

2019-20

ANNUAL REPORT

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SHRIRAM MUTUAL FUND

Sponsor :

Shriram Credit Company Limited
CIN : U65993TN1980PLC008215
Registered Office :
Shriram House, No. 4, Burkit Road
T. Nagar, Chennai - 600 017

Trustee :

Board of Trustees
Mookambika Complex, 3rd Floor,
4, Lady Desikachari Road,
Mylapore, Chennai - 600 004

Asset Management Company :

Shriram Asset Management Co. Ltd.
CIN : L65991MH1994PLC079874

Registered Office :

1006, 10th Floor, "Meadows", Sahar Plaza,
Andheri Kurla Road, J. B. Nagar,
Andheri (East), Mumbai - 400059

Administrative HO :

CK-6, 2nd Floor, Sector II
Salt Lake City, Kolkata - 700 091

Custodian :

Stock Holding Corporation of India Ltd.
Registered Office :
301, Center Point
Dr. B. Ambedkar Road, Parel
Mumbai - 400 012

Statutory Auditors :

M/s. CHOKSHI & CHOKSHI LLP
Chartered Accountants
15/17, Raghavji 'B' Bldg, Ground Floor,
Gowalia Tank, Off Kemps Corner,
Mumbai - 400 036, India

Registrar & Transfer Agent :

Computer Age Management Services Pvt. Ltd.
Registered Office :
New No.10, Old No.178,
M.G.R.Salai, Nungambakkam,
Chennai - 600 034

Board of Trustees :

Mr. S. Krishnamurthy - Chairman - Board of Trustees
Dr. Qudsia Gandhi - (Independent Trustee)
Mr. V. N. Shiva Shankar - (Independent Trustee)
Mr. Mani Sridhar - (Independent Trustee)

Board of Directors :

Mr. Prabhakar Dattarraya Karandikar
– Independent - (Chairman)
Mr. Akhilesh Kumar Singh
– Associate - (Managing Director)
Mr. Rangaswamy Sundara Rajan
– Associate Director
Mr. Dhruv Lalit Mehta
– Associate Director
Mr. Arindom Mukherjee
– Independent Director
Mrs. Jayashree Mahesh
– Associate Director
Mr. Kshiti Ranjan Das
– Independent Director
Mrs. Chandra Iyengar
– Independent Director

SHRIRAM MUTUAL FUND

TRUSTEE REPORT

REPORT OF THE BOARD OF TRUSTEES OF SHRIRAM MUTUAL FUND FOR THE FINANCIAL YEAR 2019-20

Dear Unit holder,

The Board of Trustees presents its annual report and the audited financial statements of the Schemes of Shriram Mutual Fund (the "Fund"), for the year ended March 31, 2020.

SHRIRAM MUTUAL FUND

Shriram Mutual Fund is a part of the India's leading financial services conglomerate, 'Shriram Group', a name to reckon with in the financial services sector for the past 3 decades.

Shriram Group is among the leading financial services conglomerates with over 12 million customers and over 70000 employees. Shriram Group was one of the first to identify the business opportunity of catering to the financial needs of a very large but neglected customer base that did not have access to organized financial services, way back in 1974 - namely truck financing for small truck owners. Its brand "Shriram" is today the most "trusted" brand name in this target market. It already has a large bouquet of products that addresses the financial needs of this customer base with dedicated team of employees and agents who can successfully engage with this target market. The Group today manages assets of over INR 90,000 Cr (including Non-Financial services).

The Shriram group's foray into Mutual Fund business has been done with the restart of the business of Shriram Mutual Fund and thereafter launch of hybrid scheme "Shriram Hybrid Equity Fund" on November 29, 2013.

Currently, the Fund has three more active schemes "Shriram Multicap Fund" (launched on September 28, 2018), "Shriram Long Term Equity Fund" (launched on January 25, 2019) & "Shriram Balanced Advantage Fund" (launched on July 05, 2019). Shriram Mutual Fund has an AUM of Rs. 155.49 cr. with a retail investor base of 20,512 as on March 31, 2020.

SCHEME PERFORMANCE, FUTURE OUTLOOK AND OPERATIONS OF THE SCHEMES

(1) PERFORMANCE OF THE SCHEMES FOR THE PERIOD ENDED MARCH 31, 2020

Shriram Hybrid Equity Fund

Returns of Regular Plan - Growth Option as on March 31, 2020						
Date of inception : 29 Nov. 2013						
NAV as on March 31, 2020 Rs. 14.3442						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	16.8884	-15.06	-14.65	8,494	8,535
March 31, 2017	Last 3 Year	14.4458	-0.23	2.13	9,930	10,652
March 31, 2015	Last 5 Year	13.1869	1.69	3.96	10,878	12,148
NA	Last 10 Year	NA	NA	NA	NA	NA
November 29, 2013	Since Inception	10.0000	5.86	7.86	14,344	16,153

Returns of Direct Plan - Growth Option as on March 31, 2020						
Date of inception : 29 Nov. 2013						
NAV as on March 31, 2020 Rs. 15.1040						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	17.5130	-13.76	-14.65	8,624	8,535
March 31, 2017	Last 3 Year	14.7295	0.84	2.13	10,254	10,652
March 31, 2015	Last 5 Year	13.2788	2.61	3.96	11,375	12,148
NA	Last 10 Year	NA	NA	NA	NA	NA
November 29, 2013	Since Inception	10.0000	6.72	7.86	15,104	16,153

SHRIRAM MUTUAL FUND

- As per SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2018/04 dated Jan 04, 2018, in benchmark Nifty value taken as Total Return Index value
- The returns are Compounded Annual Growth Returns (CAGR) for the past 1 year, 3 years, 5 years & since inception and simple annualized for less than 1 year. Different plans shall have a different expense structure. The performance details provided herein are of Regular Plan-Growth Option & Direct Plan-Growth Option. The scheme has been in existence for more than 5 years but less than 10 years. Hence, data are not available for the last 10 years period.
- Benchmark is a blend of 70% NIFTY 50 TRI value for the Equity part of the Portfolio & 30% of CRISIL Composite Bond Fund Index for its investments in Debt and Money Market Instruments.
- Performance of dividend option would be Net of Dividend distribution tax, if any. For computation of return since inception (%) the allotment NAV has been taken as Rs. 10.00. Point-to-point returns on a standard investment of Rs. 10,000/- are in addition to CAGR for the Scheme.
- Past performance may or may not be sustained in future.** Load is not considered for computation of returns. In case, the start/end date of the concerned period is a non business date (NBD), the NAV of the previous date is considered for computation of returns. The NAV per unit shown in the table is as on the start date of the said period.
- The scheme is being managed by Ms. Gargi Bhattacharyya Banerjee with effect from April 21, 2020.

Shriram Multicap Fund

Returns of Regular Plan - Growth Option as on March 31, 2020						
Date of inception : 28 Sep. 2018						
NAV as on March 31, 2020 Rs. 8.2478						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	10.5983	-22.18	-26.62	7,782	7,338
NA	Last 3 Year	NA	NA	NA	NA	NA
NA	Last 5 Year	NA	NA	NA	NA	NA
NA	Last 10 Year	NA	NA	NA	NA	NA
September 28, 2018	Since Inception	10.0000	-12.00	-15.13	8,248	7,810

Returns of Direct Plan - Growth Option as on March 31, 2020						
Date of inception : 28 Sep. 2018						
NAV as on March 31, 2020 Rs. 8.4776						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	10.6909	-20.70	-26.62	7,930	7,338
NA	Last 3 Year	NA	NA	NA	NA	NA
NA	Last 5 Year	NA	NA	NA	NA	NA
NA	Last 10 Year	NA	NA	NA	NA	NA
September 28, 2018	Since Inception	10.0000	-10.38	-15.13	8,478	7,810

- NIFTY 500 TRI value is the benchmark of the scheme. As per SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2018/04 dated Jan 04, 2018, in benchmark Nifty 500 value has been taken as Total Return Index value.
- The returns are Compounded Annual Growth Returns (CAGR) for the past 1 year & since inception and simple annualized for less than 1 year. Different plans shall have a different expense structure. The performance details provided herein are of Regular Plan-Growth Option & Direct Plan-Growth Option. The scheme has been in existence for more than 1 year but less than 3 years. Hence, data are not available for the last 3, 5 & 10 years period.
- Performance of dividend option would be Net of Dividend distribution tax, if any. For computation of return since inception (%) the allotment NAV has been taken as Rs. 10.00. Point-to-point returns on a standard investment of Rs. 10,000/- are in addition to CAGR for the Scheme.

SHRIRAM MUTUAL FUND

- Past performance may or may not be sustained in future.**!Load is not considered for computation of returns. In case, the start/end date of the concerned period is a non business date (NBD), the NAV of the previous date is considered for computation of returns. The NAV per unit shown in the table is as on the start date of the said period.
- The scheme is being managed by Ms. Gargi Bhattacharyya Banerjee with effect from April 21, 2020.

Shriram Long Term Equity Fund

Returns of Regular Plan - Growth Option as on March 31, 2020						
Date of inception : 25 Jan. 2019						
NAV as on March 31, 2020 Rs. 8.8670						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	10.4779	-15.37	-26.62	8,463	7,338
NA	Last 3 Year	NA	NA	NA	NA	NA
NA	Last 5 Year	NA	NA	NA	NA	NA
NA	Last 10 Year	NA	NA	NA	NA	NA
January 25, 2019	Since Inception	10.0000	-9.68	-18.10	8,867	7,900

Returns of Direct Plan - Growth Option as on March 31, 2020						
Date of inception : 25 Jan. 2019						
NAV as on March 31, 2020 Rs. 9.0795						
Date	Period	NAV (Rs.) Per unit	Scheme Return % (Annualized)	Benchmark Return % (Annualized)	Value of Investment of Rs. 10000	
					Scheme	Benchmark
March 31, 2019	Last 1 Year	10.5144	-13.65	-26.62	8,635	7,338
NA	Last 3 Year	NA	NA	NA	NA	NA
NA	Last 5 Year	NA	NA	NA	NA	NA
NA	Last 10 Year	NA	NA	NA	NA	NA
January 25, 2019	Since Inception	10.0000	-7.85	-18.10	9,080	7,900

- NIFTY 500 TRI value is the benchmark of the scheme. As per SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2018/04 dated Jan 04, 2018, in benchmark Nifty 500 value has been taken as Total Return Index value.
- The returns are Compounded Annual Growth Returns (CAGR) for the past 1 year & since inception and simple annualized for less than 1 year. Different plans shall have a different expense structure. The performance details provided herein are of Regular Plan-Growth Option & Direct Plan-Growth Option. The scheme has been in existence for more than 1 year but less than 3 years. Hence, data are not available for the last 3, 5 & 10 years period.
- Performance of dividend option would be Net of Dividend distribution tax, if any. For computation of return since inception (%) the allotment NAV has been taken as Rs. 10.00. Point-to-point returns on a standard investment of Rs. 10,000/- are in addition to CAGR for the Scheme.
- Past performance may or may not be sustained in future.**!Load is not considered for computation of returns. In case, the start/end date of the concerned period is a non business date (NBD), the NAV of the previous date is considered for computation of returns. The NAV per unit shown in the table is as on the start date of the said period.
- The scheme is being managed by Ms. Gargi Bhattacharyya Banerjee with effect from April 21, 2020.

Performance of “Shriram Balanced Advantage Fund”

Since the other active scheme of Shriram Mutual Fund i.e. Shriram Balanced Advantage Fund (Inception Date : July 05, 2019) had been in existence for less than one year as on March 31, 2020, hence the performance of this scheme as on March 31, 2020 has not been provided.

(2) FUTURE OUTLOOK OF THE FUND

Fundamentals for the Indian economy remain strong going forward despite the outbreak of the COVID-19 pandemic. Both the Government and the RBI have remained vigilant of the evolving situation and are deploying measures on a regular basis in order to mitigate the impact of the pandemic. The RBI has already provided a 75-bps repo rate cut and has also announced further liquidity measures in order to aid the financial sector. The Government has also announced certain regulatory measures, a stimulus package for the poor and needy, and is also expected to announce another substantial fiscal stimulus to support the economy.

Going forward, the mitigation of the COVID-19 pandemic would act as the main trigger for the market. Apart from that, earnings releases, RBI's announcements of further liquidity measures, crude oil price movement and the impact of COVID-19 on the global economy would be the other factors affecting markets.

The buoyancy of mutual fund investment can be gauged by the massive increase in contributions through Systematic Investment Plan (SIPs). The contribution of SIP stood at Rs 1,00,083 crores in FY20, up 7.97% even in a volatile equity market. 9.95 lakh SIP accounts were added on an average each month during the financial year with an average SIP size of about Rs 2,750 per account taking the total number of SIP accounts to about 3.12 crores in FY20. Rising awareness about mutual funds through various initiatives and campaigns like 'Mutual Funds Sahi Hai' has bolstered investor sentiment about the benefits of SIPs.

(3) OPERATIONS OF THE SCHEMES

A brief commentary on the operations of Shriram Mutual Fund schemes is as follows.

Under the growth plan, Shriram Hybrid Equity Fund scheme delivered return of 5.86% (at the end of March 2020 on a CAGR basis) since inception accompanied by lower levels of volatility. Since inception there has been a cumulative dividend payout of Rs.2.45 per unit on a face value of Rs 10 by the scheme.

Under the growth plan, Shriram Multicap Fund delivered a compounded annualized growth return of -12.00% (as on 31st March, 2020). Under the growth plan, Shriram Long Term Equity Fund delivered a compounded annualized growth return of -9.68% (as on 31st March, 2020). In July 2019, Shriram Balanced Advantage Fund was also launched.

BACKGROUND OF TRUST, SPONSORS, BOARD OF TRUSTEES and AMC**A. Shriram Mutual Fund :**

Shriram Mutual Fund ("the Mutual Fund" or "the Fund" or "the MF") had been constituted as a Trust in accordance with the provisions of the Indian Trusts Act, 1882 (2 of 1882) vide a Trust Deed dated May 27, 1994 as amended from time to time. The said Trust deed has been duly registered under the Indian Registration Act, 1908. The Fund was registered with SEBI vide registration number MF/017/94/4 dated November 21, 1994. The Trust has been formed for the purpose of pooling of capital from the public for collective investment in securities / any other property for the purpose of providing facilities for participation by persons as beneficiaries in such properties/ investments and in the profits / income arising there from.

