASBA") are mentioned below for understanding the ASBA process :

1. SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows :

1. AU Small Finance Bank Limited 2. AXIS BANK LTD (FORMERLY UTI BANK LIMITED) 3. Bandhan Bank 4. Bank of Baroda 5. Bank of India 6. Bank of Maharashtra 7. Barclays Bank PLC 8. BNP Paribas 9. Canara Bank 10. Catholic Syrian Bank Limited 11. Central Bank of India 12. CTI Bank NA 13. City Union Bank Ltd. 14. DBS Bank Ltd. 15. Deutsche Bank 16. Dhanlaxmi Bank Limited 17. Equitas Small Finance Bank 18. GP Parsik Sahakari Bank Limited 19. HDFC Bank Ltd. 20. HSBC Ltd. 21. ICICI Bank Ltd 22. IDBI Bank Ltd. 23. IDFC FIRST Bank 24. Indian Bank 25. Indian Overseas Bank 26. IndusInd Bank 27. J P Morgan Chase Bank, N.A. 28. Jana Small Finance Bank Limited 29. Janata Sahakari Bank Ltd. 30. Karnataka Bank Ltd. 31. Karur Vysya Bank Ltd. 32. Kotak Mahindra Bank Ltd. 33. Mehsana Urban Co-operative Bank Limited 34. Nutan Nagarik Sahakari Bank Ltd. 35. Punjab & Sind Bank 36. Punjab National Bank 37. Rajkot Nagarik Sahakari Bank Ltd 38. RBL Bank Limited 39. South Indian Bank 40. Standard Chartered Bank 41. State Bank of India 42. SVC Co-operative Bank Ltd. 43. Tamilnad Mercantile Bank Ltd. 44. The Ahmedabad Mercantile Co-Op. Bank Ltd. 45. The Federal Bank 46. The Jammu & Kashmir Bank Limited. 47. The Kalupur Commercial Co-operative Bank Ltd. 48. The Saraswat Co-Operative Bank Ltd 49. The Surat Peoples Co-op Bank Ltd 50. TJSB Sahakari Bank Ltd 51. UCO Bank 52. Union Bank of India 53. Utkarsh Small Finance Bank Limited. 54. YES Bank Ltd.

For the complete list of controlling/designated branches of above mentioned SCSBs, please refer to websites - www.sebi.gov.in, www.bseindia.com and www.nseindia.com

2. Eligible investors for ASBA maintaining their account in any of the above SCSBs may use ASBA facility subject to fulfilling all the terms and conditions stipulated in this regard. An investor shall be eligible to apply through ASBA process, if he/she : (i) is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

3. ASBA Facility in Brief : Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

4. Mechanism for Redressal of Investor Grievances under ASBA Facility - All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving full details such as name, address of the applicant, number of Units applied for, counterfoil or the application reference given by the SCSBs, DBs or CBs, amount paid on application and the Designated Branch or the collection centre of the SCSB where the Application Form was submitted by the ASBA Investor.

Other Information for ASBA Investors :

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds :

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DPID (c) Beneficiary account-number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the investor's account
9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar

<p>For more information visit us at www.shriramamc.in</p> <p>E-mail us at info@shriramamc.in</p>	<p>Shriram Asset Management Co. Ltd. Registered Office : 217, 2nd Floor, Swastik Chambers, Near Junction of S.T. & C.S.T. Road, Chembur, Mumbai - 400 071, India</p> <p><i>Admin. Head Office :</i> 511-512, Meadows, Sahar Plaza, J. B. Nagar Andheri (East), Mumbai - 400 059 Phone: (022) 6947 3400</p>
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Third Party Payment Declaration Form



Third Party Payment Declaration Form should be completed in English and in BLOCK LETTERS only.
(Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

Declaration Form No.

FOR OFFICE USE ONLY

Date of Receipt	Folio No.	Branch Trans. No.

1. BENEFICIAL INVESTOR INFORMATION (Refer Instruction No. 2)

Folio No. []	[]	Application No. []
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NAME OF FIRST/SOLE APPLICANT (BENEFICIAL INVESTOR)

Mr. / Ms. / M/s. []

2. THIRD PARTY INFORMATION (Refer Instruction No. 3)

NAME OF THIRD PARTY (PERSON MAKING THE PAYMENT)

Mr. / Ms. / M/s. []

Nationality []	PAN# []	KYC** []	(Please tick (✓) Attached (Mandatory for any amount)
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#Mandatory for any amount. Please attach PAN Proof. Refer instruction No. 6. ** Refer instruction No. 8.

NAME OF CONTACT PERSON & DESIGNATION (in case of non-Individual Third Party)

Mr. / Ms. []

Designation []

MAILING ADDRESS (P.O. Box Address may not be sufficient)

[]

[]

CITY []	STATE []	PIN CODE []
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CONTACT DETAILS []	STD Code []
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Tel. Off. []	Tel. Resi. []	Mobile []
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Fax []	E-mail []
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RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR (Refer Instruction No. 3) (Please tick (✓) as applicable)

Status of the Beneficial Investor	<input type="checkbox"/> Minor		<input type="checkbox"/> FII <input type="checkbox"/> Client	<input type="checkbox"/> Employee(s)
Relationship of Third Party with the Beneficial Investor	<input type="checkbox"/> Father/Mother/Court appointed Legal Guardian (Please attach proof of relationship, if not already submitted) <input type="checkbox"/> Grand Parent <input type="checkbox"/> Related Person (Please specify relationship) _____ (Maximum investment - Rs. 50,000/- per transaction)		Custodian - SEBI Registration No. of Custodian Registration Valid Till D D M M Y Y Y Y	Employer
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.		I/We declare that the payment is made on behalf of FII/ Client and the source of this payment is from funds provided to us by FII/ Client.	I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lump sum/one-time subscription, through Payroll Deductions.

3. THIRD PARTY PAYMENT DETAILS (Refer Instruction No. 4)

Mode of Payment [Please tick (✓)]	Mandatory Enclosure(s)*
Cheque	<input type="checkbox"/> In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
Pay Order	<input type="checkbox"/> Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument or Copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the third party as an account holder are available or Copy of the passbook/bank statement evidencing the debit for issuance of the instrument.
Demand Draft	<input type="checkbox"/>
Banker's Cheque	<input type="checkbox"/>
RTGS	<input type="checkbox"/> Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.
NEFT	<input type="checkbox"/>
Fund Transfer	<input type="checkbox"/>

* SHIRIRAM Mutual Fund/SHIRIRAM Asset Management Company Limited ("SHIRIRAM AMC") reserves the right to seek information and /or obtain such other additional documents/ information from the Third Party for establishing the identity of the Third Party.

in figures (Rs.)												
in words												
Cheque/DD/PO/UTR No.												

Pay-in Bank A/c No. []

Name of the Bank []

Branch []	Bank City []
------------	---------------

Account Type [Please tick (✓)]	<input type="checkbox"/> SAVINGS	<input type="checkbox"/> CURRENT	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR	<input type="checkbox"/> OTHERS	(please specify)
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including Demand Draft charges, if any.

4. DECLARATIONS & SIGNATURE/S (Refer Instruction No. 5)**THIRD PARTY DECLARATION**

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which SHIRIRAM Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as SHIRIRAM Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, SHIRIRAM Mutual Fund/SHIRIRAM AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/ or damage of whatsoever nature that SHIRIRAM Mutual Fund/SHIRIRAM AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

Applicable to NRIs/OCIs only :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account /FCNR Account.

Please (✓) Yes No

If yes, (✓) Repatriation basis
 Non-repatriation basis

D D M M Y Y Y Y

Signature of the Third Party

BENEFICIAL INVESTOR(S) DECLARATION

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that SHIRIRAM Mutual Fund reserves the right in its sole discretion to reject/ not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. SHIRIRAM Mutual Fund/ SHIRIRAM AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of SHIRIRAM Mutual Fund/SHIRIRAM AMC.

Applicable to Guardian receiving funds on behalf of Minor only :

I/We confirm that I/We are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme on behalf of the minor.

D D M M Y Y Y Y

SIGNATURES	First / Sole Applicant / Guardian	
	Second Applicant	
	Third Applicant	

THIRD PARTY DECLARATION

1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions

2. The following words and expressions shall have the meaning specified herein :
 - (a) "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
 - (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
 - (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

Illustrations

Illustration 1 : An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2 : An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3 : An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. SHIRIRAM Mutual Fund/SHIRIRAM Asset Management Company Limited ('SHIRIRAM AMC') will not accept subscriptions with Third Party payments

except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations :

- (i) Payment by Parents/Grand-Parents/Related Persons* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- for each regular Purchase or per SIP installment. However, this restriction of Rs. 50,000/- will not be applicable for payment made by a Guardian whose name is registered in the records of Mutual Fund in that folio (i.e. father, mother or court appointed Legal Guardian).

- (ii) Payment by Employer on behalf of employee under Systematic Investment Plans or Lump sum/ one-time subscription, through payroll deductions out of expense reimbursements.

- (iii) Custodian on behalf of an FII or a Client.

* 'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.

- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.

- (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
- (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.

- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of SHIRIRAM Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of SHIRIRAM AMC or visit our website www.shriramamc.in for any further information or updates on the same.

1. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before filling the Third Party Payment Declaration Form (hereinafter referred to as 'Declaration Form').

The Declaration Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant declarations wherever applicable. Please do not overwrite. Any correction / changes (if any) made in the Declaration Form, shall be authenticated by canceling and re-writing the correct details and counter signature of the Third Party and the Beneficial Investor(s).

Applications along with the Declaration Form completed in all respects, must be submitted at the Official Points of Acceptance of SHIRIRAM Mutual Fund.

In case the Declaration Form does not comply with the above requirements, SHIRIRAM Mutual Fund/SHIRIRAM Asset Management Company Limited (SHIRIRAM AMC) retains the sole and absolute discretion to reject / not process such Declaration Form and where required, refund the subscription money to the account from which such money was remitted and shall not be liable for any such rejection.

2. BENEFICIAL INVESTOR INFORMATION

The Third Party should provide the Folio Number of the Beneficial Investor already having an account with SHIRIRAM Mutual Fund. In case the Beneficial Investor does not have a Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.

3. THIRD PARTY INFORMATION

"Third Party" includes the Parent, Grand Parent, Related Person, Custodian of FII/Client, or Employer, making payment towards subscription of Units in the name of the Beneficial Investor(s).

Full Name and relationship of Third Party with the Beneficial Investor must be provided.

The Relationship declared by the Third Party will suggest that the payment made on behalf of Beneficial Investor(s) is :

- On behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (which includes each regular purchase or per SIP installment) made by Parents/Grand Parents/ Related Persons. However this restriction of Rs. 50,000/- will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio (i.e. father or mother or court appointed legal guardian).
- or
- On behalf of employee under Systematic Investment Plans or as lump sum/onetime subscription, through Payroll deductions made by employer; or
- On behalf of an FII or a Client made by the Custodian.

Mailing address and contact details of Third Party must be written in full.

4. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with incomplete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party as per the mode of payment selected :

(i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not preprinted on the cheque, then the third party should provide any one of the following documents :

- a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;
- a letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

the original documents along with the documents mentioned above should be submitted to the ISCs / Official Points of Acceptance of SHIRIRAM Mutual Fund.

The copy of such documents will be verified with the original documents to the satisfaction of the SHIRIRAM AMC/ SHIRIRAM Mutual Fund / Registrar and Transfer Agent. The original documents will be returned across the counter after due verification.

