| General information about company | | | | | |
|--|--|--|--|--|--|
| Scrip code | 531359 | | | | |
| NSE Symbol | | | | | |
| MSEI Symbol | | | | | |
| ISIN | INE777G01012 | | | | |
| Name of the company | SHRIRAM ASSET MANAGEMENT COMPANY LIMITED | | | | |
| Whether company is SME | No | | | | |
| Class of Security | Equity Shares | | | | |
| Type of report | Quarterly | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2020 | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | |

| | Declaration | | | | | | |
|------------|--|--------|--------------------------------|-----------------------|-----------------------------|--|--|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public | | |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No | | |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No | | |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No | | |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No | | |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No | | |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No | | |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | | | |

| | | Tab | ole I - Su | mmary | Statement | holding o | of specified secur | rities | | | |
|-----------------|---|--|----------------------|------------------|------------------|------------------------------|-------------------------------------|---------------|-------|-----------------------|-------------|
| | Category | Nos. Of | No. of fully paid | No. Of Partly | No. Of shares | Total nos. | Shareholding as a % of total no. of | Number of | | g Rights he s (IX) | eld in each |
| Category (I) | of shareholder | shareholders up equity paid-up underlying held (VII) shares (calculated No of Voting (| ting (XI | V) Rights | Total as a | | | | | | |
| | (II) | held (IV) | shares held (V) | snares Receipts | (V)+ (VI) | (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | % of (A+B+C) | |
| (A) | Promoter & Promoter Group | 1 | 4120010 | | | 4120010 | 68.67 | 4120010 | | 4120010 | 68.67 |
| (B) | Public | 989 | 1879990 | | | 1879990 | 31.33 | 1879990 | | 1879990 | 31.33 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 990 | 6000000 | | | 6000000 | 100 | 6000000 | | 6000000 | 100 |

| | | Tabl | e I - Summ | ary Statemen | t holding of specified | secu | rities | | | |
|------|--|----------------------------|---------------------------------|---|---|--|---------------------------------|--|---------------------------------|------------------------------|
| | Category of | Category Shares Underlying | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (| Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
| (I) | shareholder (II) Outstandin convertible securities (X) | convertible securities | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | | | | 68.67 | | | | | 4120010 |
| (B) | Public | | | | 31.33 | | | | | 1652230 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | _ | _ | | 100 | | | | _ | 5772240 |

| | | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. | Number of Voting Rights held in each class of securities (IX) | | | |
|--|---|--|--|-----------------------|------------------------------------|---------------------------|--|---|---------------|---------|---------------------------------|
| Sr. | Category & Name of the | | | paid- up equity | shares underlying Depository | shares held (VII) = | of shares (calculated as per SCRR, | No of Voting (XIV) Rights | | | Total as a % |
| | Shareholders (I) | | | shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights |
| A | Table II - Stateme | ent showing sh | areholding | pattern o | f the Promote | r and Prom | oter Group | • | • | | |
| (1) | Indian | | | | | | | | | | |
| (d) | Any Other (specify) | 1 | 4120010 | | | 4120010 | 68.67 | 4120010 | | 4120010 | 68.67 |
| Sub-Total (A) (1) | | 1 | 4120010 | | | 4120010 | 68.67 | 4120010 | | 4120010 | 68.67 |
| (2) | Foreign | ! | | ! | ! | | | | • | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 1 | 4120010 | | | 4120010 | 68.67 | 4120010 | | 4120010 | 68.67 |
| В | Table III - Statem | Cable III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 928 | 643576 | | | 643576 | 10.73 | 643576 | | 643576 | 10.73 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 12 | 994980 | | | 994980 | 16.58 | 994980 | | 994980 | 16.58 |
| (e) | Any Other (specify) | 49 | 241434 | | | 241434 | 4.02 | 241434 | | 241434 | 4.02 |
| Sub-Total (B) (3) | | 989 | 1879990 | | | 1879990 | 31.33 | 1879990 | | 1879990 | 31.33 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 989 | 1879990 | | | 1879990 | 31.33 | 1879990 | | 1879990 | 31.33 |
| С | Table IV - Statem | ent showing sl | nareholding | g pattern o | of the Non Pr | omoter- No | n Public sharehold | ler | | | |
| Total (A+B+C2) | | 990 | 6000000 | | | 6000000 | 100 | 6000000 | | 6000000 | 100 |
| Total (A+B+C | | 990 | 6000000 | | | 6000000 | 100 | 6000000 | | 6000000 | 100 |