B. Sponsor :

Shriram Mutual Fund is sponsored by Shriram Credit Company Ltd (SCCL), a Shriram Group Company, Chennai. The sponsor is the settler of the Mutual Fund Trust. The sponsor has entrusted a sum of Rs. 1 Lakh to the Trustees as its contribution towards the corpus of the Mutual Fund. Shriram Credit Company Ltd (SCCL) formerly known as Swastik Credit Company Limited is a Non deposit Non-Banking Finance Company registered with Reserve Bank of India. The company was incorporated in 1980. It is engaged in both investment and lending activities.

Shriram Credit Company Limited operates as a subsidiary of Shriram Capital Limited. Currently, the Company is engaged in the following activities in addition to acting as the Sponsor of SAMCL:

- To lend money on securities, movable or immovable properties.
- To make strategic investments and to act as the holding company of the broking, distribution, wealth and asset management companies.

C. Board of Trustees

Shriram Board of Trustees ("the Trustee") shall discharge its obligations as Trustees of the Shriram Mutual Fund. The Trustee ensures that the transactions entered into by Shriram Asset Management Company Limited (AMC), are in accordance with the SEBI (Mutual Fund) Regulations, 1996. ("Regulations") and will also review the activities carried on by the AMC.

SHRIRAM MUTUAL FUND

Details of the Trustees on the Board of Trustees :

Name	Age	Qualification	Brief Experience
Mr. S. Krishnamurthy Chairman - Board of Trustees	81 Years	B.A., MLS, CAIIB, P.G., Diploma in P/M & IR, BGL	Mr. S. Krishnamurthy is a Senior Banker with extensive experience of over four decades with the Reserve Bank of India and Commercial Banks. He served as General Manager (Vigilance & Inspection/Audit) in Indian Overseas Bank, Chennai for five years. He was also the Chairman and CEO of Tamilnad Mercantile Bank Ltd. Tuticorin, for over five years. He had held the post of Banking Ombudsman about two years. He is presently on the Board of other corporate companies as Director.
Mr. V. N. Shiva Shankar (Independent)	50 Years	B.Com., B.L., A.C.S, AICWA	Mr. V. N. Shiva Shankar is a Legal & Secretarial Consultant for Corporates. He was Head of Legal, Secretarial & Business Process outsourcing for 7 years. He is a member on the Boards of other companies as Director.
Dr. Qudsia Gandhi (Independent)	69 Years	M.A. (Madras University) and M.A. (Manchester University, UK)	Dr. Qudsia Gandhi is a retired IAS Officer. Dr. Gandhi has worked in senior positions with various organizations like Tamil Nadu Power Finance Corporation, Tamil Nadu Overseas Manpower Corporation as Chairman, Managing Director and as Addl. Chief Secretary/CMD respectively. She was awarded the Best Woman IAS officer for the year 2009-10. Adjudged, International Woman of the year in the field of education by the International Women's Organization. She holds an Honorary Doctorate in Divinity by Gurukul University for her contribution to betterment of Society. She is on the Boards of other companies as Director.
Mr. Mani Sridhar (Independent)	59 Years	M.Com (Madras University)	Mr. Mani Sridhar is an M.Com. from Madras University. He has an overall experience of 30 years in finance and financial services field. Mr. Sridhar was associated with Madras Stock Exchange Limited as a registered member and performed various financial activities viz. stock broking, investment advisory services etc. Presently, Mr. Sridhar is on the Board of Turnbolls Investment Consultancy (P) Ltd. and has been handling various key functions like investment advisory services to Corporate, High Networth individuals, Private placement of unlisted and start-up companies, Loan syndication etc.

SHRIRAM MUTUAL FUND

D. Asset Management Company

Shriram Asset Management Company Limited, a company incorporated under the Companies Act, 1956 on July 27 1994, having its Registered Office at 1006, 10th Floor, "Meadows", Sahar Plaza, Andheri Kurla Road, J. B. Nagar, Andheri (East), Mumbai – 400059, is the Asset Management Company of Shriram Mutual Fund. It had been appointed as the Investment Managers of the Mutual Fund vide an Investment Management Agreement, dated –September 16, 1994 and as amended from time to time and executed between the Shriram Board of Trustees and Shriram Asset Management Company.

The Investment Manager was approved by SEBI to act as the AMC for the Fund vide letter No. IIMARP/2336/94 dated November 21, 1994.

CHANGE IN COMPOSITION OF BOARD MEMBERS :

During the year under review, there has been no change in the composition of Board of Directors of AMC.

At present, 50% of the Directors on the Board of the AMC are independent Directors who are not an associate of, or associated in any manner with the sponsor or any of its subsidiaries or the Board of Trustees.

Investors may note that the Full Annual Report of the scheme shall be disclosed on the website (www.shriramamc.com) and shall also be available for inspection at the Head Office of the Mutual Fund. On written request, present and prospective unit holders / investors can obtain a copy of the Trust Deed, the said Annual Report at free of cost and the text of the relevant Scheme.

Further, the annual report of the AMC, Shriram Asset Management Company Limited, shall also be disclosed on the website (www.shriramamc.com) and the unit holders, if they so desire, may also request for a copy of the said annual report of AMC at a price,

The Balance Sheet as at 31st March, 2020 and the Revenue Account for the year ended 31st March, 2020 for the various scheme/s are annexed to this Report.

INVESTMENT OBJECTIVE OF THE LIVE SCHEMES :

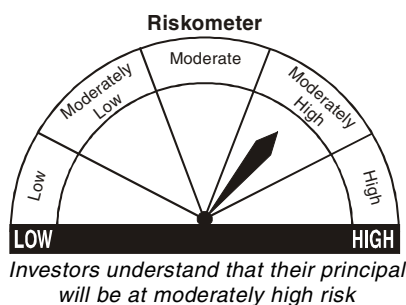
Shriram Hybrid Equity Fund (an open ended hybrid scheme investing predominantly in equity and equity related instruments) :

The investment objective of the Scheme would be to generate long term capital appreciation and current income with reduced volatility by investing in a judicious mix of a diversified portfolio of equity and equity related investments, debt and money market instruments.

This product is suitable for investors who are seeking* :

- Long term capital appreciation and current income
- Investment in equity and equity related securities as well as fixed income securities (debt and money market securities)
- Moderately High Risk

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



Shriram Multicap Fund (an open ended equity scheme investing across large cap, mid cap, small cap stocks) :

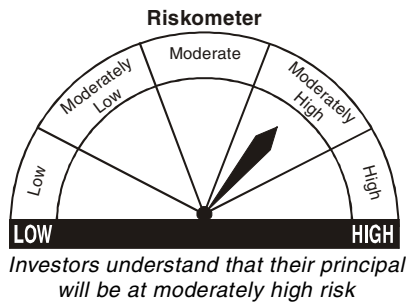
The investment objective of the Scheme is to generate long term capital appreciation by investing in an actively managed portfolio predominantly consisting of equity & equity related securities diversified over various sectors.

This product is suitable for investors who are seeking*:

- Long term capital appreciation
- Investment in actively managed portfolio predominantly consisting of equity & equity related securities diversified over various sectors.
- Moderately High Risk

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

SHRIRAM MUTUAL FUND



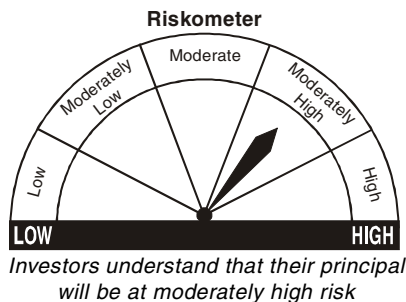
Shriram Long Term Equity Fund (an open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit) :

The investment objective of the Scheme is to generate income and long-term capital appreciation from a diversified portfolio of predominantly equity and equity related securities and enable investors to avail the income tax rebate, as permitted from time to time.

This product is suitable for investors who are seeking*:

- Long term capital appreciation with a 3 years lock in and tax benefit
- Investment in diversified portfolio of predominantly equity and equity-related securities.
- Moderately High Risk

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



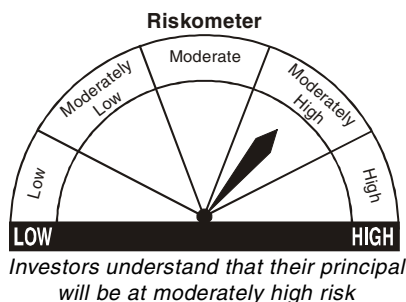
Shriram Balanced Advantage Fund (an open ended dynamic asset allocation fund) :

The investment objective of the Scheme is to generate capital appreciation with relatively lower volatility over a longer tenure of time. The Scheme will accordingly invest in equities, arbitrage opportunities, derivative strategies and debt and money market instruments.

This product is suitable for investors who are seeking*:

- Capital Appreciation along with generation of income over a long period of time.
- Investment in equity, equity related securities & debt, money market instruments while managing risk through active allocation.
- Moderately High Risk

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



SHRIRAM MUTUAL FUND

SIGNIFICANT ACCOUNTING POLICIES :

The Significant Accounting Policies form part of the Notes to the Accounts annexed to the Balance Sheet of the Schemes. The Accounting Policies are in accordance with Securities Exchange Board of India (Mutual Funds) Regulations, 1996.

UNCLAIMED REDEMPTIONS & DIVIDENDS :

Shriram Mutual Fund had launched 5 Close ended/ Interval schemes during the years 1994, 1995, 1996 and 1997 and all these Schemes have been wound up during 2000 & 2001. The trustees of Shriram Mutual Fund had also duly submitted their report on winding up to SEBI earlier. The AMC had attended to redemption payments in time. AMC had made immediate arrangements for payment of redemption amount to the concerned unit holders following the decision to wind up the schemes. In fact, excepting a very small percentage, who has not claimed their redemption amounts so far, all other unit holders under the respective Schemes have been duly paid the redemption amount. Even with regard to this very small percentage of outstanding unit holders, regular follow up is being made to locate them and to effect the payments.

Summary of No. of Investors & Corresponding amount as at March 31, 2020 :

Name of the Scheme	Unclaimed Redemptions		Unclaimed Dividends	
	Amount (Rs.)	No. of Investors	Amount (Rs.)	No. of Investors
Risk Guardian 95	24,087	9	NIL	NIL
Tax Guardian 95	176,175	164	NIL	NIL
Tax Guardian 96	131,500	79	NIL	NIL
Tax Guardian 97	18,780	9	NIL	NIL
Interval Fund 97	17,346	14	NIL	NIL
Shriram Hybrid Equity Fund	NIL	NIL	11306.33	4
Shriram Multicap fund	NIL	NIL	NIL	NIL
Shriram Long Term Equity Fund	NIL	NIL	NIL	NIL
Shriram Balanced Advantage Fund	NIL	NIL	NIL	NIL

STATUTORY INFORMATION :

- The Sponsors are not responsible or liable for any loss resulting from the operation of the Schemes of the Fund beyond their initial contribution (to the extent contributed) of Rs.1 Lakh for setting up the Fund, and such other accretions / additions to the same.
- The price and redemption value of the units, and income from them, can go up as well as down with fluctuations in the market value of its underlying investments.
- Full Annual Report is available on the website (www.shriramamc.com) and shall be available for inspection at the Head Office of the mutual fund. Present and prospective unit holder can obtain copy of the trust deed, the full Annual Report of the Fund at free of cost / Annual Report of AMC at a nominal price.

INVESTOR SERVICES :

In order to improve and enhance investor services Shriram Mutual Fund provides communication through various modes (email service, phone call etc.) for the investor to directly contact the relationship officer for quick resolution of their queries. Investors can subscribe units of the scheme/s electronically through the Stock exchange (BSE) offered BSE STAR Platform and hold them in the Demat account in a seamless fashion. They can also download the application form from the website "www.shriramamc.com" and submit directly to the RTA office or Administrative for new subscriptions. These facilities are for assuring best of services to existing investors who have already invested and those prospective investors who are keen to invest in the mutual fund scheme.

Details of Investor Complaints : The Fund is prompt in redressing all complaints / requests received from the investors. The Statement on Status of Redressal of Complaints received against Shriram Mutual Fund during the financial year 2019-20 is annexed and forms part of the Trustee Report as **Annexure I**.

SHRIRAM MUTUAL FUND

The NAV particulars of the scheme as on 31st March, 2020 as well as the details of dividends declared during the period under review are as under :-

Shriram Hybrid Equity Fund :

Scheme Description	NAV per unit March 31, 2020 (Rs.)	Dividend Per Unit (Rs.)
Regular Growth Plan	14.3442	NA
Regular Dividend Plan	11.4671	NIL
Direct Growth Plan	15.1040	NA
Direct Dividend Plan	11.9879	NIL

Shriram Multicap Fund :

Scheme Description	NAV per unit March 31, 2020 (Rs.)	Dividend Per Unit (Rs.)
Regular Growth Plan	8.2478	NA
Regular Dividend Plan	8.2476	NIL
Direct Growth Plan	8.4776	NA
Direct Dividend Plan	8.4562	NIL

Shriram Long Term Equity Fund :

Scheme Description	NAV per unit March 31, 2020 (Rs.)	Dividend Per Unit (Rs.)
Regular Growth Plan	8.8670	NA
Regular Dividend Plan	8.8622	NIL
Direct Growth Plan	9.0795	NA
Direct Dividend Plan	9.0649	NIL

Shriram Balanced Advantage Fund :

Scheme Description	NAV per unit March 31, 2020 (Rs.)	Dividend Per Unit (Rs.)
Regular Growth Plan	9.3907	NA
Regular Dividend Plan	9.3907	NIL
Direct Growth Plan	9.5388	NA
Direct Dividend Plan	9.5314	NIL

NA - Dividend option not applicable for scheme / plan / option

Past performance may or may not be sustained in future.