(ii) Source of funds - if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc.

The Third Party should attach any one of the following supporting documents with the purchase application where subscription for units

is vide a pre-funded instrument issued by way of debit to his / her bank account: (i) a Certificate (in original) from the issuing banker duly certified by the employee signing the prefunded instrument with his / her full signature, name, employee code, bank seal and contact number, stating the Account holder's name, the Bank Account Number which has been debited for issue of the instrument (Mandatory) and PAN as per bank records, if available (ii) a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the third party as an account holder are available (iii) a copy of the passbook/bank statement evidencing the debit for issuance of the instrument.

The account number mentioned in the above supporting documents should be the same as the bank details mentioned in the application form.

(iii) Source of funds - if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.

Acknowledged copy of the instruction to the bank stating the account number debited.

5. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Declarations on behalf of minors should be signed by their Guardian.

6. PERMANENT ACCOUNT NUMBER

It is mandatory for the Third Party to mention the permanent account number (PAN) irrespective of the amount of Purchase*. In order to verify that the PAN of Third Party has been duly and correctly quoted therein, the Third Party shall attach along with the Declaration Form, a photocopy of the PAN card duly self-certified.

*includes fresh/additional purchase, Systematic Investment Plan.

Declaration Forms not complying with the above requirement will not be accepted/ processed.

For further details, please refer Section 'Permanent Account Number' under the Statement of Additional Information available on our website www.shriramamc.in.

7. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti-money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Third Party should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, SHIRIRAM AMC/ SHIRIRAM Mutual Fund reserves the right to seek information, record investor's/Third party's telephonic calls and/or obtain and retain documentation for establishing the identity of the third party, their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

SHIRIRAM Mutual Fund, SHIRIRAM AMC, Shriram Trustees Limited and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI/AMFI circular(s) and reporting the same to FIU-IND

For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website www.shriramamc.in.

8. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

It is mandatory for the Third Party to quote the KYC Compliance Status and attach proof of KYC Compliance viz. KYC Acknowledgement Letter (or Printout of KYC Compliance Status downloaded from CVL website (www.cvlindia.com) using the PAN. Declaration Form without a valid KYC Compliance of Third Party will be rejected.

In the event of non-compliance of KYC requirements, Shriram Trustees Limited/ SHIRIRAM AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

For further details, please refer Section 'Know Your Customer (KYC) Compliance' under the Statement of Additional Information available on our website www.shriramamc.in.

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ONE TIME AUTHORISATION FORM FOR NACH/ECS/DIRECT DEBIT/STANDING INSTRUCTIONS

UMRN	Office use only	Date	D D M M Y Y Y Y
Choose (✓)	Sponsor Bank Code	Utility Code	Office use only
<input checked="" type="checkbox"/> CREATE <input checked="" type="checkbox"/> MODIFY <input checked="" type="checkbox"/> CANCEL	I/We hereby authorize SHRI RAM MUTUAL FUND to debit (✓) <input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Other		
Bank A/c No.:			
With Bank:	Bank Name & Branch	IFSC	MICR
an amount of Rupees	Amount in Words	₹	
FREQUENCY	<input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> As when presented (default)	DEBIT TYPE	<input type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount
Folio No.		Mobile No.	
Application No.		Email ID	

I agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank.

PERIOD	Sign	Signature of First Account Holder	Sign	Signature of Second Account Holder	Sign	Signature of Third Account Holder
From	<input type="checkbox"/> D D <input type="checkbox"/> M M <input type="checkbox"/> Y Y Y Y					
to	<input type="checkbox"/> D D <input type="checkbox"/> M M <input type="checkbox"/> Y Y Y Y					
or	<input type="checkbox"/> Until Cancelled					
1.	Name as in Bank Records	2.	Name as in Bank Records	3.	Name as in Bank Records	

- I have confirmed that the declaration has been carefully read, understood and made by me/us. I am authorising the user entity/corporate to debit my account.
- I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorised the debit.
- I/We hereby declare that the above information is true and correct and that the mobile number listed above is registered in my/our name(s) and/or is the number that I/we use in the ordinary course. I/We hereby declare that, irrespective of my/our registration of the above mobile in the provider customer preference register, or in any similar register maintained under applicable laws, now or subsequent to the date hereof. I/We consent to the Bank communicating to me/us about the transactions carried out in my/our aforesaid account(s).

Instructions to fill OTA

1. UMRN is auto generated during mandate creation and is mandatory to be updated during amendment and cancellation of mandate. (maximum length - 20 Alpha Numeric Characters)
2. Date in DD/MM/YYYY format.
3. Tick on box to select type of actions to be initiated.
4. Tick on box to select type of actions to be affected.
5. Customer's legal account number, left padded with zeroes. (Maximum length - 35 Alpha Numeric Characters)
6. Name of the Bank and Branch.
7. IFSC/MICR code of customer bank. (Maximum length - 11 Alpha Numeric Characters)
8. Amount payable for service of maximum amount per transaction that could be processed, in words.
9. Amount figures, similar to the amount mentioned in words (Maximum length - 13 digits Numeric, in paisa)
10. Mention Loan Account number.
11. Type of loan in Reference Box.
12. Tick on box to select frequency of transaction.
13. Validity of mandate with dated in DD/MM/YYYY format.
14. Names of customer/s and signatures as well as seal of Company (where required). (Maximum length of Name 40 alpha Numeric Characters)
15. Undertaking of customer.
16. Telephone no. with STD code of customer or 10 digit mobile number of customer.
17. Mail of customer.
18. End date cannot be more than 40 years from the date of mandate.

Enrolment Form for SIP

[For OTM registered investors only]

(Please read terms & conditions overleaf)

Important : Please strike out the Section(s) that is/are not used by you to avoid any unauthorised use



GOALS ANEK, MUTUAL FUND EK

Enrolment Form no. : S/

SIP/ Micro SIP via ECS/NACH (Debit Clearing) in select cities or via Direct Debit/Standing Instruction in select banks / branches only.

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)					FOR OFFICE USE ONLY (TIME STAMP)
ARN	ARN Name	Sub-Broker ARN / Bank Branch Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification Number (EUIIN)	
ARN-					

Declaration for "execution-only" transaction (only where EUIIN box is left blank) (Refer Item No. 3a)

I / We hereby conform that the EUIIN box has been intentionally left blank by me / us as this is an "execution-only" transaction without any interaction or advice by the employee / relationship manager/ sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee / relationship manager / sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Sign Here

Sign Here

Sign Here

First/Sole Applicant/Guardian

Second Applicant

Third Applicant

Date D D M M Y Y Y Y Y

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

I / We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of enrolment for Systematic Investment Plan (SIP) and of NACH/ECS (Debit Clearing) / Direct Debit / Standing Instruction facilities and agree to abide by the same. I / We hereby apply to the Trustee of SHIRIRAM Mutual Fund for SIP application under of the following Scheme(s) / Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to PEKRN Holders : I, the first / sole holder, also hereby declare that I do not hold a Permanent Account Number and hold only a single PAN Exempt Reference No. (PEKRN) issued by KYC Registration Authority and that my existing investments together with the current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year.

Applicable to application under Direct Plan : I/We hereby declare and confirm that I/We have read and understood the Scheme related documents pertaining to the "Direct Plan" and also confirm that the investments in Scheme through "Direct Plan" is/are made at my own discretion. SHIRIRAM Mutual Fund/SHIRIRAMAMC/Trustee shall not be liable for any consequences arising out of such investments.

Please (✓) any one. In the absence of indication of the option the form is liable to be rejected.

NEW REGISTRATION CHANGE IN BANK ACCOUNT CANCELLATION (Refer Item No. 11)

INVESTOR DETAILS

Application No. (For New Investor) / Folio No. (For Existing Investor) [REDACTED]

Sole/1st Applicant (As per Aadhaar)

PAN#
or
PEKRN#

KYC# (Mandatory)
[Please tick (✓)]

Proof Attached

SIGNATURE (Refer Item No. 3(c))

[REDACTED]

Name of Guardian (As per Aadhaar) (in case Applicant is minor)

PAN#
or
PEKRN#

KYC# (Mandatory)
[Please tick (✓)]

Proof Attached

[REDACTED]

Second Applicant (As per Aadhaar)

PAN#
or
PEKRN#

KYC# (Mandatory)
[Please tick (✓)]

Proof Attached

[REDACTED]

Third Applicant (As per Aadhaar)

PAN#
or
PEKRN#

KYC# (Mandatory)
[Please tick (✓)]

Proof Attached

[REDACTED]

Please attach Proof. If PAN/PEKRN/KYC is already validated please don't attach any proof. Refer Item No. 15 and 16.

Scheme [REDACTED]

(Investors applying under Direct Plan must mention "Direct" against the Scheme name).

Plan [REDACTED] Option [REDACTED]

Each SIP/ Micro SIP Amount (Rs.) [REDACTED] Frequency [REDACTED] Weekly [REDACTED] Fortnightly [REDACTED] Monthly [REDACTED] Quarterly [REDACTED] (*Default Frequency) [Refer Item No. 6(iv)]

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Date :

SHIRIRAM MUTUAL FUND

Enrolment
Form No. : S/

Administrative Head Office :
511-512, Meadows, Sahar Plaza, J. B. Nagar, Andheri (East), Mumbai - 400 059

Received from Mr./Ms./M/s. [REDACTED]

'SIP/Micro SIP' application for

ISC Stamp & Signature

Scheme / Plan / Option [REDACTED]

Total Amount (Rs.) [REDACTED]

Please Note : All purchases are subject to realisation of cheques

"In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency"

SIP/Micro SIP Date 1st 5th *15th 20th 25th Any other day (Please Specify)

[Default : 15th*] [Refer Item No. 6(iv)]

SIP/Micro SIP Period Start From End On**

**Please refer Item No. 6(ii) and 7(b)

First SIP/ Micro SIP Transaction via Cheque No. Cheque Dated Amount @ (Rs.)
Mandatory Enclosure (if 1st Installment is not by cheque) Blank cancelled cheque Copy of cheque @The first cheque amount should be same as each SIP Amount.

DEMAT ACCOUNT DETAILS*	NSDL	CDSL
(Optional - refer instruction 10) Investor opting to hold units in demat form may provide a copy of the DP statement to match the demat details as stated in the application form.	DP Name <input type="text"/> DP ID <input type="text"/> Beneficiary Account No. <input type="text"/>	<input type="text"/>

I/we hereby authorise SHIRIRAM Mutual Fund/SHIRIRAM Asset Management Company Limited and their authorised service providers, to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit / Standing Instruction for collection of SIP/ Micro SIP payments.

BANK DETAILS

Bank Name <input type="text"/>	Branch Name <input type="text"/>	Bank City <input type="text"/>
Account Number <input type="text"/>		
9 Digit MICR Code <input type="text"/>	◀ (Please enter the 9 digit number that appears after the cheque number)	
Account Type (Please <input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others (please specify) _____)		
Account holder Name <input type="text"/>		
as in Bank Account <input type="text"/>		
<input type="checkbox"/> SIP Top-up (Optional) (Please <input checked="" type="checkbox"/> to avail this facility) (Refer Item No. 7e)		Top-up Amount (Rs.) <input type="text"/> (The amount should be in multiples of Rs. 500 only) SIP Top-up Frequency : <input type="checkbox"/> Half-yearly <input type="checkbox"/> Yearly (Quarterly SIP offers top-up frequency at yearly intervals only.)