| | Shares Underlying Sh | No. of Shares | No. Of Shares Underlying Outstanding | Shareholding, as a % assuming full conversion of | Locked in | | pled othe | nber of Shares ged or rwise umbered (XIII) | Number of equity shares |
|--|--|------------------|--|--|-----------|---------------------------------|--------------|---|---|
| Sr. | Outstanding convertible warrants Warrants Convertible No. Of | | Warrants (Xi) | diluted share capital) | | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) |
| A | Table II - Sta | tement showin | g shareholding pa | attern of the Promoter and | Prom | oter Gro | up | | |
| (1) | Indian | | | | | | | | |
| (d) | | | | 68.67 | | | | | 4120010 |
| Sub-Total (A) (1) | | | | 68.67 | | | | | 4120010 |
| (2) | Foreign | | | | | • | • | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 68.67 | | | | | 4120010 |
| В | Table III - Sta | atement showir | ng shareholding p | pattern of the Public sharel | nolder | | | • | • |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institution | ons | | | | | | | |
| (a(i)) | | | | 10.73 | | | | | 464317 |
| (a(ii)) | | | | 16.58 | | | | | 970479 |
| (e) | | | | 4.02 | | | | | 217434 |
| Sub-Total (B) (3) | | | | 31.33 | | | | | 1652230 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 31.33 | | | | | 1652230 |
| С | Table IV - Sta | atement showing | ng shareholding p | pattern of the Non Promote | er- No | n Public | share | holder | |
| Total (A+B+C2) | | | | 100 | | | | | 5772240 |
| Total (A+B+C) | | | | 100 | | | | | 5772240 |
| Disclosure of n | otes on shareh | olding pattern | | | • | | | Textual Information(1) | |

Text Block

Textual Information()

1. The Promoter Group as defined under Section 2(1)(zb) of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 : (1) Shriram Ownership Trust, (2) Shriram Capital Limited, (3) Shriram Wealth Advisors Limited, (4) Shriram Insight Share Brokers Limited, (5) Shriram Fortune Solutions Limited, (6) Shriram Financial Products Solutions (Chennai) Private Limited, (7) Insight Commodities and Futures Private Limited, (8) Shriram Financial Ventures (Chennai) Private Limited (9) Shriram Seva Sankalp Foundation (10) Shrilekha Business Consultancy Private Limited 2. The Persons Acting in Concert (PAC), as defined in the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (SAST Regulations, 2011) for the purpose of Regulation 10 of SAST Regulations, 2011: (i) Shriram Transport Finance Company Limited, (ii) Shriram Life Insurance Company Limited, (iii) Shriram General Insurance Company Limited, (iv) Shriram Overseas Investments Private Limited, (v) Shriram City Union Finance Limited, (vi) Shriram Housing Finance Limited, (vii) Shriram Value Services Limited (viii) Novac Technology Solutions Private Limited (ix) * Novac Digital Services Private Limited (x) Snottor Technology Services Private Limited (xi) Shriram Mutual Fund, (xii) Mr. S. Krishnamurthy (Trustee of Shriram Mutual Fund), (xiii) Mr. V.N. Shivashankar (Trustee of Shriram Mutual Fund), (xiv) Dr. Qudsia Gandhi (Trustee of Shriram Mutual Fund), (xv) Mr. Mani Sridhar (Trustee of Shriram Mutual Fund) 3. All the entities mentioned in Note No. 1 and Note No. 2 are PACs for not less than three years (except the entity at Sr. No. (vii), (viii), (ix) and (x) at note no 2 which are PACs for less than 3 years). 4. None of the above-mentioned entities in Note No.1 and 2 hold any equity shares in the Company. *Name changed from Techfactory Services Private Limited to Novac Digital Services Private Limited w.e.f August 2019.