For, SHRIRAM MUTUAL FUND

Chairman

Date : 28th August, 2020

Place : Chennai

VOTING POLICY OF SHRIRAM MUTUAL FUND

Background

We, Shriram Asset Management Company Limited, act as an asset management company (“**Investment Manager**”) to the schemes of Shriram Mutual Fund (“**Fund**”). As an Investment Manager we have a fiduciary responsibility to act in the best interest of the unit holders of the Fund. This responsibility includes exercising voting rights attached to the securities of the companies in which the schemes of the Fund invest (“**Investee Company**”) at the general meetings of the Investee Companies in the best interest of the unit holders. In terms of the Securities and Exchange Board of India (“SEBI”) circular no. SEBI/IMD/ CIR No. 18 / 198647/ 2010 dated March 15, 2010, we have framed the general voting policy and procedures for exercising the voting rights (“**Voting Policy**”). This Voting Policy shall be applicable to all equity holdings across all our mutual fund schemes.

Philosophy of Voting Policy

The Investment guidelines for the schemes of the Fund inter-alia are generally to invest in companies which have acceptable standards of effective management, follow corporate governance norms and have sound fundamentals. Accordingly, as the decision to invest is generally an endorsement of sound management practices of the Investee Companies, the Investment Manager may generally attend and/or vote with the management of the Investee Company on routine matters. However, when the Investment Manager believes that the interest of the shareholders of an Investee Company will be prejudiced by any proposal, then the Investment Manager will attend and/or vote against such proposal.

The fund managers shall review all voting proposals routine as well as non routine items but shall ensure that non routine items like change in the state of incorporation, merger and other corporate restructuring, changes in capital structure, stock options, appointment and removal of directors, etc are identified and voted in the manner designed to maximize the value of the unit holders. Exceptionally, for such matters, the Investment Manager may also decide to abstain from voting where it has insufficient information or there is a conflict of interest or the Investment Manager does not have a clear stance on the proposal.

Although the Investment Manager will generally vote in accordance with the Voting Policy, but may act differently if the relevant facts and circumstances so warrant. Hence, the Investment Manager may deviate from the Voting Policy guidelines when it determines that the deviation is necessary to protect the interests of the unit holders.

Investment Manager is an affiliate of a large, diverse financial services organization with many affiliates, which may lead to situation creating conflicts of interest. Conflicts of interest may arise in certain situations, where:

- The Investee Company is a client of Investment Manager and/or its affiliates;
- In certain cases, wherein any affiliates of the Investment Manager are lender to the Investee Company;
- The Investee Company is a seller whose products or services are important to the business of Investment Manager and/or its affiliates;
- The Investee Company is an entity participating in the distribution of investment products advised or administered by the Investment Manager and/or any of its affiliates.

However, the Investment Manager will make its best efforts to avoid such conflicts and ensure that any conflicts of interest are resolved in the best interests of unit holders.

In cases where investments are in group companies of the Investment Manager or where the Investee Companies have substantial investments in the Schemes of the Fund, the Investment Manager shall specifically review all voting proposals routine as well as non routine and take decisions with respect to voting on such proposals in the best interest of the unit holders. The Investment Manager may also decide to abstain from such voting, if it deems fit to do so in the best interest of the unit holders or if there is a conflict of interest.

Voting Guidelines

Corporate governance issues are diverse and continually evolving. Whilst it is difficult to provide an exhaustive list of such issues, the following guidelines/policies reflect what Investment Manager believes to be good corporate governance measures and the stance it may generally take with respect to the below matters :

- (i) **Corporate Governance Matters** : Investment Manager supports resolutions like change in state of incorporation, merger and other corporate restructuring, which are in the interest of the unit holders of the Fund. Investment manager will analyze various economic and strategic factors in making the final decision on a merger, acquisition or any other corporate restructuring proposals. However, Investment Manager will vote against resolutions pertaining to takeover by an acquirer, etc. which are against the interest of the unit holders. Investment Manager will consider, on a case to case basis, proposals to rotate auditors, and will vote against the ratification of auditors when there is convincing evidence of accounting irregularities or negligence.

SHRIRAM MUTUAL FUND

- (ii) Changes to Capital Structure : Changes in capitalization will generally be supported where a reasonable need for the change is demonstrated. Investment Manager will review on a case to case basis, proposals by companies to increase authorized shares and the purpose for the increase. Investment Manager believes that a company's decisions pertaining to financing has a material impact on its shareholders, in particular when they involve the issuance of additional shares or the assumption of additional debt. However changes resulting in excessive dilution of existing shareholder value will not be supported.
- (iii) Stock option plans and other proposals pertaining to management compensation: The Investment Manager would support such remuneration proposals, which are tied to achieving long-term performance and enhancing shareholder value. Stock option plans that are excessively generous or dilute other shareholders' value will not be supported.
- (iv) Social and Corporate responsibility : In light of the increasing need for fair disclosures, a growing need for social and corporate responsibility, the Investment Manager's responsibility increases. Investment Manager shall vote in favor of such matters which are believed to have significant socio-economic benefits.
- (v) Board of Directors : The Investment Manager believes in philosophy of having an independent board of directors as the same is a key to complying with good corporate governance norms. Investment Manager would support an independent board of directors, and the key committees such as audit, IPO and remuneration committees etc. to be comprised of independent members.

Review and control

The voting guidelines and the actual exercise of proxy voting by the Investment Manager will be reviewed periodically by the management either through a committee or otherwise. The management will review the proxy voting required as per the voting policy and actual exercise of proxy votes. Further, Management will also review the process of exercise of proxy votes and governance.

Disclosure of Voting Policy and Exercise of Proxy Votes

This Voting Policy is accessible on our website www.shriramamc.com and would also be available in our annual report from the financial year 2013-14 onwards.

Disclosure of exercise of proxy votes in equity holdings across all schemes of the Fund (in the prescribed format) shall be accessible on our website. The same would also be disclosed in the annual report from the financial year 2013-14 onwards.

- (a) Further, on an annual basis, AMCs shall obtain certification on the voting reports being disclosed by them. Such certification shall be obtained from a "scrutinizer" in terms of Rule 20 (3) (ix) of Companies (Management and Administration) Rules, 2014 and any future amendment/s to the said Rules thereof. The same shall be submitted to the trustees and also disclosed in the relevant portion of the Mutual Funds' annual report & website.
- (b) Board of AMCs and Trustees of Mutual Funds shall review and ensure that AMCs have voted on important decisions that may affect the interest of investors and the rationale recorded for vote decision is prudent and adequate. The confirmation to the same, along with any adverse comments made by the scrutinizer, shall be reported to SEBI in the half yearly trustee reports.

The Voting Policy of Shriram Mutual Fund was approved by the Board of Trustees of Shriram Mutual Fund in their meeting held on 7th August, 2012 (Latest version got approved on 27th April, 2017).

Details of Votes cast during the Financial year 2019-2020

Quarter	Meeting Date	Company Name	Type of meetings (AGM/EGM)	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/ Against/ Abstain)	Reason supporting the vote decision
Apr-Jun 2019	25.04.19	Nestle India Limited	AGM	Management	Adoption of Financial Statements for the year ended 31st December, 2018.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirm payment of three interim dividends and declare final dividend for the year 2018.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Martin Roemkens (DIN : 07761271), who retires by rotation.	In favour of the proposal	For	Favourable Business Decision.
				Management	Ordinary Resolution for ratification of remuneration of M/s. Ramanath Iyer & Co., Cost Auditors (Firm Registration No. 00019).	In favour of the proposal	For	Normal course of business.
				Shareholder	Ordinary Resolution for the appointment of Ms. Roopa Kudva (DIN: 00001766) as an Independent Non-Executive Director for a term of five consecutive years w.e.f. 1st January, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ordinary Resolution for continuation of payment of royalty to Societe des Produits Nestle S.A effective from 1st July, 2019 or such other date notified for implementation of Regulation 23(1A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and approval of members every 5(five) years in compliance with applicable laws and regulations.	In favour of the proposal	For	Normal course of business.
				Management	Special Resolution for the re-appointment of Mr. Rajya Vardhan Kanoria (DIN: 00003792) as an Independent Non-Executive Director for a second term of five consecutive years with effect from 13th May, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Apr-Jun 2019	03.05.19	Accelya Kale Solution Ltd.	Postal Ballot	Management	Approval for change of name of the Company and consequent amendment to Memorandum of Association and Articles of Association of the Company.	In favour of the proposal	For	No material chage in business.
Apr-Jun 2019	07.05.19	Sanofi India Ltd.	AGM	Management	Adoption of financial statements for the year ended 31st December 2018.	In favour of the proposal	For	Normal regulatory requirment as part of ordinary business.
				Management	Confirmation of interim dividend and declaration of final dividend for the year ended 31st December 2018.	In favour of the proposal	For	Normal regulatory requirment as part of ordinary business.
				Management	Re-appointment of Dr. Shailesh Ayyangar, who retires by rotation.	In favour of the proposal	For	Favourable Business Decision.
				Management	Re-appointment of Mr. Cyril Grandchamp-Desraux, who retires by rotation.	In favour of the proposal	For	Favourable Business Decision.
				Shareholder	Appointment of Mr. Charles Billard as Director of the Company.	In favour of the proposal	For	Favourable Business Decision.
				Management	Appointment of Mr. Charles Billard as Whole Time Director of the Company for period of five years with effect from 25th July 2018.	In favour of the proposal	For	Favourable Business Decision.
				Management	Approval of remuneration payable to M/s. Kirit Mehta and Co., Cost Accountants., Cost Auditors of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Approval of commission payable to Independent Directors.	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	27.05.19	Britannia Industries Ltd.	Postal Ballot	Management	Approval of the Scheme of Arrangement amongst Britannia Industries Limited (the Company) and its Members for Issue of Secured, Non-Convertible, Redeemable, Fully Paid-Up Debentures by way of Bonus to the Members as on Record Date, out of the Accumulated Profits Lying to the Credit of Profit & Loss Account (Scheme).	In favour of the proposal	For	Normal course of business.

Apr-Jun 2019	03.06.19	Cholamandalam Investment and Finance Corporation Ltd	Postal Ballot	Management	Approval for sub-division of existing each equity share of face value of Rs.10/- fully paid up into five equity shares of face value of Rs.2/- each fully paid-up.	In favour of the proposal	For	As per industry practice for
				Management	Approval for alteration of the existing capital clause - Clause V of the Memorandum of Association of the Company by substituting the same with a new Clause V.	In favour of the proposal	For	Inline with normal regulatory requirement
				Management	Approval for alteration of the objects to be pursued by the company – under Clause III(A)13(j), III(B)10 and III(B)11 of the Memorandum of Association of the Company	In favour of the proposal	For	Inline with normal regulatory requirement
Apr-Jun 2019	14.05.19	Cera Sanitaryware Ltd.	Postal Ballot	Management	To Consider Re-classification of Promoters of the Company- Ordinary Resolution.	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	11.06.19	Shriram Transport Finance Co. Ltd.	Postal Ballot	Management	Enhancement of limits of borrowing by the Board.	In favour of the proposal	For	Favourable Business Decision.
				Management	Enhancement of limits for creation of security by the Board in connection with borrowing	In favour of the proposal	For	Normal course of business.
				Management	Renewal of limit to issue debentures on private placement basis by the Board	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	04.06.19	Sun Pharmaceuticals Ind. Ltd.	Postal Ballot	Management	Resolution for approval of Composite Scheme of Arrangement among Sun Pharmaceutical Industries Limited and Sun Pharma (Netherlands) B.V. ('Transferee Company-1') and Sun Pharmaceutical Holdings USA Inc. ('Transferee Company-2') and their respective members and creditors ('Scheme of Arrangement') for transfer of the Specified Investment Undertaking-1 and Specified Investment Undertaking-2 (as defined in Scheme of Arrangement) of the Company into Transferee Company -1 and Transferee Company-2 respectively	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	29.06.19	Hindustan Unilever Ltd.	Postal Ballot	Management	Considering, and if thought fit, approving, the proposed Scheme of Amalgamation by way of Merger by Absorption among the Applicant Company and GlaxoSmithKline Consumer Healthcare Limited and their respective shareholders and creditors under Sections 230 to 232 and other applicable provisions of the Companies Act, 2013.	In favour of the proposal	For	Favourable Business Decision.
Apr-Jun 2019	14.06.19	Relaxo Footwears Ltd.	Postal Ballot	Management	Increase in Authorized Share Capital and consequent amendment to the Memorandum of Association of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Alteration of the Articles of Association of the Company	In favour of the proposal	For	Normal course of business.
				Management	Issue of bonus shares	In favour of the proposal	For	Favourable business decision.
Apr-Jun 2019	06.06.19	Cyient Ltd.	AGM	Management	To receive, consider and adopt, the audited standalone financial statements of the company for the financial year ended 31 March 2019 together with the reports of the board of directors and the auditors thereon and the audited consolidated financial statements of the company for the financial year ended 31 March 2019, together with the report of the auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the interim dividend paid during the year and declare the final dividend on equity shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Mr. M.M. Murugappan (DIN: 00170478), who retires by rotation and being eligible, offers himself for re-appointment as a director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint M/s. S.R Batliboi Associates LLP, Chartered Accountants, (Firm Registration No. FRN 101049W/E300004) as Statutory Auditors.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Mr. Vikas Sehgal (DIN: 05218876), as an independent director until 16 October 2021.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Shareholder	To appoint Ms. Matangi Gowrishankar (DIN : 01518137), as an independent director until 24 April 2022.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	To appoint Mr. Vivek N. Gour (DIN: 00254383), as an independent director until 24 April 2022.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Management	To appoint Mr. Som Mittal (DIN: 00074842), as an independent director until 6 February 2022.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Management	To appoint and approve remuneration of Mr. B.V.R. Mohan Reddy (DIN: 00058215), as Executive Chairman until 31 March 2020.	In favour of the proposal	For	Inline with industry practice - reappointment as Executive Chairman and change in remuneration
				Management	To appoint and approve remuneration of Mr. Krishna Bodanapu (DIN: 05301037), as Managing Director & Chief Executive Officer for a period of five years.	In favour of the proposal	For	Inline with industry practice - reappointment as MD and change in remuneration
				Management	To appoint and approve remuneration of Mr. B. Ashok Reddy as President – Global Corporate Affairs & Infrastructure until 30 June 2020.	In favour of the proposal	For	Inline with industry practice - reappointment as President and change in remuneration
Apr-Jun 2019	13.06.19	Tata Consultancy Services Ltd.	AGM	Management	To receive, consider and adopt : the Audited Standalone and Consolidate Financial Statements of the Company for the financial year ended March 31, 2019, together with the Reports of the Board of Directors and the Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the payment of Interim Dividends on Equity Shares and to declare a Final Dividend on Equity Shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of N. Ganapathi Subramaniam(DIN 07006215) who retires by rotation and, being eligible, offers himself for reappointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Hanne Birgitte Breinbjerg Sorensen as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Appointment of Keki Minoo Mistry as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Appointment of Daniel Hughes Callahan as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Om Prakash Bhatt as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Management	Payment of Commission to Non Whole-time Directors of the Company.	In favour of the proposal	For	Inline with industry practice.
Apr-Jun 2019	22.06.19	Infosys Ltd.	AGM	Management	Adoption of financial statements	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Appointment of Nandan M. Nilekani as a director liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Approval of the Infosys Expanded Stock Ownership Program-2019 ("the 2019 Plan") and grant of stock incentives to the eligible employees of the Company under the 2019 Plan	In favour of the proposal	For	As per industry practice for employee
				Management	Approval of the Infosys Expanded Stock Ownership Program-2019 ("the 2019 Plan") and grant of stock incentives to the eligible employees of the Companies subsidiaries under the 2019 Plan	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval for secondary acquisition of shares of the Company by the Infosys Expanded Stock Ownership Trust for the implementation of the Infosys Expanded Stock Ownership Program - 2019 ("the 2019 Plan")	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval of grant of Stock Incentives to Salil Parekh, Chief Executive Officer and Managing Director (CEO & MD), under the Infosys Expanded Stock Ownership Program 2019 ("the 2019 Plan")	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval for changing the terms of appointment of Salil Parekh, Chief Executive Officer and Managing Director (CEO & MD).	In favour of the proposal	For	Inline with industry practice.
				Management	Approval of grant of Stock Incentives to U.B. Pravin Rao, Chief Operating Officer (COO) and Whole-time Director, under the Infosys Expanded Stock Ownership Program 2019 ("the 2019 Plan")	In favour of the proposal	For	Inline with industry practice.
Apr-Jun 2019	01.07.19	Gail India Ltd.	Postal Ballot	Management	Ordinary Resolution for issuance of bonus shares.	In favour of the proposal	For	Favourable business decision.
Apr-Jun 2019	21.07.19	Navin Fluorine International Ltd.	AGM	Management	Adoption of Audited Financial Statements for the year ended 31st March, 2019 and the Directors' Report and Auditors' Report	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirmation of Interim Dividend and Declaration of Final Dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Mr V.P. Mafatlal (DIN 00011350), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr P.N. Kapadia (holding DIN 00078673) as Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr S.S. Laibhai (holding DIN 00045590) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr S. M. Kulkarni (holding DIN 00003640) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr S.G. Mankad (holding DIN 00086077) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr H.H. Engineer (holding DIN 01843009) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mrs. R.V. Haribhakti (holding DIN 02409519) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr A.K. Srivastava (holding DIN 00046776) as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Regularising appointment of Mr R.R. Welling (holding DIN 07279004) as Director.	In favour of the proposal	For	Normal course of business