I / We hereby confirm and declare as under :

I/ We have read, understood and agree to comply with the terms and conditions of OTM Facility, Scheme related documents of the Scheme and the terms & conditions of enrolment for Systematic Investment Plan (SIP).

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to SIP Top-up facility (not available under Micro SIP) :

I/We hereby agree to avail the top-up facility for SIP and authorize my bank to execute the NACH/ECS/Direct Debit/Standing Instruction for a further increase in installment from my designated account.

Please write SIP Enrolment Form no. / Folio no. on the reverse of the cheque.

1st Account Holder's Signature (As in Bank Records)	<input type="text"/>	2nd Account Holder's Signature (As in Bank Records)	<input type="text"/>	3rd Account Holder's Signature (As in Bank Records)	<input type="text"/>
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For Office Use only (Not to be filled in by Investor)

Recorded on <input type="text"/>	Recorded by <input type="text"/>	Scheme Code <input type="text"/>	Credit Account Number <input type="text"/>
----------------------------------	----------------------------------	----------------------------------	--

The form can be used by investors who wish to enroll for SIP or Micro SIP (PAN exempt investments) facility. However, Investors shall submit separate forms in case they wish to enroll for both SIP and Micro SIP facility.

Micro Systematic Investment Plans (Micro SIPs) where aggregate of investments (Lump sum plus SIP) in a rolling 12 month period or in a financial year i.e. April to March do not exceed Rs. 50,000 shall be exempt from the requirement of PAN. However, such investors shall be required to quote PAN Exempt KYC Reference Number (PEKRN) details of which are given in PAN Exempt Investments in point no. 14 below.

1. SIP (includes reference to Micro SIP) is available to investors in the following Scheme(s) (including Direct Plan thereunder) of SHIRIRAM MUTUAL FUND: SHIRIRAM MONEY MARKET FUND.

The above list is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of SHIRIRAM Mutual Fund for updated list.

2. Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (✓), where boxes have been provided. Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of SHIRIRAM Mutual Fund.

In case SIP/ Micro SIP investments are made through "Third Party Payments" i.e. payment made through an instrument issued from a bank account other than that of the first named applicant/ investor mentioned in the application form, Investors are required to additionally fillup " submit the" Third Party Payment Declaration Form. (available at any of our ISCs or on our website www.shriramamc.in) along with the SIP Enrolment Form.

3. a. Investment through Distributors

Agents / distributors are not entitled to sell units of mutual funds unless they are registered with Association of Mutual Funds in India (AMFI). Further, SEBI has made it compulsory for every employee/relationship manager/ sales person of the distributor of mutual fund products to quote the Employee Unique Identification Number (EUIN) obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor, please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

These requirements do not apply to Overseas Distributors.

New cadre distributors: SEBI has introduced a new cadre of distributors who can sell only „simple and performing. diversified equity schemes, index funds and fixed maturity plans. There is a prex of " SD" before the ARN number of such distributors. They also hold a EUIN which must be quoted in the application form. The list of eligible schemes which may be sold by such distributors is available on www.shriramamc.in. In case your application through such distributor is not for an eligible scheme, it is liable to be rejected.

Direct Investments

Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.

- b. Investor holding folio of SHIRIRAM Mutual Fund are required to submit only the Enrolment Form. Investor should provide their Folio Number. Investors . details and mode of holding (single, joint, anyone or survivor) will be as per the existing folio number and would prevail over any conflicting information furnished in this form. Investor name should match with the details in the existing folio number, failing which the application form is liable to be rejected.
- c. Signature(s) should be as it appears on the Application Form / Folio and in the same order. In case the mode of holding is joint, all unit holders are required to sign.
4. a. New investors who wish to enroll are required to fill the NACH Form (ii) Enrolment Form (iii) the respective Scheme Application Form (included in the Key Information Memorandum) along with / without the initial investment instrument. Investors are advised to read the Scheme Information Document(s) / Key Information Memorandum(s) and Statement of Additional information available with the ISCs of SHIRIRAM Mutual Fund, brokers/ distributors and also displayed at the SHIRIRAM Mutual Fund website i.e. www.shriramamc.in

- b. New investors can apply for SIP into respective Scheme/ Plans/ Options without any existing investment / folio. The provision for "Minimum Application Amount" specified in the respective Scheme Information Document will not be applicable for SIP investments. e.g. the minimum application amount for new investors.

In SHIRIRAM MONEY MARKET FUND - Growth Option is Rs. 1,000/. However, in case of SIP investments, an investor can enter the Scheme with minimum amount of Rs. Rs. 500/- or 1,000/-.

5. The facility is offered to investors the following four Plans (Frequency) :

- i) Weekly Systematic Investment Plan (WSIP)
- ii) Fortnightly Systematic Investment Plan (FSIP)
- iii) Monthly Systematic Investment Plan (MSIP)
- iv) Quarterly Systematic Investment Plan (QSIP)

6. (i) The details of minimum amount per installment, minimum number of installments, maximum duration etc. are given below :

SHIRIRAM MONEY MARKET FUND :

Weekly SIP	Minimum amount per SIP installment
Fortnightly SIP	(i) Rs. 500/- and in multiples of Re. 1/- thereafter for minimum 24 installments.
Monthly SIP	(ii) Rs. 1000/- and in multiples of Re. 1/- thereafter for minimum 12 installments.
Quarterly SIP	
Maximum	No Limit

(ii) There is no maximum duration for enrolment.

An investor has an option to choose the "End Date" of the SIP by filling the date.

However, SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.

- (iii) In respect of enrollments, the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure.

The Load Structure for investments through SIP is as follows :

- (a) Entry Load : Not Applicable. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

- (b) Exit Load : Applicable Exit Load, if any, in the Scheme/Plan/ Option as on the date of enrollment of the SIP will be levied.

For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of SHIRIRAM Mutual Fund or visit our website www.shriramamc.in.

- (iv) In case the Frequency (Monthly/Quarterly) and date is not indicated, Monthly frequency shall be treated as the Default Frequency and 15th shall be treated as the Default date.

7. All installments are available on the 1st, 5th, 15th, 20th, 25th or any otherday (as specified) of a month. All installments under MSIP and QSIP should be of the same amount and same date. For example, if an investor is enrolling for the period July- December for total amount of Rs. 30,000/-, there shall be a minimum of six installments (except for first cheque which could be of any date) and same amount. The first cheque should be drawn on the same bank account which is to be registered for NACH/ECS (Debit Clearing)/Direct Debit/Standing Instruction. Alternatively, the cheque may be drawn on any bank, but investor should provide a photocopy of the cheque of the bank/ branch for which ECS (Debit Clearing)/Direct Debit/Standing Instruction are to be registered. However, investors need not submit the First Cheque for enrollment provided the Enrolment Form is attested by the Bank from which installment will be debited or copy of the cancelled cheque or photocopy of the cheque of bank account from which the installment will be debited is attached to the form.

- a. First Cheque and subsequent Installments via NACH/ECS (Debit Clearing)/Direct Debit/Standing Instruction should be of the same amount.

- b. The Enrolment Form should be submitted at least 30 days before the first date for NACH/ECS (Debit Clearing)/ Direct Debit / Standing Instruction.

If the start period is not mentioned, the chosen/Default date falling 30 days from submission date will be considered as the start date and will be registered from that date (eg. If the application is submitted on June 1 without indicating the start period then the SIP start date would be July 1).

In case the auto debit start date as mentioned in the form does not satisfy this condition, the first date shall be rolled over to begin from the immediately following month (provided the roll over date does not exceed the maximum time gap of 90 days between the SIP submission date and first installment of SIP through NACH/ECS (Debit Clearing) or Direct Debit / Standing Instruction) and the end date shall accordingly get extended.

- c. Payment may be made by cheque drawn on any bank which is situated at and is a member of the "Bankers" Clearing House located at the place where the SIP application is submitted. No cash, money orders or postal orders will be accepted.
- d. In case, the name is not pre-printed on the cheque or signature on the cheque does not match, then the first named applicant/investor should submit any one of the following documents i.e. a copy of the bank passbook or a statement of bank account or a letter from the bank on its letterhead certifying the investors bank account details (Account Number, Type, Branch, MICR Code). For other mode of payment and further details, please refer to the section "Third Party Payments" under the Instructions in the Key Information Memorandum and section. How to Apply. under Statement of Additional Information available on our website www.shriramamc.in.

e. SIP Top-up Facility :

SIP Top-up Facility is not available under Micro SIPs

- 1. SIP Top-up is a facility wherein an investor who wishes to enroll for SIP, has an option to increase the amount of the SIP installment by a fixed amount at pre-defined intervals. The SIP Top-up amount should be filled in the SIP Enrolment Form itself.
- 2. The SIP Top-up amount should be in multiples of Rs. 500/- only.
- 3. Monthly SIP offers top-up frequency at half yearly and yearly intervals. Quarterly SIP offers top-up frequency at yearly intervals only. In case the top-up frequency is not indicated under Monthly SIP, it will be considered as yearly interval.

f. (i) Payment through Electronic Clearing Service NACH (ECS) (Debit Clearing) of the Reserve Bank of India (RBI) - Investors / Unit holders may also enroll for Auto Debit facility through NACH/ECS (Debit Clearing) of the RBI.

List of Cities for Auto Debit Facility via NACH/ECS (Debit Clearing) should conform to the NACH/ECS Debit locations approved by RBI. The same is available on www.rbi.org.in and on our website www.shriramamc.in

This facility is offered only to the investors having bank accounts in select cities.

The bank account provided for NACH/ECS (Debit) should participate in local MICR clearing.

Auto debit facility is available only on specific dates of the month as under:

The investor agrees to abide by the terms and conditions of NACH/ECS facility of RBI.

(ii) Payment through Direct Debit Facility

Investors / Unit holders may enroll for Direct Debit Facility available with the banks / branches with whom Shriram Mutual Fund may have an arrangement from time to time.

This facility shall be offered only to the investors having bank accounts in select banks/ branches eligible for this facility.

This facility shall be available on all SIP dates of a month / quarter once it is rolled out by the Mutual Fund.

(iii) Payment through Standing Instruction

Payment may also be accepted by direct debits to unit holders' bank accounts. For this purpose, unit holders are required to give standing instructions to their bankers (with whom SHRIRAM Mutual Fund may have an arrangement from time to time) to debit their bank accounts at periodic intervals and credit the subscription proceeds to the SHRIRAM Mutual Fund bank account.

The cities / banks / branches in the list may be modified/updated/ changed/ removed at any time in future entirely at the discretion of SHRIRAM Mutual Fund / SHRIRAM Asset Management Company Limited without assigning any reasons or prior notice. If any city / bank / branch are removed, SIP instructions for investors in such city / bank / branch via NACH/ECS (Debit Clearing) / Direct Debit / Standing Instruction route will be discontinued without prior notice. Please contact the nearest Investor Service Centre of SHRIRAM Mutual Fund for updated list

the immediate next Business Day will be considered for the purpose of determining the applicability of NAV subject to the realization of the proceeds

- 9. The first cheque should be drawn in favor of "the Specific Scheme A/c Permanent Account Number" or "the Specific Scheme A/c First Investor Name" (e.g. in case of SBF the cheque should be drawn in favor of "Shriram Money Market Fund A/c ABCDE1234F" OR "SHRIRAM MONEY MARKET FUND A/c Robin Saha"; and crossed "Account Payee only". Unit holders must write the SIP Enrolment Form number, if any, on the reverse of the cheque.
- 10. Investors have an option to hold the Units in dematerialized form. However, this facility is not available in case of units offered under the Daily/Weekly/ Fortnightly IDCW Option(s). The units will be allotted in demat form based on the applicable NAV as per the terms of the respective Scheme Information Document(s) and will be credited to investor's Demat Account on weekly basis on realization of funds. For e.g. Units will be credited to investor's Demat account every Monday on the basis of realization status received during the last week (Monday to Friday).

Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL). If PAN is not mentioned by applicants, the application is liable to be rejected. Investors may attach a copy of the Client Master Form / DP statement showing active demat account details for verification. Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

All details such as address, bank details, nomination etc. will be applicable as available in the depositories. records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable.

Holding / transacting of units held in demat mode shall be in accordance with the procedures / requirements laid down by the Depositories, viz. NSDL/ CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder.

- 11. You can choose to change your bank account or discontinue the facility by giving thirty days written notice to any of our Investor Service Centers. For cancellation request, please mention the start date as mentioned at the time of registration.
- 12. AMC reserves the right to freeze the folio of the investor(s) in cases where two consecutive installments are not honored or the Bank Account [for NACH/ECS (Debit clearing)/Direct Debit/Standing Instruction] is closed and request for change in bank account [for NACH/ECS (Debit clearing) / Direct Debit/Standing Instruction] is not submitted at least 30 days before the next SIP Auto Debit.
- 13. In case the first SIP installment is processed (as the cheque may be banked) and the application is found to be defective, the SIP registration will be ceased for future installments. Investor will be sent a communication to this effect. No refunds shall be made for the units already allotted. However, redemptions/ switch-outs shall be allowed.
- 14. Permanent Account Number (PAN)

- (a) SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) except as mentioned in point (b) below. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/ processed. PAN card copy is not required separately if KYC acknowledgement letter is made available.

For further details, please refer Section "Permanent Account Number" under Statement of Additional Information available on our website www.shriramamc.in.

(b) PAN Exempt Investments

SEBI vide its circular dated July 24, 2012 has clarified that investments in mutual funds schemes (including investments in SIPs) of upto Rs.50,000 per investor per year across all schemes of the Fund shall be exempt from the requirement of PAN. Accordingly, individuals (including Joint Holders who are individuals, NRIs but not PIOs, Minors) and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* are exempt from submission of PAN for investments upto Rs.50,000 in a rolling 12 month period or in a financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the

- 8. Units will be allotted on the applicable dates subject to realization of funds where total SIP investments on a date amount to Rs. 2 lakh or more. In case date falls on the Non- Business Day or falls during a book closure period,

KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN /PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs.

If an application for investment together within investments made in a rolling 12 month period or in a financial year exceeds Rs.50,000, such an application will be rejected.

Fresh / Additional Purchase and Systematic Investment Plans will be covered in the limit of Rs.50,000. Investors may switch their investments to other Schemes. However, if the amount per switch transaction is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.

** HUFs and other categories are not eligible for such*

15. Know Your Customer (KYC) Compliance

Units held in account statement (non-demat) form

Investors should note that it is mandatory for all registrations to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter.

Units held in electronic (demat) form

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC.

For further details, please refer Section. Know Your Customer (KYC) Compliance under Statement of Additional Information available on our website www.shriramamc.in.

16.
 - a. Investors will not hold SHRIRAM Mutual Fund / SHRIRAM Asset Management Company Limited (SHRIRAM AMC) its registrars and other service providers responsible and/or liable if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to local holidays or any other reason.
 - b. SHRIRAM Mutual Fund / SHRIRAM AMC, its registrars and other service providers shall not be responsible and liable for any damages/ compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
 - c. SHRIRAM Mutual Fund / SHRIRAM AMC reserves the right to reject any application without assigning any reason
 - d. Auto Debit facility is subject to the terms and conditions of the Banks offering the said facility and the Investors will not hold SHRIRAM Mutual Fund / SHRIRAM AMC responsible for any rejection.
17. The Trustee/SHRIRAM AMC reserves the right to change/modify the terms and conditions of the SIP. For the updated terms and conditions of SIP, contact the nearest ISC or visit our website www.shriramamc.in.

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Important Instructions:

A) Fields marked with **“*”** are mandatory fields.

B) Please fill the form in English and in BLOCK letters.

C) Please fill the date in DD-MM-YYYY format.

D) Please read section wise detailed guidelines / instructions at the end.

E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

F) List of two character ISO 3166 country codes is available at the end.

G) KYC number of applicant is mandatory for update application.

H) For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.

1. PERSONAL DETAILS (Please refer instruction A at the end)

(Please enclose a duly attested copy of your PAN Card)

	Prefix	First Name	Middle Name	Last Name
Name*(Same as Aadhaar)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth*

Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender		
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others		
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="checkbox"/> <input type="checkbox"/>)			
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian			
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin			
Occupation Type*	<input type="checkbox"/> S-Service	(<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Se	
	<input type="checkbox"/> O-Others	(<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Ho
	<input type="checkbox"/> B-Business				
	<input type="checkbox"/> X- Not Categorised				



■ 2. PERSONAL DETAILS (Please refer instruction A at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth*

ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (Pol)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified	
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)			
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others			
	<input type="checkbox"/> Simplified Measures Account - Document Type code			<input type="checkbox"/>	<input type="checkbox"/>	

Address

Line 1*													
Line 2													
Line 3											City / Town / Village*		
District*				Pin / Post Code*				State / U.T Code*		ISO 3166 Country Code*			

<input type="checkbox"/> 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)
<input type="checkbox"/> Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill ' Annexure A1 ')
Line 1* <input type="text"/>
Line 2 <input type="text"/>
Line 3 <input type="text"/> City / Town / Village* <input type="text"/>
District* <input type="text"/> Pin / Post Code* <input type="text"/> State / U.T Code* <input type="text"/> ISO 3166 Country Code* <input type="text"/>

<input type="checkbox"/> 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)			
<input type="checkbox"/> Same as Current / Permanent / Overseas Address details	<input type="checkbox"/> Same as Current / Permanent / Overseas Address details		
Line 1*			
Line 2			
Line 3	City / Town / Village*		
District*	Pin / Post Code*	State / U.T Code*	ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile No. / Email-ID) (Please refer instruction F at the end)

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)				
<input type="checkbox"/> Addition of Related Person	<input type="checkbox"/> Deletion of Related Person	<input type="checkbox"/> KYC Number of Related Person (if available*)	<input type="text"/>	
Name*(Same as Aadhaar)	<input type="checkbox"/> Guardian of Minor Name*	<input type="checkbox"/> Assignee	<input type="checkbox"/> Authorized Representative	
Name*(Same as Aadhaar)	Prefix <input type="text"/>	First Name <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Middle Name <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Last Name <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
(If KYC number and name are provided, below details of section 6 are optional)				

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)									
<input type="checkbox"/> A- Passport Number	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>					Passport Expiry Date <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> DD - <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> MM - <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> YY YY YY YY			
<input type="checkbox"/> B- Voter ID Card	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>								
<input type="checkbox"/> C- PAN Card	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>								
<input type="checkbox"/> D- Driving Licence	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>								
<input type="checkbox"/> E- UID (Aadhaar)	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>					Driving Licence Expiry Date <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> DD - <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> MM - <input style="width: 20px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/> YY YY YY YY			
<input type="checkbox"/> F- NREGA Job Card	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>								
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>					Identification Number <input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>			
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>					Identification Number <input style="width: 50px; height: 20px; border: 1px solid black; border-radius: 5px; margin-right: 10px;" type="text"/>			

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY									
Date	D	D	-	M	M	-	Y	Y	Y
Emp. Name									
Emp. Code									
Emp. Designation									

In-Person Verification (IPV) Carried Out by											
Date	D	D	-	M	M	-	Y	Y	Y	Y	
Emp. Name											
Emp. Code											
Emp. Designation											

Institution Details									
Name									
Code									
Emp. B									

General Instructions:

- 1 Fields marked with '*' are mandatory fields.
- 2 Tick '' wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- 6 Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.
- 9 In case of "small Account type only personal details at section number 1 and 2, photograph, signature and self-certification required.Clarification / Guidelines on filling 'Personal Details' section

A Clarification / Guidelines on filling 'Personal Details' section

- 1 **Name** : Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either **father's name or spouse's name** is to be mandatorily furnished. In case PAN is not available father's name is mandatory.Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

- 1 **Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security / insurance number, citizen / personal identification / services code/number and resident registration number)

C Clarification / Guidelines of filling "Proof of Identity [PoI]" section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention Identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- 3 In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central / State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent/ Overseas Address details' section

- 1 PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3 In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- 1 To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence / local addresses, Please fill '**Annexure A1**'

F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the begining of Mobile Number.

G Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section

- 1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chhattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Germany	DE	Moldova, Republic of	MD	Spain	ES
Bouvet Island	BV	Guinea-Bissau	GW	Monaco	MC	Sri Lanka	LK
Brazil	BR	Guyana	GY	Mongolia	MN	Sudan	SD
British Indian Ocean Territory	IO	Haiti	HT	New Caledonia	NC	Tanzania, United Republic of	TZ
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	New Zealand	NZ	Thailand	TH
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Timor-Leste	TL
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Togo	TG
Burundi	BI	Hong Kong	HK	Niue	NU	Tokelau	TK
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tonga	TO
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Tunisia	TN
Cameroon	CM	India	IN	North Macedonia	NO	Turkey	TR
Canada	CA	Indonesia	ID	Oman	OM	Turkmenistan	TM
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Turks and Caicos Islands	TC
Central African Republic	CF	Iraq	IQ	Palau	PW	Tuvalu	TV
Chad	TD	Ireland	IE	Palestine, State of	PS	Uganda	UG
Chile	CL	Isle of Man	IM	Panama	PA	Ukraine	UA
China	CN	Israel	IL	Papua New Guinea	PG	United Arab Emirates	AE
Christmas Island	CX	Italy	IT	Paraguay	PY	United Kingdom	GB
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States	US
Colombia	CO	Japan	JP	Philippines	PH	United States Minor Outlying Islands	UM
Comoros	KM	Jersey	JE	Pitcairn	PN	Uruguay	UY
Congo	CG	Jordan	JO	Poland	PL	Uzbekistan	UZ
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Vanuatu	VU
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Venezuela, Bolivarian Republic of	VE
Costa Rica	CR	Kiribati	KI	Qatar	QA	Wallis and Futuna	WF
Cote d'Ivoire /Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion /Réunion	RE	Western Sahara	EH
Croatia	HR	Korea, Republic of	KR	Romania	RO	Yemen	YE
Cuba	CU	Kuwait	KW	Russian Federation	RU	Zambia	ZM
Curacao /Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Zimbabwe	ZW
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthélemy /Saint Barthélemy	BL	Virgin Islands, British	VG
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Virgin Islands, U.S.	VI
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN	Wallis and Futuna	WF
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC	Western Sahara	EH
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF	Yemen	YE

Annexure A1

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual | Correspondence / Local Address

Important Instructions:

A) Fields marked with '*' are mandatory fields.

B) Please fill the form in English and in BLOCK letters.

C) Please fill the date in DD-MM-YYYY format.

D) Please read section wise detailed guidelines / instructions at the end.

E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

F) List of two character ISO 3166 country codes is available at the end.

G) KYC number of applicant is mandatory for update application.