| | Any Other (specify) | |
|---|--|-----------------------|
| Searial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SHRIRAM CREDIT COMPANY LIMITED | |
| PAN (II) | AAGCS4497N | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 4120010 | 4120010 |
| No. Of Partly paid- up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4120010 | 4120010 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 68.67 | 68.67 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg: X | 4120010 | 4120010 |
| Class eg:y | | |
| Total | 4120010 | 4120010 |
| Total as a % of Total Voting rights | 68.67 | 68.67 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 68.67 | 68.67 |
| Number of Locked | in shares (XII) | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares | pledged or otherwise encumbered (XIII) | , |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| 1 | · | |

| Number of equity shares held in dematerialized form (XIV) | 4120010 | 4120010 |
|--|------------|---------|
| Reason for not pro | viding PAN | |
| Reason for not providing PAN | | |
| Shareholder type | | |

| Individ | uals - ii. Indivi | dual shareholders | s holding nor | ninal share ca | pital in excess of Rs. 2 la | khs. |
|--|------------------------|-------------------------|------------------|----------------|--------------------------------------|--------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | HITESH RAMJI JAVERI | VISHAL DEEPAK ANAND | SFV. SELVARAJ | T BHAVANI | DAWOOD MOHAMMED HUSAIN MITHAIWALA | Click here to go back |
| PAN (II) | AABPJ4691H | ADLPA8554B | AASPS5404L | AABPT2694N | AKEPM5307Q | Total |
| No. of fully paid up equity shares held (IV) | 249500 | 184408 | 143282 | 73702 | 72558 | 723450 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 249500 | 184408 | 143282 | 73702 | 72558 | 723450 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.16 | 3.07 | 2.39 | 1.23 | 1.21 | 12.06 |
| Number of Voting | Rights held in each | class of securities (IX | () | | | • |
| Class eg: X | 249500 | 184408 | 143282 | 73702 | 72558 | 723450 |
| Class eg:y | | | | | | |
| Total | 249500 | 184408 | 143282 | 73702 | 72558 | 723450 |
| Total as a % of Total Voting rights | 4.16 | 3.07 | 2.39 | 1.23 | 1.21 | 12.06 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.16 | 3.07 | 2.39 | 1.23 | 1.21 | 12.06 |
| Number of Locked | in shares (XII) | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 249500 | 184408 | 143282 | 73701 | 72558 | 723449 |
| Reason for not pro- | viding PAN | | | | | |
| Reason for not providing PAN | | | | | | |

| | | Any Otho | er (specify) | | | |
|---|---|---------------------|---------------------|-------------------------------------|----------|------------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | Bodies Corporate | Bodies Corporate | Clearing Members | Director or Director's Relatives | HUF | Non-Resident Indian (NRI) |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Category | Category | Category | Category | Category |
| Name of the Shareholders (I) | INTEGRATED ENTERPRISES (INDIA) LTD | | | | | |
| PAN (II) | AAACI1509F | | | | | |
| No. of the Shareholders (I) | 1 | 23 | 1 | 1 | 20 | 3 |
| No. of fully paid up equity shares held (IV) | 60000 | 151118 | 1715 | 8156 | 19400 | 1045 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 60000 | 151118 | 1715 | 8156 | 19400 | 1045 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1 | 2.52 | 0.03 | 0.14 | 0.32 | 0.02 |
| Number of Voting | Rights held in each class of securities | es (IX) | -1 | | | ! |
| Class eg: X | 60000 | 151118 | 1715 | 8156 | 19400 | 1045 |
| Class eg:y | | | | | | |
| Total | 60000 | 151118 | 1715 | 8156 | 19400 | 1045 |
| Total as a % of Total Voting rights | 1 | 2.52 | 0.03 | 0.14 | 0.32 | 0.02 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1 | 2.52 | 0.03 | 0.14 | 0.32 | 0.02 |
| Number of Locked | in shares (XII) | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 60000 | 127118 | 1715 | 8156 | 19400 | 1045 |

| 1 | Ī | 1 | 1 | Ī | | Î. | |
|------------------------------|---|---|---|---|--|----|--|
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| | Any Other (specify) |
|---|--|
| Searial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 48 |
| No. of fully paid up equity shares held (IV) | 181434 |
| No. Of Partly paid- up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 181434 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.03 |
| Number of Voting | Rights held in each class of securities (IX) |
| Class eg: X | 181434 |
| Class eg:y | |
| Total | 181434 |
| Total as a % of Total Voting rights | 3.03 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.03 |
| Number of Locked | in shares (XII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 157434 |
| | |

| Reason for not providing PAN | | | | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | | | | |

| | significant beneficial owners | | | | | | | | | | | | | | |
|------------|---|-------------|---|---------------------------------|-----|---|-------------|---|---|---------------|--|---------------------|-----------------------------------|--|--|
| of the SBO | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | Date of | | |
| PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | creation / acquisition of significant beneficial interest | |