				Management	Appointment of Mr R.R. Welling (holding DIN 07279004) as Managing Director.	In favour of the proposal	For	Favourable business decision.
				Management	Approval for remuneration to Mr V.P. Mafatlal (holding DIN 00011350) exceeding limits as per Regulation 17(6)(e) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	In favour of the proposal	For	Inline with industry practice.
				Management	Approval for remuneration of Cost Auditor	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	02.07.19	UPL Ltd.	Postal Ballot	Management	Issue of Bonus Shares.	In favour of the proposal	For	As per industry practice for shareholders benefit.
Apr-Jun 2019	02.07.19	Nestle India Ltd.	Postal Ballot	Management	Re-appointment of Mr Shobinder Duggal (DIN 00039580) as a Whole-time Director of the Company, designated as "Executive Director - Finance & Control and Chief Financial Officer", for the period effective from 10th May,2019 until 31st December,2019 and the terms and conditions of re-appointment and remuneration payable to Mr Duggal.	In favour of the proposal	For	Favourable business decision.
Apr-Jun 2019	27.06.19	Asian Paints Ltd.	AGM	Management	Adoption of the financial statements of the Company for the financial year ended 31st March, 2019 together with the reports of the Board of Directors and Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend on equity shares for the financial year ended 31st March, 2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Director in place of Mr. Abhay Vakil (DIN: 00009151) who retires by rotation and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Director in place of Mr. Malav Dani (DIN: 01184336) who retires by rotation and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Jigish Choksi (DIN: 08093304) as a Non-Executive Director of the Company, liable to retire by rotation.	In favour of the proposal	Against	Dont think the experience of Mr. Choksi will add any value to Asian Paints
Apr-Jun 2019	26.06.19	Polycab India Ltd.	AGM	Management	Ratification of remuneration payable to M/s. RA & Co., Cost Accountants (Firm Registration Number 000242), Cost Auditors, of the Company for the financial year ending 31st March, 2020	In favour of the proposal	For	Normal course of business.
				Management	Adoption of Financial Statements	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Ramesh T. Jaisinghani (DIN 00309314), as a Director liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of M/s. B S R & Co. LLP, Chartered Accountants (Firm Registration No: 101248W/W-100022) as the Statutory Auditors of the Company.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Inder T. Jaisinghani (DIN:00309108), as the Managing Director of the Company for a further period of 5 (Five) years	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Ajay T. Jaisinghani (DIN: 00276588), as the Whole-Time Director of the Company for a further period of 5 (Five) years	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Ramesh T. Jaisinghani (DIN: 00309314), as the Whole-Time Director for a further period of 5 (Five) years	In favour of the proposal	For	Favourable business decision.
				Management	Revision of remuneration to Shyam Lal Bajaj (DIN: 02734730), Chief Financial Officer & Whole-Time Director of the company for the remaining tenure of his appointment.	In favour of the proposal	For	Inline with current corporate practice.

				Management	Appointment of T. P. Ostwal (DIN: 00821268), as an Independent Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of R. S. Sharma (DIN: 00013208) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Pradeep Poddar (DIN: 00025199) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Hiroo Mirchandani (DIN: 06992518) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Payment of Commission to the Independent Directors of the Company.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Ratification of remuneration payable to the cost Auditors for the financial year 2019-20.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Retention of rights to appoint Director by International Finance Corporation (IFC).	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	27.06.19	Shriram Transport Finance Co. Ltd.	AGM	Management	Ordinary Resolution for adoption of the Audited Financial Statements of the Company for the financial year ended March 31, 2019, together with the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for adoption of the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2019, together with the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for the declaration of Final Dividend on equity shares and the confirmation of Interim Dividend for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for re-appointment of Mr. D. V. Ravi -DIN 00171603, as Director retiring by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Ordinary Resolution for fixation of remuneration of M/s. Haribhakti and Co. LLP, Chartered Accountants, Joint Auditors of the Company for the financial year 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	Ordinary Resolution for fixation of remuneration of M/s. Pijush Gupta and Co. Chartered Accountants, joint Auditors of the Company for the financial year 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	Ordinary Resolution to approve cancellation of 48,000 forfeited shares from the issued and subscribed share capital of the Company.	In favour of the proposal	For	Normal course of business.
				Shareholder	Ordinary Resolution for appointment of Mr. Pradeep Kumar Panja -DIN 03614568 as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Ordinary Resolution for appointment of Mr. Ignatius Michael Viljoen -DIN 08452443 as a Non-Executive Non- Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ordinary Resolution for re-appointment of Mr. Umesh Revankar DIN 00141189 as Managing Director and CEO of the Company and payment of remuneration to him.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Special Resolution for re-appointment of Mr. S. Sridhar - DIN 00004272 as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Special Resolution for re-appointment of Mr. S. Lakshminarayanan - DIN 02808698 as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

Apr-Jun 2019	29.06.19	Hindustan Unilever Ltd.	AGM	Management	Adoption of Financial Statements together with the Reports of Board of Directors' and Auditors' thereon for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirmation of interim dividend and declaration of final dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Pradeep Banerjee as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Dev Bajpai as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Srinivas Phatak as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of M/s. B S R & Co. LLP, as Statutory Auditors for a further period of five years	In favour of the proposal	For	Normal course of business.
				Management	Increase in overall limits of Remuneration for Managing / Whole-time Director(s).	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. Leo Puri as an Independent Director for a term upto five years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Aditya Narayan as an Independent Director for a second term.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. O. P. Bhatt as an Independent Director for a second term.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. Sanjiv Misra as an Independent Director for a second term.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Continuation of term of Dr. Sanjiv Misra on attaining age of 75 years.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Ms. Kalpana Morparia as an Independent Director for a second term.	In favour of the proposal	For	Normal course of business.
				Management	Continuation of term of Ms. Kalpana Morparia on attaining age of 75 years.	In favour of the proposal	For	Normal course of business.
Apr-Jun 2019	28.06.19	Bandhan Bank Ltd.	AGM	Management	Ratification of the Remuneration of M/s. RA & Co., Cost Accountants for the financial year ending 31st March, 2020.	In favour of the proposal	For	Normal course of business.
				Management	To consider and adopt the Audited Annual Financial Statements of the Bank for the financial year ended on March 31, 2019 and the Report of the Board of Directors and Auditors' thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on equity shares of the Bank for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Dr. Holger Dirk Michaelis (DIN: 07205838), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint Deloitte Haskins & Sells, Chartered Accountants (Firm Registration Number 117365W), as the Statutory Auditors of the Bank and fix the remuneration thereof	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Shareholder	Appointment of Dr. Allamraju Subramanya Ramasastri (DIN: 06916673) as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Shareholder	Appointment of Dr. Anup Kumar Sinha (DIN 08249893) as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Santanu Mukherjee (DIN: 07716452) as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment and remuneration of Dr. Anup Kumar Sinha (DIN 08249893) as Non-Executive (Part-time) Chairman	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Ms. Thekedathumadam Subramani Raji Gain (DIN: 07256149) as an Independent Director by way of special resolution	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To ratify 'Bandhan Bank Employee Stock Option Plan Series 1' by way of special resolution.	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval of increase in total number of options for grant under 'Bandhan Bank Employee Stock Option Plan Series 1' by way of special resolution	In favour of the proposal	For	Normal course of business.
				Management	Holding of office or Place of Profit by Mr. Vaskar Ghosh.	In favour of the proposal	Abstain	No sufficient information to take a decisive call.
Jul-Sep 2019	10.07.19	Supreme Industries	AGM	Management	To receive, consider and adopt a) The Audited financial statements of the Company for the financial year ended 31st March, 2019, including the Audited Balance Sheet as at 31st March, 2019, the Statement of Profit and Loss and cash flow statement for the year ended on that date and reports of the Board of Directors and Auditors thereon. b) The Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2019. (Ordinary Resolution)	For	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare final dividend on Equity Shares for the Financial Year ended 31st March, 2019 and to confirm the payment of interim dividend on Equity Shares declared by the Board of Directors of the Company. (Ordinary Resolution)	For	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Shri S. J. Taparia (Director Identification No. 00112513), who retires by rotation and being eligible, offers himself for reappointment. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Branch Auditors. (Ordinary resolution)	In favour of the proposal	For	Normal course of business.
				Management	To approve re-appointment of Shri Y. P. Trivedi (DIN: 00001879) as an Independent director of the Company for a period of two years from 17th September, 2019 to 16th September, 2021. (Special Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To approve re-appointment of Shri B. V. Bhargava (DIN: 00001823) as an Independent director of the Company for a period of two years from 17th September, 2019 to 16th September, 2021. (Special Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To approve appointment of Shri Sarthak Behuria (DIN: 03290288) as an Independent director of the Company for a period of five years from 7th May, 2019 to 6th May, 2024. (Ordinary resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To approve appointment of Ms. Ameeta Parpia (DIN: 02654277) as an Independent director of the Company for a period of five years from 7th May, 2019 to 6th May, 2024. (Ordinary resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of remuneration of Cost Auditors (Ordinary Resolution)	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	12.07.19	ITC Ltd.	AGM	Management	To consider and adopt the Financial Statements of the Company for the financial year ended 31st March, 2019, the Consolidated Financial Statements for the said financial year and the Reports of the Board of Directors and the Auditors.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To appoint a Director in place of Mr. David Robert Simpson (DIN: 07717430) who retires by rotation and, being eligible, offers himself for re-election.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a Director in place of Mr. John Pulinthanam (DIN: 07881040) who retires by rotation and, being eligible, offers himself for re-election.	In favour of the proposal	For	Favourable business decision.
				Management	Resolved that, in accordance with the provisions of Sections 139 and 142 of the Companies Act, 2013, Messrs. S R B C & CO LLP, Chartered Accountants (Registration No. 324982E/E300003), be and are hereby appointed as the Auditors of the Company from the conclusion of this Meeting to hold such office for a period of five years till the conclusion of the Hundred and Thirteenth Annual General Meeting, at a remuneration of Rs. 2,95,00,000/- (Rupees Two Crores and Ninety Five Lakhs only) to conduct the audit for the financial year 2019-20 payable in one or more instalments plus goods and services tax as applicable, and reimbursement of out-of-pocket expenses incurred.	In favour of the proposal	For	Normal course of business.
				Management	Resolved that, in accordance with the provisions of Section 152 of the Companies Act, 2013, Mr. Hemant Bhargava (DIN: 01922717) be and is hereby appointed a Director of the Company, liable to retire by rotation, for a period of three years from the date of this Meeting, or till such earlier date upon withdrawal by the recommending Public Financial Institution or to conform with the policy on retirement and as may be determined by the Board of Directors of the Company and / or by any applicable statutes, rules, regulations or guidelines.	In favour of the proposal	For	Favourable business decision.
				Management	Resolved that, in accordance with the provisions of Sections 196 and 197 of the Companies Act, 2013, Mr. Sumant Bhargava (DIN: 01732482) be and is hereby appointed a Director of the Company, liable to retire by rotation, and further that the appointment of and the remuneration paid / payable to Mr. Sumant as Wholetime Director of the Company from 16th November, 2018, as also the appointment of and the remuneration payable to Mr. Sumant as Wholetime Director for a further period of three years from the date of this Meeting, or till such earlier date to conform with the policy on retirement and as may be determined by the Board of Directors of the Company and / or by any applicable statutes, rules, regulations or guidelines, as set out in the Explanatory Statement annexed to the Notice convening this Meeting, be and are hereby approved.	In favour of the proposal	For	Favourable business decision.
				Management	Resolved that, in accordance with the provisions of Section 149 read with Schedule IV of the Companies Act, 2013, and Regulation 17 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, this Meeting hereby approves the re-appointment of Mr. Arun Duggal (DIN: 00024262) as an Independent Director of the Company for a period of five years with effect from 15th September, 2019, or till such earlier date to conform with the policy on retirement and as may be determined by any applicable statutes, rules, regulations or guidelines.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Resolved that, in accordance with the provisions of Section 149 read with Schedule IV of the Companies Act, 2013, and Regulation 17 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, this Meeting hereby approves the re-appointment of Mr. Sunil Behari Mathur (DIN: 00013239) as an Independent Director of the Company for a period of two years with effect from 15th September, 2019, or till such earlier date to conform with the policy on retirement and as may be determined by any applicable statutes, rules, regulations or guidelines.	For	For	Possesses requisite qualification to help in business growth.
				Management	Resolved that, in accordance with the provisions of Section 149 read with Schedule IV of the Companies Act, 2013, and Regulation 17 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, this Meeting hereby approves the re-appointment of Ms. Meera Shankar (DIN: 06374957) as an Independent Director of the Company for a period of five years with effect from 15th September, 2019, or till such earlier date to conform with the policy on retirement and as may be determined by any applicable statutes, rules, regulations or guidelines	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Resolved that, in accordance with the provisions of Section 148 of the Companies Act, 2013, the remuneration of Mr. P. Raju Iyer, Cost Accountant, appointed by the Board of Directors of the Company as the Cost Auditor to conduct audit of Cost Records maintained by the Company in respect of Wood Pulp, Paper and Paperboard and Nicotine Gum products for the financial year 2019-20, at Rs. 4,50,000/- (Rupees Four Lakhs and Fifty Thousand only) plus goods and services tax as applicable, and reimbursement of out-of-pocket expenses incurred, be and is hereby ratified.	In favour of the proposal	For	Normal course of business.
				Management	Resolved that, in accordance with the provisions of Section 148 of the Companies Act, 2013, the remuneration of Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors to conduct audit of Cost Records maintained in respect of all applicable products of the Company, other than Wood Pulp, Paper and Paperboard and Nicotine Gum products, for the financial year 2019-20, at Rs. 5,75,000/- (Rupees Five Lakhs and Seventy Five Thousand only) plus goods and services tax as applicable, and reimbursement of out-of-pocket expenses incurred, be and is hereby ratified.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	12.07.19	HDFC Bank Ltd.	AGM	Management	Adoption of the audited financial statements (standalone) for the year ended March 31, 2019 and reports of the Board of Directors and Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Adoption of the audited financial statements (consolidated) for the year ended March 31, 2019 and report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend on equity shares	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Director in place of Mr. Srikanth Nadhamuni (DIN 02551389), who retires by rotation and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Statutory Auditors and fixing of their remuneration	In favour of the proposal	For	Normal course of business.
				Management	Ratification of remuneration fees paid to the erstwhile Statutory Auditors	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Mr. Sanjiv Sachar (DIN 02013812) as Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. Sandeep Parekh (DIN 03268043) as Independent Director of the Bank	For	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. M. D. Ranganath (DIN 07565125) as Independent Director of the Bank	For	For	Possesses requisite qualification to help in business growth.
				Management	Approval of related party transactions with Housing Development Finance Corporation Limited pursuant to applicable provisions	In favour of the proposal	For	Normal course of business.
				Management	Approval of related party transactions with HDB Financial Services Limited pursuant to applicable provisions	In favour of the proposal	For	Normal course of business.
				Management	Raising of additional capital by issue of debt instruments	In favour of the proposal	For	Favourable business decision.
				Management	Sub-division of equity shares from face value of Rs. 2/- each to face value of Rs. 1/- each	In favour of the proposal	For	No material impact on company's financials.
				Management	Alteration of Clause V of the Memorandum of Association.	In favour of the proposal	For	Inline with the regulatory requirement.