H) For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.

For office use only <i>(To be filled by financial institution)</i>	Application Type* <input type="checkbox"/> New <input type="checkbox"/> Update
	KYC Number <input style="width: 150px; border: 1px solid black;" type="text"/> <i>(Mandatory for KYC update request)</i>

■ 1. CORRESPONDENCE / LOCAL ADDRESS DETAILS (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details

Address

Line 1*			
Line 2			
Line 3			
District*		Pin / Post Code*	
			City / Town / Village*
			State / U.T Code*
			ISO 3166 Country Code*

2. CONTACT DETAILS (All communications will be sent on provided Mobile No. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
Fax - Email ID -

3. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

[Signature / Thumb Impression]

Date: - -

Place:

Signature / Thumb Impression of Applicant

Annexure B1**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual | Related Person****Important Instructions:**

A) Fields marked with '*' are mandatory fields.
 B) Please fill the form in English and in BLOCK letters.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please read section wise detailed guidelines / instructions at the end.
 E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 F) List of two character ISO 3166 country codes is available at the end.
 G) KYC number of applicant is mandatory for update application.
 H) For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.

For office use only

(To be filled by financial institution)

Application Type* New Update

KYC Number

(Mandatory for KYC update request)

1. DETAILS OF RELATED PERSON (please refer instruction G at the end)

<input type="checkbox"/> Addition of Related Person	<input type="checkbox"/> Deletion of Related Person	<input type="checkbox"/> KYC Number of Related Person (if available*)	<input type="checkbox"/>	
Name*(Same as Aadhaar)	<input type="checkbox"/> Guardian of Minor Name*	<input type="checkbox"/> Assignee	<input type="checkbox"/> Authorized Representative	
Name*(Same as Aadhaar)	Prefix	First Name	Middle Name	Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

<input type="checkbox"/> A- Passport Number	<input type="checkbox"/>	Passport Expiry Date <input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> B- Voter ID Card	<input type="checkbox"/>	
<input type="checkbox"/> C- PAN Card	<input type="checkbox"/>	
<input type="checkbox"/> D- Driving Licence	<input type="checkbox"/>	
<input type="checkbox"/> E- UID (Aadhaar)	<input type="checkbox"/>	Driving Licence Expiry Date <input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> F- NREGA Job Card	<input type="checkbox"/>	
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="checkbox"/>	Identification Number <input type="checkbox"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="checkbox"/>	Identification Number <input type="checkbox"/>

2. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

[Signature / Thumb Impression]

Date: - - Place:

Signature / Thumb Impression of Applicant

3. ATTESTATION / FOR OFFICE USE ONLY**Documents Received** Certified Copies

KYC VERIFICATION CARRIED OUT BY		INSTITUTION DETAILS
Date	<input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> - <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Name <input type="checkbox"/>
Emp. Name	<input type="checkbox"/>	Code <input type="checkbox"/>
Emp. Code	<input type="checkbox"/>	
Emp. Designation	<input type="checkbox"/>	
Emp. Branch	<input type="checkbox"/>	
[Employee Signature]		[Institution Stamp]

Important Instructions:

A) Fields marked with '*' are mandatory fields.
 B) Tick "" wherever applicable
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of application is mandatory for update application.
 F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only (To be filled by financial institution)	Application Type*	<input type="checkbox"/> New	<input type="checkbox"/> Update	(Mandatory for KYC update request)							
KYC Number											

1. Entity Details* (Please refer instruction A at the end)

<input type="checkbox"/> Name*																					
Entity Constitution Type*	<input type="checkbox"/> Others (Specify)		(Please refer instruction B at the end)																		
Date of Incorporation/Formation*	D	D	-	M	M	-	Y	Y	Y	Y	Date of Commencement of Business	D	D	-	M	M	-	Y	Y	Y	Y
Place of Incorporation/Formation*						Country of Incorporation/Formation* <input type="checkbox"/> TIN or Equivalent Issuing Country <input type="checkbox"/>															
PAN*						Form 60 furnished						<input type="checkbox"/>									
TIN/GST Registration Number																					

2. PROOF OF IDENTITY (PoI)* (Please refer instruction B at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> Officially valid document(s) in respect of person authorised to transact											
<input type="checkbox"/> Certificate of Incorporation/Formation						<input type="checkbox"/> Registration Certificate	<input type="checkbox"/> Regn Certificate No.				
<input type="checkbox"/> Memorandum and Articles of Association	<input type="checkbox"/> Partnership Deed				<input type="checkbox"/> Trust Deed						
<input type="checkbox"/> Resolution of Board/Managing Committee	<input type="checkbox"/> Power of Attorney granted to its manager, officers or employees to transact on its behalf										
<input type="checkbox"/> Activity proof – 1 (For Sole Proprietorship Only)	<input type="checkbox"/> Activity proof – 2 (For Sole Proprietorship Only)										

3. ADDRESS* (Please see instruction C at the end)

<input type="checkbox"/> 3.1 Registered Office Address/Place of Business*												
(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)												
Proof of Address*	<input type="checkbox"/> Certificate of Incorporation/Formation	<input type="checkbox"/> Registration Certificate				<input type="checkbox"/> Other Document				<input type="checkbox"/>		
Line 1*												
Line 2												
Line 3											City/Town/Village*	
District*	Pin / Post Code*					State/U.TCode*			ISO 3166 Country Code*			

3.2 Local Address in India (If different from above)*

Line 1*												
Line 2												
Line 3											City/Town/Village*	
District*	Pin / Post Code*					State/U.TCode*			ISO 3166 Country Code*			

4. Contact Details (All communications will be sent to Mobile number/Email-ID provided may be used) (Please refer instruction D at the end)

Tel. (Off)	<input type="checkbox"/> - <input type="checkbox"/>			Fax		<input type="checkbox"/> - <input type="checkbox"/>		
Mobile	<input type="checkbox"/> = <input type="checkbox"/>			Email ID		<input type="checkbox"/>		
				Email ID		<input type="checkbox"/>		

5. Number of Related Persons (Please refer instruction E at the end)

A. Clarification / Guidelines on filling 'Entity Details' section

1. Entity Constitution Type

A - Sole Partnership	H - Trust	O - Artificial Juridical Person
B - Partnership Firm	I - Liquidator	P - International Organisation or Agency / Foreign
C - HUF	J - Limited Liability Partnership	Q - Not Categorized
D - Private Limited Company	K - Artificial Liability Partnership	R - Others
E - Public Limited Company	L - Public Sector Banks	S - Foreign Portfolio Investors
F - Society	M - Central/State Government Department or Agency	
G - Association of Persons (AOP)/Body of Individuals (BOI)	N - Section 8 Companies (Companies Act, 2013)	

2. In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, Form 60 may be obtained if PAN is not available.

B. Clarification / Guidelines on filling 'Proof of Identity [POI]' section

1. Activity Proof 1 and Activity Proof 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
2. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
3. Certified copy of document or equivalent e document or OVD obtained through Digital KYC process to be submitted.
4. Equivalent e document means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Regulation of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
5. Digital KYC process has to be carried out as stipulated in the PML Rules,
6. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

C. Clarification/Guidelines for filling Proof of Address [PoA] section

1. State/U.T Code and Pin/Post Code will not be mandatory for overseas addresses.
2. Certified copy of document or equivalent e document to be submitted.

D. Clarification/Guidelines for filling 'Contact Details' section

1. Please mention two digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91 9999999999).
2. Do not add '+' in the beginning of Mobile number.

E. Clarification/Guidelines for filling 'Related Person Details' section

1. Personal Details
 - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Proof of Address [PoA]
 - PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
 - State/U.T Code and Pin/Post Code will not be mandatory for Overseas addresses.
 - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
 - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.
3. If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related' are required.
4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

F. Provision for capturing signature of multiple authorised persons is to be made by the RE

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portuga	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire /Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion /Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao /Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy /Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

Annexure A2 | Legal Entity | Other than Individuals

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

A) Fields marked with '*' are mandatory fields.

B) Tick ' ' wherever applicable

C) Please fill the date in DD-MM-YYYY format.

D) Please fill the form in English and in BLOCK letters.

E) KYC number of application is mandatory for update application.

F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

G) List of two character ISO 3166 country codes is available at the end.

H) Please read section wise detailed guidelines / instructions at the end.

I) For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.



1. Details of Related Person* (Please refer instruction A at the end)

Related Person Type* Director Promoter Karta Trustee Partner Court Appointment Official Proprietor
 Beneficiary Authorised Signatory Beneficial Owner Power of Attorney Holder Other (Please specify)

DIN (Director Identification Number) *(Mandatory if Related Person Type is Director)*

1.1 PERSONAL DETAILS (Please refer instruction E at the end)

<input type="checkbox"/> Name*(Same as ID proof)	Prefix	First Name	Middle Name	Last Name
Maiden Name				
Father / Spouse Name				
Mother Name				
Date of Birth*	D D - M M - Y Y Y Y			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Nationality*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code	□	
PAN*	<input type="checkbox"/>		<input type="checkbox"/> Form 60 furnished	

1.2 Proof of Identity and Address* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A- Passport Number				
<input type="checkbox"/> B- Voter ID Card				
<input type="checkbox"/> C- Driving Licence				
<input type="checkbox"/> D- NREGA Job Card				
<input type="checkbox"/> E- National Population Register Letter				
<input type="checkbox"/> F- Proof of Possession of Aadhaar	X	X	X	X
<input type="checkbox"/> G- KYC Authentication	X	X	X	X
<input type="checkbox"/> H- Offline verification of Aadhaar	X	X	X	X



Address

Line 1*																		
Line 2																		
Line 3						City / Town / Village*												
District*	<input type="text"/>					Pin / Post Code*		<input type="text"/>			State / U.T Code*		<input type="text"/>		ISO 3166 Country Code*		<input type="text"/>	

1.3 Proof of Identity and Address* (Please refer instruction E at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I	<input type="checkbox"/> Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)													
	<input type="checkbox"/> A- Passport Number	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>												
	<input type="checkbox"/> B- Voter ID Card	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>												
	<input type="checkbox"/> C- Driving Licence	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>												
	<input type="checkbox"/> D- NREGA Job Card	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td></tr></table>												
	<input type="checkbox"/> E- National Population Register Letter	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td></tr></table>												
	<input type="checkbox"/> F- Proof of Possession of Aadhaar	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td></tr></table>												
II	<input type="checkbox"/> E- KYC Authentication	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>												
III	<input type="checkbox"/> Offline verification of Aadhaar	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>												
IV	<input type="checkbox"/> Deemed PoA													
V	<input type="checkbox"/> Self-Declaration													

Address

Line 1* Line 2 Line 3 District* City / Town / Village* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

1.4 CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email ID provided) (Please refer instruction D at the end)

Tel. (Off) - Tel. (Res) - Mobile - Email ID

2. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I /we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/ email address.