Jul-Sep 2019	13.07.19	Balkrishna Industries Ltd.	AGM	Management	To consider and adopt : a) the Audited Standalone Financial Statement of the Company for the financial year ended 31st March, 2019, together with the Reports of the Board of Directors and Auditors thereon; and b) the Audited Consolidated Financial Statement of the Company for the financial year ended 31st March, 2019, together with the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm Interim Dividends of 300% (Rs. 6.00 per equity share) already paid for the financial year ended 31st March, 2019 and to declare the Final Dividend of 100% i.e. Rs. 2.00 per equity share for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mrs. Vijaylaxmi Poddar (DIN: 00160484) as a Director of the Company, liable to retire by rotation and being eligible, offers herself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Mrs. Shruti Shah (DIN: 08337714), as a Woman Independent Director for a period of five consecutive years w.e.f. 8th February, 2019 to 7th February, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To appoint Mr. Rajendra Hingwala (DIN: 00160602), as an Independent Director for a period of five consecutive years w.e.f. 28th March, 2019 to 27th March, 2024	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To appoint Mr. Sandeep Junnarkar (DIN: 00003534), as an Independent Director for a period of five consecutive years w.e.f. 28th March, 2019 to 27th March, 2024	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	18.07.19	Ultratech Cement Ltd.	AGM	Management	Adoption of the Audited Financial Statements (including audited consolidated financial statements) for the financial year ended 31st March, 2019, the Report of the Board of Directors' and Auditors' thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Retirement by rotation of Mr. O. P. Puranmalka.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of the remuneration of the Cost Auditors viz. M/s. D. C. Dave & Co., Cost Accountants, Mumbai and M/s. N. D. Birla & Co., Cost Accountants, Ahmedabad for the financial year ending 31st March, 2020.	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. K. C. Jhanwar as a Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. K. C. Jhanwar as a Whole-time Director (designated as Deputy Managing Director and Chief Manufacturing Officer).	For	For	Favourable business decision.
				Shareholder	Re-appointment of Mr. Arun Adhikari as an Independent Director.	For	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. S. B. Mathur as an Independent Director.	For	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mrs. Sukanya Kripalu as an Independent Director.	For	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mrs. Renuka Ramnath as an Independent Director.	For	For	Possesses requisite qualification to help in business growth.
				Management	Increase in borrowing limits from Rs. 6,000 crores over and above the aggregate of the paid-up share capital and free reserves of the Company to Rs. 8,000 crores over and above the aggregate of the paid-up share capital, free reserves and securities premium of the Company.	For	For	Normal course of business.

				Management	Creation of charge on the movable and immovable properties of the Company, both present and future, in respect of borrowings.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	20.07.19	Axis Bank Ltd.	AGM	Management	To receive, consider and adopt : (a) the audited standalone financial statements of the Bank for the Financial Year ended 31st March 2019 and the Reports of the Directors and the Auditors thereon; and (b) the audited consolidated financial statements for the Financial Year ended 31st March 2019 and the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on equity shares of the Bank for the financial year ended 31st March 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Smt. Usha Sangwan (DIN 02609263), who retires by rotation and being eligible, has offered herself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Shri Rakesh Makhija (DIN 00117692), Independent Director, as the Non-Executive (Part-time) Chairman of the Bank, for a period of three (3) years, with effect from 18th July 2019 up to 17th July 2022 (both days inclusive) and the terms and conditions relating to the said appointment, including remuneration, subject to the approval of the RBI.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Revision in the remuneration payable to Shri Amitabh Chaudhry (DIN 00531120) as the Managing Director & CEO of the Bank, w.e.f. 1st April 2019, subject to the approval of the RBI.	In favour of the proposal	For	Normal course of business.
				Management	Revision in the remuneration payable to Shri Rajiv Anand (DIN 02541753) as the Executive Director (Wholesale Banking) of the Bank, w.e.f. 1st April 2019 upto 3rd August 2019 (both days inclusive), subject to the approval of the RBI.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Shri Rajiv Anand (DIN 02541753) as the Whole-Time Director designated as the 'Executive Director (Wholesale Banking)' of the Bank, for a period of three (3) years, with effect from 4th August 2019 up to 3rd August 2022 (both days inclusive), and the terms and conditions relating to the said re-appointment, including remuneration, subject to the approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	Revision in the remuneration payable to Shri Rajesh Dahiya (DIN 07508488) as the Executive Director (Corporate Centre) of the Bank, w.e.f. 1st April 2019 upto 3rd August 2019 (both days inclusive), subject to the approval of the RBI.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Shri Rajesh Dahiya (DIN 07508488) as the Whole-Time Director designated as the 'Executive Director (Corporate Centre)' of the Bank, for a period of three (3) years, with effect from 4th August 2019 up to 3rd August 2022 (both days inclusive), and the terms and conditions relating to the said re-appointment, including remuneration, subject to the approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	To approve the appointment of Shri Pralay Mondal (DIN 00117994) as a Director of the Bank, w.e.f. 1st August 2019.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Shri Pralay Mondal (DIN 00117994) as the Executive Director (Retail Banking) of the Bank for a period of 3 years, w.e.f. 1st August 2019, and the terms and conditions relating to the said appointment, including remuneration, subject to the approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	Borrowing/ Raising funds in Indian Currency /Foreign Currency by issue of Debt Securities including but not limited to long term bonds, green bonds, non-convertible debentures, perpetual debt instruments and Tier II Capital bonds or such other debt securities as may be permitted under the RBI guidelines, from time to time, on a private placement basis, for an amount of up to Rs. 35,000 crore during a period of one year from the date of passing of this Resolution.	In favour of the proposal	For	Normal course of business.
				Management	Payment of Profit Related Commission to the Non-Executive Directors (excluding the Non-Executive (Part-Time) Chairman) of the Bank, for a period of five (5) years with effect from 1st April 2020.	In favour of the proposal	For	Normal course of business.

Jul-Sep 2019	19.07.19	Tata Steel Ltd.	AGM	Management	Consider and adopt the Audited Standalone Financial Statements for the Financial Year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Consider and adopt the Audited Consolidated Financial Statements for the Financial Year ended March 31, 2019 and the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend on fully paid and partly paid Ordinary Shares for Financial Year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Director in place of Mr. Koushik Chatterjee (DIN:00004989), who retires by rotation and being eligible, seeks re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Vijay Kumar Sharma (DIN: 02449088) as a Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-Appointment of Ms. Mallika Srinivasan (DIN: 00037022) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-Appointment of Mr. O. P. Bhatt (DIN: 00548091) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Mr. T. V. Narendran (DIN: 03083605) as Chief Executive Officer and Managing Director and payment of remuneration.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of the remuneration of Messrs Shome & Banerjee, Cost Auditors of the Company.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	20.07.19	L & T Infotech Ltd.	AGM	Management	Adoption of audited financial statements of the Company for the financial year ended March 31, 2019, together with the Reports of the Board of Directors and the Auditors thereon and the audited consolidated financial statements of the Company for the financial year ended March 31, 2019, together with the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of final dividend on the equity shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Sudhir Chaturvedi (DIN: 07180115), Director liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Nachiket Deshpande (DIN: 08385028) as a Director and Whole-time Director of the Company.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	22.07.19	Kotak Mahindra Bank Ltd.	AGM	Management	To consider and adopt : a) The audited financial statements of the Bank for the financial year ended 31st March 2019 and the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To consider and adopt : b) The audited consolidated financial statements of the Bank for the financial year ended 31st March 2019 and the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Mr. C. Jayaram (DIN:00012214) as a Director, who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	a) Declaration of dividend on equity shares for the year ended 31st March, 2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	b) Confirmation of interim dividend paid on preference shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Appointment of M/s. Walker Chandio & Co LLP, Chartered Accountants (Registration No. 001076N/N500013) as the Auditors of the Bank, subject to approvals/consents/permissions/ sanctions as may be necessary.	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. Uday Shankar (DIN:01755963) as an Independent Director of the Bank from 16th March 2019 up to 15th March 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. Prakash Apte (DIN: 00196106) as an Independent Director of the Bank from 18th March 2019 to 17th March 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mrs. Farida Khambata (DIN: 06954123) as an Independent Director of the Bank from 7th September 2019 to 6th September 2022.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. K.V.S. Manian (DIN: 00031794) as a Director of the Bank, with effect from the date of approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of and payment of remuneration to Mr. K.V.S. Manian (DIN: 00031794) as Whole-time Director of the Bank for the period of three years with effect from the date of approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Gaurang Shah (DIN: 00016660) as a Director of the Bank, with effect from the date of approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of and payment of remuneration to Mr. Gaurang Shah (DIN: 00016660) as Whole-time Director of the Bank for the period of three years with effect from the date of approval of the RBI.	In favour of the proposal	For	Favourable business decision.
				Management	Approval to issue unsecured, perpetual and/ or redeemable non-convertible debentures/bonds for an amount up to '5,000 crore.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	20.07.19	L & T Technology Services Ltd.	AGM	Management	Adopt the audited financial statements of the Company for the year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon and the audited consolidated financial statements of the Company and the Reports of the Auditors thereon for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Dividend on Equity shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appoint Mr. Amit Chadha (DIN: 07076149) as a Director liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Appoint Mr. A. M. Naik (DIN: 00001514) as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment and continuation of Mr. Samir Desai (DIN: 01182256) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Remuneration of Dr.Keshab Panda (DIN: 0529642) as the Chief Executive Officer & Managing Director.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Remuneration of Mr. Amit Chadha (DIN: 07076149) as the President – Sales & Business Development and Whole-Time Director.	In favour of the proposal	For	Inline with current corporate practice.
Jul-Sep 2019	23.07.19	HDFC Life Insurance Co. Ltd.	AGM	Management	Receive, consider and adopt: (a) The Audited Standalone Revenue Account, Profit and Loss Account and Receipts and Payments Account of the Company for the financial year ended March 31, 2019, and the Balance Sheet as at that date, together with the reports of the Directors', Management, and Auditors thereon; and (b) The Audited Consolidated Revenue Account, Profit and Loss Account and Receipts and Payments Account of the Company for the financial year ended March 31, 2019 and the Balance Sheet as at that date, together with the Reports of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Confirm the payment of Interim Dividend on Equity Shares as final dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appoint a Director in place of Mr. Keki Mistry (DIN: 00008886), who retires by rotation and, being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of M/s Price Waterhouse Chartered Accountants LLP, Chartered Accountants, as one of the Joint Statutory Auditors and to fix remuneration of Joint Statutory Auditors of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Not filling up the vacancy caused by retirement of Sir Gerald Grimstone (DIN: 01910890), who retires by rotation and, does not offer himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Ms. Bharti Gupta Ramola (DIN: 00356188) as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. VK Viswanathan (DIN: 01782934) as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. Prasad Chandran (DIN: 00200379) as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Management	Continuation of Directorship of Mr. Deepak Parekh (DIN: 00009078) as a Non-Executive Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Revision in the remuneration of Ms. Vibha Padalkar (DIN: 01682810), Managing Director & Chief Executive Officer.	In favour of the proposal	For	Inline with current corporate.
				Management	Revision in the remuneration of Mr. Suresh Badami (DIN: 08224871), Executive Director.	In favour of the proposal	For	Inline with current corporate.
				Management	Approval of Employee Stock Option Scheme - 2019 for the eligible employees of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Approval of Employee Stock Option Scheme - 2019 for the eligible employees of Subsidiary Company(ies) of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Increase in foreign portfolio investment limits in the Company.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	23.07.19	KEC International Ltd.	AGM	Management	Ordinary Resolution : (a) Adoption of Audited Standalone Financial Statements for the financial year ended March 31, 2019 together with Reports of Directors and Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution : (b) Adoption of Audited Consolidated Financial Statements for the financial year ended March 31, 2019 together with Report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution : Declaration of Dividend for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution : Re-Appointment of Mr. Vimal Kejriwal (DIN: 00026981) as Director, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Ordinary Resolution : Appointment of Branch Auditors.	In favour of the proposal	For	Normal course of business.
				Management	Ordinary Resolution : Ratification of Remuneration to Cost Auditor.	In favour of the proposal	For	Normal course of business.
				Shareholder	Special Resolution : Appointment of Mr. Ramesh D. Chandak (DIN: 00026581) as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.
				Shareholder	Special Resolution : Re-appointment of Mr. G. L. Mirchandani (DIN: 00026664) as an Independent Director.	In favour of the proposal	For	Posseses requisite qualification to help in business growth.

				Shareholder	Special Resolution : Re-appointment of Mr. D. G. Piramal (DIN: 00032012) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Special Resolution : Re-appointment of Mr. S. M. Trehan (DIN: 00060106) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Special Resolution: Re-appointment of Mr. Vinayak Chatterjee (DIN: 00008933) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Special Resolution: Re-appointment of Mr. Vimal Kejriwal as Managing Director and CEO.	In favour of the proposal	For	Favourable business decision.
				Management	Special Resolution: Approval for payment of Commission to Mr. H. V. Goenka, Non-Executive Chairman.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Special Resolution: Approval for payment of Commission to Non-Executive Directors.	In favour of the proposal	For	Inline with current corporate practice.
Jul-Sep 2019	24.07.19	Trent Ltd.	Postal Ballot	Management	Issue of equity shares on a preferential basis to promoters.	In favour of the proposal	For	No material impact on company's financials.
Jul-Sep 2019	30.07.19	Tata Motors Ltd.	Postal Ballot	Management	Scheme of Arrangement between Tata Motors Limited and Tata Advanced Systems Limited for transfer of Defense Undertaking of the Company to Tata Advanced Systems Limited as a going concern on a slump sale basis.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	30.07.19	Bandhan Bank Ltd.	Postal Ballot	Management	Resolution approving the scheme of amalgamation of GRUH Finance Limited (Transferor Company) into and with Bandhan Bank Limited (Transferee Company) and their respective shareholders pursuant to the provisions of Sections 230 to 232 of the Companies Act, 2013 and applicable SEBI Regulations.	In favour of the proposal	For	To integrate business operations and provide significant impetus to growth, help to develop business model that would be competitive and cogent.
Jul-Sep 2019	25.07.19	IDFC First Bank	AGM	Management	To consider and adopt: a. the audited standalone financial statements of the Bank for the financial year ended March 31, 2019 and the Reports of the Board of Directors and the Auditors thereon; and b. the audited consolidated financial statements of the Bank for the financial year ended March 31, 2019 and the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Mr. Sunil Kakar, who retires by rotation and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	To appoint Statutory Auditors of the Bank and to fix their remuneration.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Dr. Rajiv B. Lall as a Part-Time Non-Executive Chairman of the Bank and to approve his remuneration.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr. Anand Sinha as an Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Pravir Vohra as an Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Hemang Raja as an Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Dr. (Mrs.) Brinda Jagirdar as an Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Aashish Kamat as an Independent Director of the Bank.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Shareholder	Appointment of Mr. Sanjeeb Chaudhuri as an Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Vishal Mahadevia as Non-Executive Non-Independent Director of the Bank	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. V. Vaidyanathan as a Director of the Bank	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. V. Vaidyanathan as the Managing Director & Chief Executive Officer of the Bank	In favour of the proposal	For	Favourable business decision.
				Management	Increase in ESOP pool from 6% to 8% of the issued and paid up share capital of the Bank, from time to time and modification of exercise period and consequent modifications to 'IDFC FIRST BANK ESOS – 2015'	In favour of the proposal	For	As per industry practice for employee
				Management	Modification of 'IDFC FIRST Bank ESOS – 2015' and grant of Options to the Eligible Employees of the Subsidiary Company(ies) of the Bank under the Scheme	In favour of the proposal	For	As per industry practice for employee
				Management	Offer and Issue of Debt Securities on Private Placement basis	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	25.07.19	Bajaj Finance Ltd.	AGM	Management	Adoption of financial statements for the year ended 31 March 2019 together with the Directors' and Auditors' Reports thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Rajivnayan Rahulkumar Bajaj, director, who retires by rotation	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Naushad Darius Forbes as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Anami N Roy as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Nanoo Gobindram Pamnani as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Dipak Kumar Poddar as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Ranjan Surajprakash Sanghi as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Balaji Rao Jagannathrao Doveton as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Omkar Goswami as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Gita Piramal as an independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Continuation of Rahulkumar Kamalnayan Bajaj as a non-executive and non-independent director of the Company, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.

				Management	Issue of non-convertible debentures through private placement.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	26.07.19	Bajaj Auto Ltd.	AGM	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2019, together with the Directors' and Auditors' Reports thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Sanjivnayan Rahulkumar Bajaj (DIN 00014615), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a director in place of Pradeep Shrivastava (DIN 07464437), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Rakesh Sharma as a Director.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Approval of appointment of Rakesh Sharma as a Whole-time Director, with the designation as Executive Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Smt. Lila Firoz Poonawalla as an Independent Director of the Company for a term of five consecutive years with effect from 1 April 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Pradip Panalal Shah as an Independent Director of the Company for a term of five consecutive years with effect from 1 April 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Nanoo Gobindram Pamnani as an Independent Director of the Company for a second term of five consecutive years with effect from 1 April 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Balaji Rao Jagannathrao Doveton as an Independent Director of the Company for a second term of five consecutive years with effect from 1 April 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Maintaining the number of maximum directors of the Company.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	24.07.19	Quess Corp Ltd.	AGM	Management	Adoption of financial statements (including consolidated financial statements) of the Company for financial year ending March 31, 2019 and the reports of the Board and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Madhavan Karunakaran Menon (DIN: 00008542), as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of Quess Corp Limited Employees Stock Option Scheme 2015 and grant of stock options to the Eligible Employees under the Scheme.	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Ratification for grant of stock options to the Employees of Subsidiaries Companies under Quess Corp Limited Employee Stock Option Scheme 2015.	In favour of the proposal	For	As per industry practice for employee benefit.
Jul-Sep 2019	27.07.19	Havells India Ltd.	AGM	Management	Adoption of Standalone and Consolidated Annual Financial Statements of the Company	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Final Dividend for the Financial Year ended 31 March 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Reappointment of Shri Anil Rai Gupta retiring by rotation and offering himself for reappointment	In favour of the proposal	For	Favourable business decision.
				Management	Reappointment of Shri Rajesh Kumar Gupta retiring by rotation and offering himself for reappointment.	In favour of the proposal	For	Favourable business decision.