Date: DD - MM - YY YY YYPlace:

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies Digital KYC Process E-KYC data received from UIDAI Equivalent e-document
 Data received from Offline verification

KYC VERIFICATION CARRIED OUT BY

Date DD - MM - YY YY YY
 Emp. Name
 Emp. Code
 Emp. Designation
 Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name
 Code

[Institution Stamp]

Details of FATCA & CRS information

For Non-Individuals / legal entity

Name of the entity

Type of address given at KRA ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

PAN _____ **Date of incorporation** _____ D _____ D _____ / _____ M _____ M _____ / _____ Y _____ Y _____ Y _____ Y _____ Y _____

City of incorporation _____

Country of incorporation

Please tick the applicable tax resident declaration :-

1. Is "Entity" a tax resident of any country other than India - YES NO

(If yes, please provide country /ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number%	Identification Type (TIN or other, please specify)

% "In case Tax Identification Number is not available, kindly provide its functional equivalent"

In case TIN or its functional equivalent is not available please provide Company identification number or Global Entity identification Number or GIIN etc

In case The Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. exemption code here

Please refer to para 3(vii) Exemption code for U.S. persons under part D of FATCA Instructions & definitions

FATCA & CRS DECISION

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification.)

PART A (to be filed by Financial Institutions or Direct Reporting NEFs)

1. We are a **Global Intermediary Identification Number (GIIN)**

Financial institution³ Note: If you do not have a GIIN but you are sponsored by another entity, please your sponsor's GIIN above and indicate your sponsor's name below.

Direct reporting NFE⁴ Name of sponsoring entity

(please tick as appropriate)

GIIN Not available (please tick as applicable) **Applied for**

Not required to apply for - please specify 2 digits sub-category.

Not obtained - Non-participating FI

1. Is the Entity a Publicly traded company (that is, a company whose shares are regularly traded on an established securities market)		No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____
2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)		No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation : Subsidiary of the Listed Company or Controlled by a Listed Company Name of stock exchange _____
3. Is the Entity an active ¹ non-financial Entity (NFE)		No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> Name of Business _____ Please specify the sub-category of Active NFE <input type="checkbox"/> (Mention code- refer 2c of part D)
4. Is the Entity an passive ² NFE		No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> (If yes, please fill UBO declaration in the next sections.)

¹Refer 2 of Part D | ²Refer 3(II) of Part D | ³Refer 1(1) of Part D | ⁴Refer 3(vi) of Part D |

If passive NFE, Please

# If passive NFE, Please provide below additional details for each of controlling person. (Please attach additional sheets if necessary)		
Name and PAN / Any Other Identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID Driving License, NREGA Jobcard, others)	Occupation Type - Service, Business, Others Nationality Father's Name - Mandatory if PAN is not available	DOB - Date of Birth Gender - Male, Female, Other
City of Birth • Country of Birth		
1. Name & PAN	Occupation Type	DBO D D/ M M/ Y Y Y Y
City of Birth	Nationality	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input checked="" type="checkbox"/> Female
Country of Birth	Father's Name	<input checked="" type="checkbox"/> Female
1. Name & PAN	Occupation Type	DBO D D/ M M/ Y Y Y Y
City of Birth	Nationality	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input checked="" type="checkbox"/> Female
Country of Birth	Father's Name	<input checked="" type="checkbox"/> Female
1. Name & PAN	Occupation Type	DBO DDMMYYYY
City of Birth	Nationality	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input checked="" type="checkbox"/> Female
Country of Birth	Father's Name	<input checked="" type="checkbox"/> Female
# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India		
* To include US, where controlling person is a US citizen or green card holder		
% In case Tax Identification Number is not available, kindly provide functional equivalent		
<p>The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962. In which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner</p> <p>The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962. In which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders, in relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto,</p> <p>Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.</p> <p>* If any controlling person of the entity is a US citizen or resident or c teen card holder, please include United States in the foreign country information field along with the US Tax Identification Number.</p> <p># It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issue such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.</p>		
Part C : Certification		
I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.		
Date: / / /		
Name		
Designation		
Signature	Signature	Signature

PART D FATCA 'Instructions & Definitions':

(Note : The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

1 (i) Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

1 (ii) Depository Institution: Is an entity that accepts deposits in the ordinary course of banking or similar business.

1 (iii) Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-

- (i) The three financial years preceding the year in which determination is made; or
- (ii) The period during which the entity has been in existence, whichever is less.

1 (iv) Investment entity is any entity:

- (a) That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or individual and collective portfolio management; or
 - (ii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or
- (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or any investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :
 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 - refer point 2c.)

1 (v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

1 (vi) FI not required to apply for GIIN: Refer Rule 114F(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard.
--

A. Reasons why FI not required to apply for GUN:

Code	Sub-category
01	Governmental Entity International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

2. Active Non-financial entity (NFE) : (anyone of the following): Refer Explanation (A) to 114F(6) of income Tax Rules, 1962 for details.

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (In whole or In part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial institution
08	<p>Any NFE that fulfills all of the following requirements</p> <p>It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare.</p> <p>It is exempt from income tax in India :</p> <p>It has no shareholders or members who have a proprietary or beneficial interest in its income or assets</p> <p>The applicable laws of the NFE's country of territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to or (applied for the benefit of a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and</p> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation:- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <p>(I) an investor Protection Fund referred to in clause (23EA);</p> <p>(ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and</p> <p>(iii) an Investor Protection Fund referred to in clause of section 10 23EC, of the Act.</p>

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity; or
- (ii) an investment entity defined in clause 1 (iv)(b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(iii) Passive income

The term passive income includes income by way of:

- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) *Controlling persons*

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

In determining the beneficial owner, the procedure specified in the following circular as amended from time to time shall be applied, namely:-

- (i) DBOD. AML. BC. No. 71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- (ii) CIRMIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- (iii) IRDA/SIDD/GDUCIR/019/02/2013, Issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar position;

(A) Controlling Persons Type :	
Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown

(v) *Specified U.S. person - A U.S person other than the following:*

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of anyone or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701 (a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) *Direct reporting NFE*

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(vii) Exemption code for U.S. persons (Refer 114F(9) of Income Tax Rules, 1962 for details.

Code	Sub-category
A	An organization exempt from tax under section 501 (a) or any Individual retirement plan as defined in section 7701 (a)(37)
B	The United States or any of its agencies or Instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or Instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1 (c)(1)(i)
F	A dealer in securities, commodities, or derivative financial Instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons

I: Investor details:

Investor Name:

Permanent Account Number (PAN)

II: Category

Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company *[If this category is selected, no need to provide UBO details]*.

Name of the Stock Exchange where it is listed. Security ISIN

Name of the Listed Company (applicable if the investor is subsidiary/associate):

Unlisted Company Partnership Firm Unincorporated association / body of Public Charitable
 Private Religious Trust created by a Others [Please specify]

Ultimate Beneficiary Owner (UBO) / Controlling Person(s) / Senior Managing Official details

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? # Yes No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO / SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency#.			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth..... Country of Birth	Place of Birth..... Country of Birth	Place of Birth..... Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm- yyyy] #			
UBO / SMO PEP#	PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/>	PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/>	PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/>
UBO / SMO Address Type	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office. <input type="checkbox"/>	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office. <input type="checkbox"/>	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office. <input type="checkbox"/>
UBO / SMO Occupation	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>
SMO Designation#			
UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.

Mandatory column.

**** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.**

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/Other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU- IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory
Name:
Designation:

Authorized Signatory

Authorized Signatory
Name:
Designation:

Date | D | D | M | M | Y | Y | Y | Y | Y

Place

INSTRUCTIONS ON CONTROLLING PERSONS / ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account-based relationship or when carrying out transactions as specified in clause (b) of sub- rule (1) rule 9.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

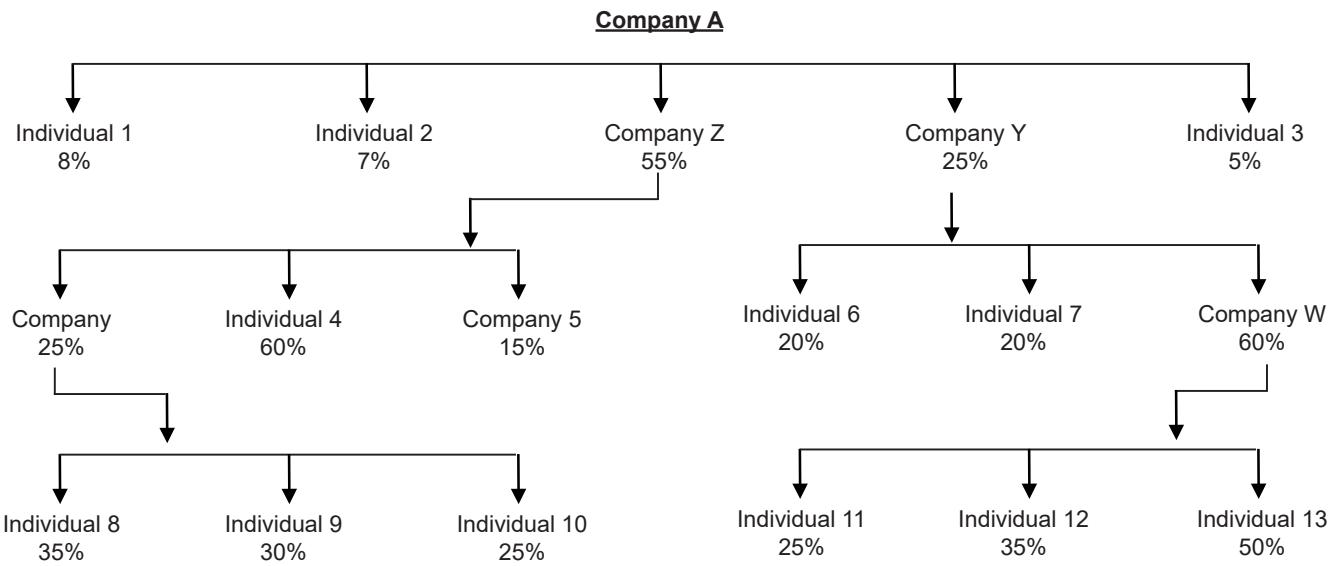
D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Sample Illustrations for ascertaining beneficial ownership:

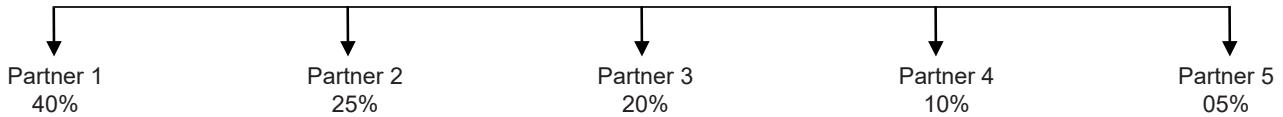
Illustration No. 1 - Company A



For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 - Partner ABC

Partnership Firm ABC



For Partnership Firm ABC, Partners 1, 2, 3 and 4 are considered as UBO as each of them holds $\geq 10\%$ of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 - Trustee ZYX

Trust ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for $\geq 10\%$ of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

SHIRIRAM ASSET MANAGEMENT COMPANY LIMITED (SHIRIRAM AMC LTD.)
- INVESTOR SERVICE CENTRES / OFFICIAL POINTS OF ACCEPTANCE FOR SHIRIRAM MUTUAL FUND
(For Ongoing Transactions)

**Official points of acceptance of transactions for schemes of SHIRIRAM Mutual Fund

SHIRIRAM Mutual Fund Administrative Head Office: 511-512, Meadows, Sahar Plaza, J. B. Nagar, Andheri (East), Mumbai - 400 059. Branch Office: CK-6, 2nd Floor, Sector-II, Salt Lake City, Kolkata-700091.