				Management	Ratification of the Remuneration to be paid to the Cost Auditors.	In favour of the proposal	For	Normal course of business.
				Management	Reappointment of Shri Anil Rai Gupta as Chairman and Managing Director and CEO	In favour of the proposal	For	Favourable business decision.
				Management	Reappointment of Shri Ameet Kumar Gupta as a Whole-time Director of the Company	In favour of the proposal	For	Favourable business decision.
				Management	Reappointment of Shri Rajesh Kumar Gupta as Whole-time Director Finance and Group CFO	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Shri Siddhartha Pandit as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Shri Siddhartha Pandit as a Wholetime Director of the Company.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	30.07.19	Swaraj Engines Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended 31st March, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend on Equity Shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Shri S. Durgashankar (DIN:00044713) as a Director, who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Dr. Pawan Goenka (DIN:00254502) as a Director, who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of the remuneration payable to Messrs V. Kumar & Associates, Cost Accountants (Firm Registration Number 100137), appointed as the Cost Auditors of the Company for the Financial Year ending 31st March, 2020.	In favour of the proposal	For	Normal course of business.
				Shareholder	Re-appointment of Shri Sudhir Mankad (DIN:00086077) as an Independent Director of the Company for a second term of three consecutive years commencing from 31st July, 2019 to 30th July, 2022.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Shri Dileep C. Choksi (DIN:00016322) as an Independent Director of the Company for a second term of five consecutive years commencing from 31st July, 2019 to 30th July, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Smt. Neera Saggi (DIN:00501029) as an Independent Director of the Company for a second term of four consecutive years commencing from 1st October, 2019 to 30th September, 2023.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	31.07.19	Hindustan Zinc Ltd.	AGM	Management	To consider and adopt the Audited Financial Statements & other documents for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the payment of first interim dividend made during the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To reappoint Mrs. Reena Sinha Puri as Director, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	To ratify the appointment of M/s. S.R. Batliboi & Co. LLP as Statutory Auditors.	In favour of the proposal	For	Normal course of business.
				Management	To ratify the remuneration of the Cost Auditor for the F.Y. 2019-20.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Mrs. Kiran Agarwal as Non-executive Director.	In favour of the proposal	Abstain	No sufficient information to take decisive call
Jul-Sep 2019	30.07.19	Tata Motors Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statement of the Company for the financial year ended March 31, 2019 together with the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To receive, consider and adopt the Audited Consolidated Financial Statement of the Company for the financial year ended March 31, 2019 together with the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr N Chandrasekaran (DIN: 00121863) who, retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Ms Vedika Bhandarkar (DIN: 00033808) as a Director and as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To pay commission to the Non-Executive Directors including Independent Directors within the overall maximum limit of 1% of the net profits of the Company for that financial year.	In favour of the proposal	For	Normal course of business.
				Management	To appoint Branch Auditors.	In favour of the proposal	For	Normal course of business.
				Management	To ratify Cost Auditors Remuneration for financial year ending March 31, 2020.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	01.08.19	Marico Ltd.	AGM	Management	To receive, consider and adopt the audited financial statements including audited consolidated financial statements of the Company for the financial year ended March 31, 2019, together with the reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Harsh Mariwala (DIN 00210342), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To ratify the remuneration payable to M/s. Ashwin Solanki & Associates, Cost Accountants (Firm Registration No. 100392), the Cost Auditors of the Company for the financial year ending March 31, 2020.	In favour of the proposal	For	Normal course of business.
				Management	To approve re-appointment of Mr. Saugata Gupta (DIN 05251806) as the Managing Director & CEO of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	To approve the remuneration payable to Mr. Harsh Mariwala (DIN 00210342), Chairman of the Board and Non-Executive Director of the Company for the FY 2019-20.	In favour of the proposal	For	Inline with current corporate practice.
				Management	To approve the re-appointment of Mr. Nikhil Khattau (DIN 00017880) as an Independent Director of the Company from April 1, 2019 to March 31, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the re-appointment of Ms. Hema Ravichandar (DIN 00032929) as an Independent Director of the Company from April 1, 2019 to March 31, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the re-appointment of Mr. B. S. Nagesh (DIN 00027595) as an Independent Director of the Company from April 1, 2019 to March 31, 2022.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the re-appointment of Mr. Rajeev Bakshi (DIN 00044621) as an Independent Director of the Company from April 1, 2019 to March 31, 2020.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	30.07.19	Cholaman-dalam Investment and Finance Ltd.	AGM	Management	Adoption of financial statements together with the board's report and auditor's report thereon for the financial year ended 31 March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the interim dividend paid on equity shares and approval of final dividend for the year ended 31 March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Arun Alagappan, director retiring by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. N. Ramesh Rajan as an independent director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Shareholder	Appointment of Mr. Rohan Verma as an independent director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Commission payable to non-executive directors for a term of five years from FY 19-20.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Approval for increase in borrowing powers of the company.	In favour of the proposal	For	Favourable business decision.
				Management	Issue of securities on a private placement basis under section 42 of the Companies Act, 2013	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	30.07.19	VIP Industries Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Company on standalone and consolidated basis for the financial year ended 31st March, 2019 together with the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the payment of interim dividend on equity shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare final dividend on equity shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Ms. Radhika Piramal (DIN-02105221), who retires by rotation and being eligible, seeks re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Tushar Jani (DIN 00192621) as Non- Executive Independent Director of the Company for a period of five (5) years w.e.f. 7th May, 2019 to 6th May, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. Ramesh S. Damani (DIN 00304347) as Non- Executive Independent Director of the Company for a period of five (5) years w.e.f. 7th May, 2019 to 6th May, 2024	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	02.08.19	HDFC Ltd.	AGM	Management	Adoption of the audited financial statements of the Corporation for the financial year ended March 31, 2019 together with the reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Adoption of the audited consolidated financial statements for the financial year ended March 31, 2019 together with the report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of final dividend on equity shares of the Corporation.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. V. Srinivasa Rangan, who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Fixing the remuneration of Messrs B S R & Co. LLP, Chartered Accountants, Statutory Auditors of the Corporation.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Dr. Bhaskar Ghosh as an Independent Director of the Corporation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Ms. Ireena Vittal as an Independent Director of the Corporation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Nasser Munjee as an Independent Director of the Corporation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. J. J. Irani as an Independent Director of the Corporation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Approval of related party transactions with HDFC Bank Ltd.	In favour of the proposal	For	Normal course of business.
				Management	Approval for payment of commission to the non-executive Directors of the Corporation.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Approval for revision in the salary range of Mr. Keki M. Mistry, Managing Director (designated as the Vice Chairman & Chief Executive Officer) of the Corporation.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Approval to issue Redeemable Non-Convertible Debentures and/ or any other hybrid instruments on private placement basis, up to an amount not exceeding Rs. 1,25,000 crore.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	31.07.19	Solar Industries	AGM	Management	Adoption of Audited Financial Statements (including Audited Consolidated Financial Statement) for the financial year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Final Dividend on equity shares of the Company for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Shri Kailashchandra Nandlal Nuwal (DIN: 00374378) as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	appointment of Shri Dilip Keshavlal Patel (DIN: 00013150) as a Non-Executive Independent Director of the Company to hold office for a Second term of 5 (Five) consecutive years with effect from April 1, 2019 to March 31, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	appointment of Shri Ajai Govindprasad Nigam (DIN: 02820173) as a Non-Executive Independent Director of the Company to hold office for a Second term of 5 (Five) consecutive years with effect from April 1, 2019 to March 31, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Shri Amrendra Prasad Verma (DIN: 00236108) as a Non-Executive Independent Director of the Company to hold office for a Second term of 5 (Five) consecutive years with effect from April 1, 2019 to March 31, 2024	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Shri Anant Sagar Awasthi (DIN: 00228429) as Non-Executive Independent Director of the Company to hold office for a Second term of 1 (One) year with effect from April 1, 2019 to March 31, 2020	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of Cost Auditor's Remuneration for the financial year ended March 31, 2020.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	31.07.19	Cera Sanitary Ltd.	AGM	Management	To receive, consider and adopt the Standalone and Consolidated financial statements of the Company for the year ended 31st March, 2019 including statement of Audited Profit and Loss and Cashflow Statement for the year ended 31st March, 201	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on Equity Shares.- Ordinary Resolution.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Shri Atul Sanghvi (DIN ' 00045903), who retires by rotation and being eligible, offers himself for reappointment.- Ordinary Resolution	In favour of the proposal	For	Favourable business decision.
				Management	To ratify the remuneration payable to K. G. Goyal and Co., Cost Auditors.- Ordinary Resolution	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Ms. Akriti Jain as an Independent Director.- Ordinary Resolution.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Shri Ayush Bagla as Executive Director - Special resolution	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Payment of Commission to Smt. Deepshikha Khaitan.-Special resolution.	In favour of the proposal	For	Inline with current corporate practice.
Jul-Sep 2019	01.08.19	Larsen & Toubro	AGM	Management	Adoption of audited financial statements for the year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon and the audited consolidated financial statements of the Company and the reports of the auditors thereon for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Dividend on equity shares for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appoint Mr. M. V. Satish (DIN: 06393156) as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appoint Mr. Shailendra Roy (DIN: 02144836), as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appoint Mr. R. Shankar Raman (DIN: 00019798), as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appoint Mr. J. D. Patil (DIN: 01252184), as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appoint Mr. M. M. Chitale (DIN: 00101004), as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appoint Mr. M. Damodaran (DIN: 02106990), as an Independent Director and approve his continuation beyond the age of 75 years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appoint Mr. Vikram Singh Mehta (DIN: 00041197), as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appoint Mr. Adil Zainulbhai (DIN: 06646490), as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Change in scale of salary of Mr. S. N. Subrahmanyam (DIN: 02255382), Chief Executive Officer and Managing Director.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Change in scale of salary of Mr. R. Shankar Raman (DIN: 00019798), Chief Financial Officer & Whole-time Director.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Alteration to the objects clause of the Memorandum of Association of the Company.	In favour of the proposal	For	Inline with the regulatory requirement to change of companies act.
				Management	Raise funds through issue of convertible bonds and/or equity shares through depository receipts and including by way of Qualified Institution Placement ('QIP'), to Qualified Institutional Buyers ('QIB') for an amount not exceeding Rs. 4000 Crore or US \$ 600 million, if higher.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of remuneration payable to M/s R. Nanabhoy & Co. Cost Accountants (Regn. No. 00010) for the financial year 2019-20.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	31.07.19	Dixon Technologies Ltd.	AGM	Management	To consider and adopt (a) the audited Standalone financial statements of the Company for the financial year ended 31st March, 2019 and the reports of the Auditors and Board of Directors thereon; and (b) the audited consolidated financial statements of the Company for the financial year ended 31st March, 2019 and the report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a final dividend of Rs. 2/- per equity share for the Financial Year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To appoint a Director in place of Mr. Sunil Vachani, Executive Chairman and Whole Time Director (DIN: 00025431) who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of Remuneration to be Paid to M/S. A. N. Satija & Co., Cost Accountants, Cost Auditors of the Company.	In favour of the proposal	For	Normal course of business.
				Management	To appoint Mr. Keng Tsung Kuo (DIN: 03299647) as a Non-Executive and Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Mr. Manoj Maheshwari (DIN: 02581704) as Non-Executive and Independent Director for Second Term of 5 Consecutive Years W.e.f. 3rd May, 2020	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Dr. Manuji Zarabi (DIN: 00648928) as Non-Executive and Independent Director for Second Term of 5 Consecutive Years W.e.f. 23rd February, 2020.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Ms. Poornima Shenoy (DIN: 02270175) as Non-Executive and Independent Director for Second Term of 5 Consecutive Years W.e.f. 23rd February, 2020.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	01.08.19	Trent Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended 31st March 2019, together with the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To receive, consider and adopt the Audited Consolidated Financial Statements of the Company for the financial year ended 31st March 2019, together with the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on Equity Shares for the financial year ended 31st March 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. H. Bhat (DIN: 00478198) who retires by rotation, and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr. Z. S. Dubash as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. S. Susman as an Independent Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. B. N. Vakil as an Independent Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	02.08.19	Astral Poly Technik Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements for the financial year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm Interim Dividend declared by the Board of Directors.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare Final Dividend on Equity Shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To consider re-appointment of Mr. Kyle Thompson (DIN: 00254002), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To consider re-appointment of Mr. K R Shenoy (DIN: 00801985) as an Independent Director of the Company for second term of one year w.e.f. 25th August, 2019	In favour of the proposal	For	Favourable business decision.

				Shareholder	To consider re-appointment of Mr. Pradip Desai (DIN: 00336937) as an Independent Director of the Company for second term of one year w.e.f. 25th August, 2019	In favour of the proposal	For	Favourable business decision.
				Shareholder	To consider appointment of Mrs. Kaushal Nakrani (DIN: 08405226) as an Independent Director of the Company for a term of five years w.e.f. 29th March, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve issuance of Non- Convertible Debentures on Private Placement basis.	In favour of the proposal	For	Favourable business decision.
				Management	To ratify remuneration of the Cost Auditors for the financial year ending 31st March, 2020.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	06.08.19	Pidilite Industries Ltd.	AGM	Management	Ordinary Resolution for adoption of audited financial statements of the Company together with the reports of Board of Directors and Auditors' thereon and audited consolidated financial statements of the Company for the year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for declaration of dividend on Equity Shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for re-appointment of Shri A N Parekh as a Director.	In favour of the proposal	For	Favourable business decision.
				Management	Special Resolution for re-appointment of Shri N K Parekh as a Director.	In favour of the proposal	For	Favourable business decision.
				Management	Special Resolution for re-appointment of Shri B S Mehta as an Independent Director for a second consecutive term of five years upto 31st March, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Special Resolution for re-appointment of Shri Uday Khanna as an Independent Director for a second consecutive term of five years upto 2nd April, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Special Resolution for re-appointment of Smt. Meera Shankar as an Independent Director for a second consecutive term of five years upto 29th July, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Ordinary Resolution for ratification of payment of remuneration to M/s. V J Talati & Co., Cost Auditors.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	06.08.19	HCL Technologies Ltd.	AGM	Management	Adoption of Financial Statements along with the Reports of the Board of Directors and of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Shiv Nadar as Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Statutory Auditors.	In favour of the proposal	For	Normal course of business.
				Shareholder	Re-appointment of Mr. R. Srinivasan as an Independent Director of the Company (Special Resolution).	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. S. Madhavan as an Independent Director of the Company (Special Resolution).	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Ms. Robin Ann Abrams as an Independent Director of the Company (Special Resolution).	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Dr. Sosale Shankara Sastry as an Independent Director of the Company (Special Resolution).	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Payment of commission to Non-Executive Directors (Special Resolution).	In favour of the proposal	For	Inline with current corporate practice.
Jul-Sep 2019	07.08.19	M&M Ltd.	AGM	Management	To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the Financial Year ended 31st March, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To receive, consider and adopt the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2019 and the Report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend on Ordinary (Equity) Shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Dr. Pawan Goenka (DIN:00254502) as a Director, who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of the Remuneration payable to Messrs D. C. Dave & Co., Cost Accountants (Firm Registration Number 000611), appointed as the Cost Auditors of the Company for the Financial Year ending 31st March, 2020.	In favour of the proposal	For	Normal course of business.
				Shareholder	Re-appointment of Dr. Vishakha N. Desai (DIN: 05292671) as an Independent Director of the Company for a second term commencing from 8th August, 2019 to 30th April, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. Vikram Singh Mehta (DIN: 00041197) as an Independent Director of the Company for a second term of five consecutive years commencing from 8th August, 2019 to 7th August, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Vijay Kumar Sharma (DIN: 02449088) as a Non-Executive Non-Independent Director of the Company, representing Life Insurance Corporation of India, liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Haigreve Khaitan (DIN: 00005290) as an Independent Director of the Company for a term of five consecutive years commencing from 8th August, 2019 to 7th August, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Ms. Shikha Sharma (DIN: 00043265) as an Independent Director of the Company for a term of five consecutive years commencing from 8th August, 2019 to 7th August, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	06.08.19	Minda Industries Ltd.	AGM	Management	Adoption of Financial Statements (Standalone and Consolidated) (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend on Equity Shares. (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Nirmal K Minda (DIN 00014942), as Director, liable to retire by rotation. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of M/s. B S R & Co., LLP, Chartered Accountants as Statutory Auditors and fixing their remuneration. (Ordinary Resolution)	In favour of the proposal	For	Normal course of business.
				Management	Remuneration to M/s Jitender Navneet & Co., Cost Accountants, the Cost Auditors of the Company. (Ordinary Resolution)	In favour of the proposal	Abstain	Normal course of business.
				Shareholder	Appointment of Ms. Pravin Tripathi (DIN 06913463) as an Independent Director. (Ordinary Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Krishan Kumar Jalan (DIN 01767702) as an Independent Director. (Ordinary Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Ms. Paridhi Minda (DIN 00227250) as a Director, liable to retire by rotation. (Ordinary Resolution)	In favour of the proposal	Abstain	No sufficient information to take a decisive call

				Management	Appointment of Ms. Paridhi Minda (DIN 00227250) as a Whole Time Director. (Ordinary Resolution)	In favour of the proposal	Abstain	No sufficient information to take a decisive call
Jul-Sep 2019	08.08.19	Sundram Fasteners Ltd.	AGM	Management	Adoption of financial statement including consolidated financial statement, Report of the Board of Directors and Auditors for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Ms Preethi Krishna (DIN: 02037253) as a Director, who retires by rotation.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Approval of remuneration payable to the Cost Auditors for the financial year ending March 31, 2020.	In favour of the proposal	For	Normal course of business.
				Shareholder	Re-appointment of Sri R Srinivasan (DIN: 00043658) as a Non-Executive Independent Director for a term of two consecutive years, commencing from September 22, 2019 to September 21, 2021.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Issue of Non-Convertible Debentures upto Rs 500 Crores on private placement basis.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	08.08.19	Page Industries Ind.	AGM	Management	Adoption of financial statement, report of the Directors and Auditors.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Director - Mr. Vedji Ticku.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Director - Mr. Shamir Genomal.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. G P Albal as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mrs. Rukmani Menon as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Sandeep Kumar Maini as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Vikram Gamanlal Shah as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Remuneration under Section 197(1) of the Companies Act, 2013.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	07.08.19	CCL Products Ltd.	AGM	Management	Adoption of financial statements	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of final dividend on the equity shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mrs. Challa Shantha Prasad as Director who retires by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mrs. Kulsoom Noor Saifullah as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. K. K. Sarma as Non-Executive Director.	In favour of the proposal	For	Favourable business decision.

				Shareholder	Appointment of Mr. Vipin K Singal as an Independent director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Kata Chandrahas as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Kosaraju Veerayya Chowdary as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Challa Rajendra Prasad as Executive Chairman.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Challa Srishant as Managing Director.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. B. Mohan Krishna as Director - Operations	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of appointment and remuneration of Cost Auditors for the financial year 2019-20.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	08.08.19	The Ramco Cements Ltd.	AGM	Management	Adoption of Company Separate and Consolidated Audited Financial Statements for the year ended 31st March 2019 'For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend for the year 2018-2019 at the rate of Rs.3/- per share For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Reappointment of Shri.P.R.Venketrama Raja, as a Director, liable to retire by rotation 'For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019	In favour of the proposal	For	Favourable business decision.
				Management	Approval for limits for transactions with Ramco Windfarms Limited, Ramco Industrial and Technology Services Limited and Madurai Trans Carrier Limited, under Section 185 of the Companies Act, 2013 - For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019	In favour of the proposal	For	Normal course of business.
				Management	Approval for making offer or invitation to subscribe to Secured Non-Convertible Debentures, including Debt Securities, upto a limit of Rs.500 crores, within the overall outstanding borrowing limits ' For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019	In favour of the proposal	For	Favourable business decision.
				Management	Reappointment of Smt. Justice Chitra Venkataraman (Retd.) as Independent Director from 20-03-2020 to 19-03-2025 ' For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of remuneration of Rs.4,50,000/- (exclusive of GST and out of pocket expenses) to M/s. Geeyes and Co., Cost Accountants, Cost Auditors of the Company, for the financial year 2019-20 ' For detailed resolution kindly refer 61st AGM Notice dated 22-05-2019.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	09.08.19	Pfizer Ltd.	AGM	Management	To consider and adopt the Audited Financial Statement of the Company for the financial year ended March 31, 2019, together with the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a dividend of Rs. 22.50 (225%) per equity share for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Vivek Dhariwal (DIN: 02826679), who retires by rotation and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Shareholder	To consider and appoint Mr. Milind Patil (DIN: 02546815) as a Director of the Company, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.