Address of CAMS Centres:

Andhra Pradesh:

40 - 1 - 68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G. Road, Labbipet, Vijayawada, Andhra Pradesh - 520010| Flat No. GF2, D. No. 47 - 3 - 2 / 2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam, Andhra Pradesh - 530016| Door No. 31 - 13 - 1158, First Floor, 13 / 1, Arundelpet, Ward No. 6, Guntur - 522002| Shop No. 2, 1st Floor, NSR Complex, James Garden, Near Flower Market, Nellore - 524001 | Door No. 6 - 2 - 12, First Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T. Nagar, Rajahmundry, Andhra Pradesh - 533101| Shop No. 6, Door No. 19 - 10 - 8, (Opp to Passport Office), AIR Bypass Road, Tirupati, Andhra Pradesh - 517501| D. No. 3/2151/2152, Shop No 4, Near Food Nation, Raja Reddy Street, Kadapa - 516001, Andhra Pradesh| AGVR Arcade, Second Floor, Plot No. 37 (Part), Layout No. 466 / 79, Near Canara Bank, Sangamesh Nagar, Anantapur, Andhra Pradesh - 515001| Shop No. 26 and 27, Door No. 39 / 265 A and 39 / 265 B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool - 518001| D. No. 25 - 4 - 29, First Floor, Kommireddy vari street, Beside Warf Road, Opp swathi medicals, Kakinada - 533001| Door No 10-5-65, 1st Floor, Dhanwanthri Complex, Kalinga Road, Opp Chandramouli Departmental Store, Near Seven roads Junction, Srikakulam - 532 001

Assam:

Piyali Phukan Road, K. C. Path, House No. 1, Rehabari, Guwahati - 781008| Bangiya Vidyalya Road, Near Old post office, Durgabari, Tinsukia, Assam - 786.125

Bihar:

301B, Third Floor, Patna One Plaza, Near Dak bungalow Chowk, Patna 800001| Brahman Toli, Durgasthan Gola Road, Muzaffarpur, Bihar - 842001| Ground Floor, Gurudwara Road, Near Old Vijaya Bank, Bhagalpur - 812001| Ground Floor , Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga - 846001

Chattisgarh:

First Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai - 490020| HIG, C - 23 Sector - 1, Devendra Nagar, Raipur, Chattisgarh - 492004| Shop No. B - 104, First Floor, Narayan Plaza, Link Road, Bilaspur (C. G) - 495001

Goa:

Office No. 103, 1st Floor, Unitech City Centre, M.G. Road, Panaji Goa, Goa - 403001| F4 - Classic Heritage, Near Axis Bank, Opp. BPS Club, Pajifond, Margao, Goa - 403601| Office No. 503, Buildmore Business Park, New Canca By pass Road, Ximer, Mapusa Goa - 403507| Office No. 503, Buildmore Business Park, New Canca By pass Road, Ximer, Mapusa Goa - 403507| No. DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank, Vasco, Goa - 403802

Gujarat:

303 - 304, 3rd Floor Mercado, Opp Municipal Market, Nr President Hotel, C G Road, Ahmedabad - 380 009| Shop No. G-5, International Commerce Center, Nr. Kadiwala School, Majura Gate, Ring Road, Surat - 395002| 103, Aries Complex, Bpc Road, Off R.C. Dutt Road, Alkapuri, Vadodara, Gujarat - 390007| 101, A.P. Tower, B / H, Sardhar Gunj, Next to Nathwani Chambers, Anand, Gujarat - 388001| 501 - 503, Bhayani Skyline, Behind Joggers Park, Atabhai Road, Bhavnagar - 364001| 207, Manek Centre, P N Marg, Jamnagar, Gujarat - 361001| Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, Gujarat - 360001| 3rd floor, Gita Nivas, Opp Head Post Office, Halar Cross Lane Valsad, Gujarat - 396001| 214 - 215, Second Floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari, Gujarat - 396445| Tirthkala First Floor, Opp BMBC Bank, New Station Road, Bhuj _kachchh. 370001| "Aastha Plus", 202 - A, Second Floor, Sardarbag Road, Nr. Alkapuri, Opp. Zansi Rani Statue, Junagadh, Gujarat - 362001| Shop No. F - 56, First Floor, Omkar Complex, Opp. Old Colony, Near Valia Char Rasta, GIDC, Ankleshwar, Gujarat - 393002| First Floor, Subhadra Complex Urban Bank Road, Mehsana, Gujarat, 384002| 208, Second Floor, HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi, Gujarat - 396195| A - 111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch - 392001| F 142, First Floor, Ghantakarna Complex Gunj Bazar, Nadia, Gujarat - 387001| Shyam Sadan, First Floor, Plot No. 120, Sector 1 / A, Gandhidham - 370201| Unit No. 326, Third Floor, One World - 1, Block - A, Himmatnagar - 383001| Gopal Trade center, Shop No. 13 - 14, Third Floor, Nr. BK Mercantile bank, Opp. Old Gunj, Palanpur - 385001| Shop No. 12, M. D. Residency, Swastik Cross Road, Surendranagar - 363001| A / 177, Kailash Complex, Opp. Khedut Decor Gondal, Gujarat, 360311

Haryana:

LG3, SCO 12 Sector 16, Behind Canara Bank, Faridabad - 121002| Unit No. - 115, First Floor Vipul Agora Building, Sector - 28, Near Sahara Mall, Mehrauli, Gurgaon Road, Chakkarpur, Gurgaon - 122001| SCO 83 - 84, First Floor, Devi Lal Shopping Complex, Opp RBL Bank, G.T.Road , Panipat, Haryana - 132103| SCO 06, Ground Floor, MR Complex, Near Sonipat Stand Delhi Road, Rohtak - 124001| 124 - B / R, Model Town Yamunanagar, Yamuna Nagar, Haryana - 135001| No - 12, Opp. HDFC Bank, Red Square Market, Hisar, Haryana - 125001| Shop No.4250, Near B D Senior Secondary School, Ambala Cantt, Ambala Haryana - 133001| No. 29, Avatar Colony, Behind vishal mega mart, Karnal - 132001| Ground Floor of CA Deepak Gupta, M G Complex, Bhawna Marg, Beside Over Bridge, Bansal Cinerma Market, Sirsa Haryana - 125055

Himachal Pradesh:

First Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla, Himachal Pradesh - 171001| First Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, Himachal Pradesh - 173212

Jammu & Kashmir:

JRDS Heights, Sector 14, Nanak Nagar, Near Peaks Auto Showroom, Jammu Jammu & Kashmir - 180004

Jharkhand:

1st Floor, Plot No. HE-7 City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand - 827004| Urmila Towers, Room No. 111 First Floor, Bank More, Dhanbad, Jharkhand - 826001| Tee Kay Corporate Towers, 3rd Floor, S B Shop Area, Main Road, Bistupur, Jamshedpur-831001| 4, HB Road No. 206, Second Floor, Shri Lok Complex, H B Road, Near Firayalal, Ranchi, Jharkhand - 834001| S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand - 814112| Municipal Market, Annanda Chowk, Hazaribag, Jharkhand - 825301

Karnataka:

Trade Centre, 1st Floor, 45, Dikensen Road (Next to Manipal Centre), Bangalore, Karnataka - 560042| 14-6-674/15(1), SHOP NO -UG11-2, MAXIMUS COMPLEX, LIGHT HOUSE HILL ROAD, MANGALORE - 575001, KARNATAKA| Classic Complex, Block No. 104, First Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum - 590006| 13, First Floor, Akkamahadevi Samaj Complex, Church Road, P. J. Extension, Davangere, Karnataka - 577002| No. 204 - 205, First Floor, B - Block, Kundagol Complex, Opp. Court, Club Road, Hubli, Karnataka - 580029| No. 1, First Floor, Ch. 26 7th Main, 5th Cross (Above Trishakti Medicals), Saraswati Puram, Mysore, Karnataka, - 570009| No. 18 /47 /A, Govind Nilaya, Ward No. 20, Sangankal Moka Road, Gandhinagar, Ballari - 583102| No. 65, First Floor, Krishnappa Compound, 1st Cross, Hosmane Extn, Shimoga, Karnataka - 577201| First Floor, No. 17 / 1, (272) Tweleth Cross Road, Wilson Garden, Bangalore - 560027| Pal Complex, First Floor, Opp. City Bus Stop, Super Market, Gulbarga, Karnataka - 585101| Shop No. A2, Basement Floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka - 576104

Kerala:

Building Name Modayil, Door No. 39 / 2638, DJ, 2nd Floor, 2A, M.G. Road, Cochin - 682016| 29 / 97G, 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, Calicut, Kerala - 673016| 1307 B, Puthenparambil Building, KSACS Road, Opp. ESIC Office, Behind Malaya Manorama Mutambalam - P O, Kottayam - 686501| Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur, Kerala - 680001| TC NO: 22/902, 1st - Floor "BLOSSOM" BLDG, OPP.NSS KARAYOGAM, SASTHAMANGALAM VILLAGE P.O, Thiruvananthapuram Trivandrum-695010. Kerala| Uthram Chanmbers (Ground Floor), Thamarakulam, Kollam - 691006| Room No. PP. 14 / 435, Casa Marina Shopping Centre, Talap, Kannur, Kerala - 670004| Door No. 18 / 507 (3), Anugraha, Garden Street, College Road, Palakkad, Kerala - 678001| First Floor, Room No. 61 (63), International shopping Mall, Opp. ST Thomas Evangelical Church, Above Thomsan Bakery, Manjady, Thiruvalla - 689105| Doctor's Tower Building, Door No. 14 / 2562, First Floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey, Kerala - 688001

Madhya Pradesh:

101, Shalimar Corporate Centre, 8 - B, South Tukogunj, Opp.Greenpark, Indore, MadhyaPradesh - 452001| Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462011| G - 6, Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre, Gwalior, Madhya Pradesh - 474002| 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh - 482001| Second Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara, Madhya Pradesh - 480001| First Floor, Gurunanak dharmakanta, Jabalpur Road, Bargawan, Katni, Madhya Pradesh - 483501| Dafria & Co., No. 18, Ram Bagh, Near Scholar's School, Ratlam, Madhya Pradesh - 457001| Opp. Somani Automobile, S Bhagwanganj Sagar, Madhya Pradesh - 470002| Adjacent to our existing Office at 109, First Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujjain - 456010

Maharashtra:

30, Rajabahadur Compound, Opp. Indian Bank, Mumbai Samachar Marg, Fort, Mumbai, Maharashtra - 400023| 145, Lendra, New Ramdaspath, Nagpur, Maharashtra - 440010| Vartak Pride, 1st Floor, Survey No. 46, City Survey, No. 1477, Hingne budruk, D.P.Road, Behind Dinanath mangeskar Hospital, Karvenagar, Pune - 411052| 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra, 444601| 2nd Floor, Block No. D - 21 - D - 22, Motiwala Trade Centre, Niral Bazar, New Samarth Nagar, Opp. HDFC Bank, Aurangabad - 431001| Rustomji Infotech Services 70, Navipeth, Opp. Old Bus Stand, Jalgaon, Maharashtra - 425001| 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra - 416001| First Floor, "Shradha Niketan", Tilak Wadi, Opp Hotel City Pride, Sharapur Road, Nasik - 422002| Flat No 109, First Floor, A Wing, Kalyani Tower126 Siddheshwar Peth, Near Pangal High School, Solapur, Maharashtra - 413001| 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara, Maharashtra - 415002| Opp. RLT Science College Civil Lines, Akola, Maharashtra - 444001| Dev Corpora, A Wing, 3rd floor, Office no.301, Cadbury Junction, Eastern Express way, Thane (West) - 400 601| No. 351, Icon, 501, Fifth Floor, Western Express Highway, Andheri East, Mumbai - 400069| Jiveshwar Krupa Bldg. Shop. No. 2, Ground Floor, Tilak Chowk Harbhat Road, Sangli, Maharashtra - 416416| Shop No. 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra - 431203| Platinum Mall, Office No. 307, Third Floor, Jawahar Road, Ghatkopar East, Mumbai - 400077| 501 - TIARA, CTS 617, 617 / 1 - 4, Off Chandavarkar Lane, Maharashtra - 415002| BSEL Tech Park, B - 505, Plot No. 39 / 5 & 39 / 5 A, Sector 30A, Opp. Vashi Railway Station Vashi, Navi Mumbai - 400705| Office No. 413, 414, 415, Fourth Floor, Seasons Business Centre, Opp. KDMC (Kalyan Dombivli Municipal Corporation), Shivaji Chowk, Kalyan (W) - 421301| No. 3, First Floor, Shree Parvati, Plot No. 1 / 175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar - 414003| 1793/A, J B Road, Near Tower Garden, Dhule - 424001| Orchid Tower, Ground Floor, Gala No. 06, S. V. No. 301 / Paiki, 1 / 2, Nachane Municipality Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal. Ratnagiri Dist. Ratnagiri - 415612| Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra, 445001| 3, Adelade Apartment, Christain Mohala, Behind Gulshan - E - Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra - 425201

New Delhi:

CAMS Service Center, 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi - 110001| Number G - 8, Ground Floor, Plot No. C - 9, Pearls Best Height - II, Netaji Subhash Place, Pitampura, New Delhi - 110034| Office Number 112, First Floor, Mahatta Tower, B Block Community Centre, Janakpuri, New Delhi - 110058

Orissa:

Plot No. 501 / 1741 / 1846, Office No. 203 (2nd Floor), Centre Point, Sriya Talkies Road, Kharvel Nagar, Unit-3, Bhubaneswar, Odisha - 751001| Kalika temple Street, Ground Floor, Beside SBI BAZAR Branch, Berhampur - 760002| Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack, Orissa - 753001| Second Floor, J B S Market Complex, Udit Nagar, Rourkela - 769012| C/o. Raj Tibrewal & Associates, Opp. Town High School, Sansarak Sambalpur, Orissa - 768001| B. C. Sen Road, Balasore, Orissa - 756001

Pondicherry:

S - 8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House), Pondicherry - 605001

Punjab:

U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, Punjab - 141002| 3rd Floor, Bearing Unit No. 313, Mukut House, Amritsar - 143001| 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandhar City, Punjab - 144001| No. 35 New Lal Bagh, Opp. Polo Ground, Patiala - 147001| 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab - 151001| Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab - 146001| Street No 8-9 Center, Aarya Samaj Road, Near Ice Factory. Moga - 142 001

Punjab (Union Territory):

Deepak Tower, SCO 154 - 155, 1st Floor - Sector 17 - Chandigarh, Punjab - 160017

Rajasthan:

R-7, Yudhisthir Marg C - Scheme, Behind Ashok Nagar Police Station, Jaipur, Rajasthan - 302001| AMC No. 423 / 30, Near ChurchOpp T B Hospital, Jaipur Road, Ajmer, Rajasthan - 305001| 256A, Scheme No. 1, Arya Nagar, Alwar, Rajasthan - 301001| C/o. Kodwani Associates, Shop No. 211 - 213 2nd floor, Indra Prasth Tower syam Ki Sabji Mandi, Near Mukerjee Garden, Bhilwara, Rajasthan - 311001| 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur, Rajasthan - 342003| B-33, Kalyan Bhawan, Near Triangle Park, Vallabh Nagar, Kota, Rajasthan - 324007| No.32, Ahinsapuri, Fatehpura Circle, Udaipur - 313001| 18 L Block, Sri Ganganagar, Rajasthan - 335001| Behind Rajasthan Patrika In front of vijaya bank, 1404, amar singh pura Bikaner - 334001| 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan - 312001

Tamilnadu:

New No. 10 (Old No. 178) M.G.R. Salai, Nungambakkam, Chennai - 600 034.| No. 1334, Thadagam Road, Thirumurthy Layout, R.S. Puram, Behind Venketeswara Bakery, Coimbatore - 641002| Shop No. 3, 2nd Floor Surya Towers, No. 272/273, Goodshed Street, Madurai - 625001| 197, Seshaiyer Complex, Agraharam Street, Erode, Tamilnadu - 638001| No. 2, First Floor, Vivekananda Street, New Fairlands, Salem, Tamilnadu - 636016| 1 (1), Binny Compound, Second Street, Kumaran Road, Tirupur, Tamilnadu - 641601| No. F4, Magnam Surakaa Apartments, Tiruvananthapuram Road, Tirunelveli - 627002| No 8, First Floor, 8th Cross West Extn, Thillainagar, Trichy, Tamilnadu - 620018| Door No. 86, BA Complex, 1st Floor, Shop No 3, Anna Salai (Officer Line), Tollgate, Vellore - 632 001| No. 28 / 8, First Floor, Balakrishna Colony, Pachaiappa Street, Near PVP Lodge, Kumbakonam - 612001| No. A5 75/1 Vaiyapuri Nagar 2nd Cross, Karur - 639 002| Third Floor, B R Complex, No. 66, Door No. 11 A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai - 600045| 16 A / 63 A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamilnadu - 636701| Survey No. 25 / 204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Oppsite To Kuttys Frozen Foods, Hosur - 635110| 156A / 1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, Tamilnadu - 637001| No. 59 A / 1, Railway Feeder Road, (Near Railway Station), Rajapalayam, Tamilnadu - 626117| 4 B / A 16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, Tamilnadu - 628003| No. 158, Rayala Tower - 1, Anna Salai, Chennai - 600002

Telangana:

208, II Floor Jade Arcade Paradise Circle, Hyderabad, Telangana 500 003.| H. No. 2 - 4 - 641, F - 7, First Floor, A. B. K Mall, Old Bus Depot Road, Ramnagar, Hanamkonda, Warangal, Telangana - 506001| H. No. 7 - 1 - 257, Upstairs S B H mangammathota, Karimnagar, Telangana - 505001| Shop No. 11 - 2 - 31 / 3, First Floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Telangana - 507001| No. 15 - 31 - 2 M - 1 / 4, First Floor, 14 - A, MIG, KPHB Colony, Kukatpally, Hyderabad - 500072

Tirupura:

Nibedita First Floor, J B Road, Palace Compound, Agartala, Near Babuana Tea and Snacks, Tripura West, Pin - 799001

Uttarkhand:

204 / 121, Nari Shilp Mandir Marg, First Floor, Old Connaught Place, Chakrata Road, Dehradun, Uttarakhand, 248001| 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee, Uttara khand - 247667

Uttarpradesh:

First Floor 106 - 108 City Centre, Phase II, 63/ 2, The Mall, Kanpur, Uttarpradesh - 208001| Office No. 107, First Floor, Vaisali Arcade Building, Plot No 11, 6 Park Road, Lucknow - 226001| No. 8, II Floor Maruti Tower Sanjay Place, Agra, Uttarpradesh - 282002| 18/18A, FF-3, Gayatri Dham Milan Tower, MG Marg, Civil Lines, Prayagraj (Allahabad) - 211001| 1st Floor, C - 10, RDC Rajnagar, Opp Kacheri, Gate No. 2, Ghaziabad - 201002| Shop No. 5 & 6, Third Floor, Cross Road, The mall, A D Tiraha, Bank Road, Gorakhpur - 273001| 108, First Floor, Shivam Plaza, Opp. Eves Cinema, Hapur Road, Meerut, Uttarpradesh - 250002| H 21 - 22, First Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad - 244001| Office No. 1, Second Floor, Bhawani Market, Building No. D - 58 / 2 - A1, Rathyatra Beside Kuber Complex, Varanasi, Uttarpradesh - 221010| No. 372 / 18D, First Floor, Above IDBI Bank, Beside V - Mart, Near RAKSHAN, Gwalior Road, Jhansi - 284001| City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh, Uttarpradesh - 202001| F - 62 - 63, Second Floor, Butler Plaza, Commercial Complex, Civil Lines, Bareilly, Uttarpradesh - 243001| First Floor, Krishna Complex, Opp. Hathi Gate Court Road, Saharanpur, Uttarpradesh - 247001| Commercial Shop No. GF 10 & GF 38, Ground Floor, Ansal Fortune Arcade, Plot No. K - 82, Sector - 18, Noida - 201301| C/O. Rajesh Mahadev & Co., Shop No. 3, First Floor, Jamia Complex Station Road, Basti - 272002| 9/1/51, Rishi Tola Fatehganj, Ayodhya, Faizabad, Uttar Pradesh-224001| Durga City Centre, Nainital Road, Haldwani, Uttarakhand - 263139| 248, Fort Road Near Amber Hotel, Jaunpur Uttarpradesh - 222001| 159 / 160 Vikas Bazar Mathura Uttarpradesh - 281001| 17, Anand Nagar Complex, Opposite Moti Lal Nehru Stadium, SAI Hostel Jail Road, Rae Bareilly, Uttar pradesh - 229001| Bijlipur, Near Old Distt Hospital, Jail Road, Shahjahanpur Uttarpradesh - 242001| Arya Nagar, Near Arya Kanya School, Sitapur, Uttarpradesh - 261001| 967, Civil Lines, Near Pant Stadium, Sultanpur, Uttarpradesh - 228001

West Bengal:

Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216| 2/1, Russell Street, 2nd Floor, Kankaria Centre, Kolkata - 700071| Block - G, First Floor, P C Chatterjee Market Complex, Rambandhu Talab PO, Ushagram Asansol, West Bengal - 713303| 399, G T Road, Basement, Building Name - Talk of the Town, Burdwan, West Bengal - 713101| No.78, Haren Mukherjee Road, First Floor, Beside SBI Hakimpura, Siliguri - 734001| A - 1 / 50, Block A, Kalyani - Nadia Dt, PIN - 741235| "Silver Palace" OT Road, Inda - Kharagpur, G - P - Barakola, P.S. Kharagpur Local, Dist West Midnapore - 721305| Mouza - Basudevpur, J. L. No. 126, Haldia Municipality, Ward No. 10, Durgachak, Haldia - 721602| Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, West bengal - 732101| 3 / 1, R. N. Mukherjee Road, Third Floor, Office space - 3 C, "Shreeram Chambers", Kolkata - 700001

AMFI CERTIFIED STOCK EXCHANGE BROKERS/ CLEARING MEMBERS /DEPOSITORY PARTICIPANTS# AS OFFICIAL POINTS OF ACCEPTANCE FOR TRANSACTIONS (PURCHASE/ REDEMPTION) OF UNITS OF SHIRIRAM MUTUAL FUND SCHEMES THROUGH THE STOCK EXCHANGE(S) INFRASTRUCTURE

For Processing only Redemption Request of Units Held in Demat Form.

The eligible AMFI certified stock exchange Brokers/ Clearing Members/ Depository Participants who have complied with the conditions stipulated in Para 16.2.4.8 of SEBI Mutual Funds Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 for stock brokers viz. AMFI/ NISM certification, code of conduct prescribed by SEBI for Intermediaries of Mutual Fund will be considered as Official Points of Acceptance (OPA) of the Mutual Fund.

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website: www.shriramamc.in