Jul-Sep 2019	09.08.19			Management	To consider and appoint Mr. Milind Patil (DIN: 02546815) as a Whole-time Director of the Company for a period of 5 years effective November 14, 2018.	In favour of the proposal	For	Favourable business decision.
				Management	To consider and appoint Ms. Meena Ganesh (DIN: 00528252) as an Independent Director for a period of 5 years effective March 8, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To consider and re-appoint Mr. R. A. Shah (DIN: 00009851) as an Independent Director of the Company for a period of 5 years effective November 10, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To consider and re-appoint Mr. Pradip Shah (DIN: 00066242) as an Independent Director of the Company for a period of 5 years effective November 10, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To consider and re-appoint Mr. Uday Khanna (DIN: 00079129) as an Independent Director of the Company for a period of 5 years effective November 10, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To consider and re-appoint Mr. Sunil Lalbhai (DIN: 00045590) as an Independent Director of the Company for a period of 5 years effective February 14, 2020.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To consider and ratify the remuneration payable to Messrs. RA & Co., Cost Accountants for the financial year ending March 31, 2020.	In favour of the proposal	For	Normal course of business.
	09.08.19	ICICI Bank Ltd.	AGM	Management	Ordinary Resolution for adoption of Financial Statements for the financial year ended March 31, 2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Ordinary Resolution for declaration of dividend on equity shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Anup Bagchi who retires by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Statutory Auditors.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Branch Auditors.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Hari L. Mundra as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Ms. Rama Bijapurkar as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. B. Sriram as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Subramanian Madhavan as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. Sandeep Bakhshi as Managing Director and Chief Executive Officer.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Sandeep Batra as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Sandeep Batra as Wholetime Director.	In favour of the proposal	For	Favourable business decision.

				Management	Revision in remuneration of Mr. N. S. Kannan	In favour of the proposal	For	Inline with current corporate practice.
				Management	Revision in remuneration of Ms. Vishakha Mulye	In favour of the proposal	For	Inline with current corporate practice.
				Management	Revision in remuneration of Mr. Vijay Chandok	In favour of the proposal	For	Inline with current corporate practice.
				Management	Revision in remuneration of Mr. Anup Bagchi	In favour of the proposal	For	Inline with current corporate practice.
				Management	Alterations to Memorandum of Association	In favour of the proposal	For	Inline with the regulatory requirement to change of companies act.
				Management	Adoption of revised Articles of Association	In favour of the proposal	For	Inline with the regulatory requirement to change of companies act.
Jul-Sep 2019	09.08.19	MRF Ltd.	AGM	Management	To adopt the Audited Financial Statements of the Company for the financial Year ended 31032019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a Final Dividend on Equity Shares	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To Appoint a Director in place of Mr Rahul Mammen Mapillai who retires by rotation and being eligible offers himself for re appointment	In favour of the proposal	For	Favourable business decision.
				Management	To Appoint a Director in place of Mr Samir Thariyan Mappilai who retires by rotation and being eligible offers himself for re appointment	In favour of the proposal	For	Favourable business decision.
				Shareholder	To Re Appoint Mr Vijay R Kirloskar as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To Re Appoint Mr V Sridhar as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To Re Appoint Mr Ranjit I Jesudasen as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To Re Appoint Dr Salim Joseph Thomas as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To Re Appoint Mr Jacob Kurian as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To Re Appoint Mr Ashok Jacob as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To ratify the Remuneration payable to Mr C Govindan Kutty Cost Auditor of the Company	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	09.08.19	Honeywell Automation Ltd.	AGM	Management	Adoption of Audited Financial Statements for the financial year ended March 31, 2019 and the Boards Report and Auditors Report thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of final dividend of INR 45/- per equity share for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Ms. Nisha Gupta as a Director, who retires by rotation and is eligible for re-appointment.	In favour of the proposal	For	Favourable business decision.

				Shareholder	Appointment of Ms. Neera Saggi as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of remuneration of Cost Auditor.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	09.08.19	Shree Cement Ltd.	AGM	Management	To receive, consider and adopt: a. the Audited Standalone Financial Statements of the Company for the financial year ended 31st March, 2019 and the Reports of the Board of Directors and Auditors thereon; and b. the Audited Consolidated Financial Statements of the Company for the financial year ended 31st March, 2019 and the Report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirmation of payment of Interim Dividend of Rs. 25/- per equity shares for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare Final Dividend on equity shares of the Company for the financial year ended 31st March, 2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Shri Hari Mohan Bangur (DIN: 00244329), Director of the Company, retires by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of remuneration of M/s. K. G. Goyal and Associates, Cost Accountants as Cost Auditors of the Company for the financial year ending on 31st March, 2020.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Shri Ratanlal Gaggar (DIN: 00066068) as an Independent Director for second term of 5 (five) years w.e.f. 1st September, 2019	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri Om Prakash Setia (DIN: 00244443) as an Independent Director for second term of 5 (five) years w.e.f. 1st September, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. Yoginder Kumar Alagh (DIN: 00244686) as an Independent Director for second term of 5 (five) years w.e.f. 1st September, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri Nitin Dayalji Desai (DIN: 02895410) as an Independent Director for second term of 5 (five) years w.e.f. 1st September, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri Shreekant Somany (DIN: 00021423) as an Independent Director for second term of 5 (five) years w.e.f. 1st September, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	09.08.19	Britannia Industries Ltd.	AGM	Management	To receive, consider and adopt the Audited Standalone and Consolidated Financial Statements for the year ended 31 March, 2019 and the Reports of the Directors	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend for the financial year ended 31 March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Ness N. Wadia (DIN: 00036049), who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To re-appoint M/s. B.S.R & Co. LLP, as Statutory Auditors of the Company.	In favour of the proposal	For	Normal course of business.
				Shareholder	To approve the appointment of Mrs. Tanya Arvind Dubash (DIN: 00026028) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the re-appointment of Mr. Avijit Deb (DIN: 00047233) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	To approve the re-appointment of Mr. Keki Dadiseth (DIN: 00052165) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the re-appointment of Dr. Ajai Puri (DIN: 02631587) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	12.08.19	Reliance Industries Ltd.	AGM	Management	Audited Financial Statement for the financial year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Audited Consolidated Financial Statement for the financial year ended March 31, 2019 and the Report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend on equity shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Shri Pawan Kumar Kapil, a Director retiring by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Smt. Nita M. Ambani, a Director retiring by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Shri P.M.S. Prasad as Whole-time Director.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Shri Raminder Singh Gujral as an Independent Director.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Smt. Arundhati Bhattacharya as an Independent Director.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of the remuneration of the Cost Auditors for the financial year ending March 31, 2020	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	12.08.19	TTK Prestige Ltd.	AGM	Management	Adoption of audited financial statements.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Mr T T Raghunathan as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of remuneration payable to Cost Auditor.	In favour of the proposal	For	Normal course of business.
				Shareholder	Re-appointment of Dr. (Mrs.) Vandana Walvekar (DIN: 00059160), as an Independent Director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. Dileep Krishnaswamy (DIN: 00176595), an Independent Director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval for continuation of the current term of appointment of Mr. Arun Thiagarajan (DIN: 00292757), as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Arun Thiagarajan (DIN: 00292757), as an Independent Director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Murali Neelakantan (DIN: 02453014), an Independent Director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

Jul-Sep 2019	14.08.19	Bharti Airtel Ltd.	AGM	Management	Appointment of Mr. Chandru Kalro (DIN: 03474813) as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Chandru Kalro (DIN: 03474813) as Managing Director.	In favour of the proposal	For	Favourable business decision.
				Management	To receive, consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended March 31, 2019 and the reports of the Board of Directors and of the Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Ms. Chua Sock Koong as a Director liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr.Vegulaparanan Kasi Viswanathan as an Independent Director	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr. Dinesh Kumar Mittal as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Ms. Kimsuka Narasimhan as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Waiver of recovery of excess managerial remuneration paid to Mr. Sunil Bharti Mittal, Chairman for the Financial Year ended March 31, 2019.	In favour of the proposal	Against	Not favourable for the company.
				Management	Waiver of recovery of excess managerial remuneration paid to Mr. Gopal Vittal, Managing Director & CEO (India and South Asia) for the Financial Year ended March 31, 2019.	In favour of the proposal	Against	Not favourable for the company.
				Management	Payment of remuneration to Mr. Sunil Bharti Mittal, Chairman for the period April 01, 2019 to September 30, 2021 or for such shorter period as may be prescribed under applicable laws.	In favour of the proposal	Abstain	No sufficient information to take a decisive call.
				Management	Payment of remuneration to Mr. Gopal Vittal, Managing Director & CEO (India and South Asia) for the period April 01, 2019 to March 31, 2022.	In favour of the proposal	Abstain	No sufficient information to take a decisive call.
				Management	Ratification of remuneration to be paid to Sanjay Gupta & Associates, Cost Accountants, Cost Auditors of the Company for the FY 2018-19 and FY 2019-20.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	14.08.19	3M India Ltd.	AGM	Management	Adoption of Financial Statements (Standalone and consolidated) for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Jongho Lee (holding DIN: 06720950), who retires by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Biren Gabhawala (holding DIN: 03091772) as a Director of the Company.	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Ms. Sadhana Kaul (holding DIN:02589934) as a Director of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Ratification of remuneration payable to Messrs. Rao, Murthy & Associates, Cost Auditors for the Financial Year 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Mr. Biren Gabhawala (holding DIN : 03091772) as an Independent Director of the Company for a second term.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Ramesh Ramadurai (holding DIN : 07109252) as Managing Director of the Company.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	14.08.19	Motherson Sumi Systems Ltd.	AGM	Management	To consider and adopt financial statements of the Company for the financial year ended March 31,2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on equity shares for the financial year ended March	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To appoint a Director in place of Mr. Pankaj Mital, who retires by rotation and being eligible offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To re-appoint Mr. Sushil Chandra Tripathi, IAS (Retd) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr. Arjun Puri as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr. Gautam Mukherjee as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Ms. Geeta Mathur as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To ratify the remuneration of M/s. M.R. Vyas and Associates as the Cost Auditors for Financial year 2019-20.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Mr. Takeshi Fujimi as Director of the Company, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	14.08.19	Automotive Axle Ltd.	AGM	Management	Adoption of Audited Financial Statements for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Final Dividend of Rs.19.50/- per equity shares of Rs.10/- for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Chrishan Anthon Sebastian Villavarayan as a Director who retires by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Dr. Shalini Sarin (DIN: 06604529) as Independent Woman Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Bhalachandra Basappa Hattarki (DIN : 00145710) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Bhoopalam Chandrashekaraiah Prabhakar (DIN : 00040052) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. N. Muthukumar (DIN: 06708535), President and Whole Time Director for a period of five years.	In favour of the proposal	For	Favourable business decision.
				Management	Approval under section 20 of the Companies Act, 2013 for serving of documents through a particular mode of delivery.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	16.08.19	Indusind Bank Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements (Standalone and Consolidated) for the Financial Year ended March 31, 2019, together with the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare Dividend on Equity Shares of the Bank for the Financial Year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Romesh Sobti (DIN: 00031034), who retires by rotation and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint the Statutory Auditors of the Bank and authorise the Board of Directors to fix their remuneration.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Shareholder	Ordinary Resolution : Appointment of Mrs. Akila Krishnakumar (DIN: 06629992) as Non-Executive Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Ordinary Resolution : Appointment of Mr. Arun Tiwari (DIN: 05345547) as Non-Executive Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Ordinary Resolution : Appointment of Mr. Siraj Chaudhry (DIN: 00161853) as Non-Executive Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Ordinary Resolution : Appointment of Mr. Rajiv Agarwal (DIN: 00336487) as Non-Executive Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Special Resolution : Issue of Long-Term Bonds / Non-Convertible Debentures on Private Placement Basis.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	21.08.19	Axis Bank Ltd.	Postal Ballot	Management	Raising of funds through issue of equity shares/ depository receipts and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement / American Depository Receipts /Global Depository Receipts/ preferential allotment or such other permissible mode or combinations thereof.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	19.08.19	Qess Corp Ltd.	Postal Ballot	Management	To considering and, if thought fit, approving, with or without modification the Scheme of Arrangement and Amalgamation amongst Thomas Cook (India) Limited and Travel Corporation (India) Limited and TC Travel Services Limited and TC Forex Services Limited and SOTC Travel Management Private Limited and Qess Corp Limited and their respective shareholders.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	21.08.19	NTPC Ltd.	AGM	Management	Adoption of audited standalone financial statements and consolidated financial statement of the Company for the year ended March 31, 2019, the reports of the Board of Directors and Auditors thereon. (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirmation of payment of interim dividend and to declare final dividend for the year 2018-19. (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Shri A.K.Gupta (DIN: 07269906), who retires by rotation. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Fixation of remuneration of Statutory Auditors. (Ordinary Resolution)	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Dr.Gauri Trivedi (DIN: 06502788), as Independent Director. (Special Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Increase in borrowing limit of the Company from Rs. 1,50,000 crore to Rs. 2,00,000 crore. (Special Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Creation of Mortgage and/or charge over the movable and immovable properties of the Company. (Special Resolution)	In favour of the proposal	For	Normal course of business.
				Management	To ratify the remuneration of the Cost Auditors for the financial year 2019-20. (Ordinary Resolution)	In favour of the proposal	For	Normal course of business.
				Management	Raising of funds upto Rs 15000 crores through issue of bonds/debentures on private placement basis	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	20.08.19	Gail India Ltd.	AGM	Management	Adoption of audited Financial Statements and audited consolidated financial statements of the Company for the year ended 31st March, 2019 and Report of the Board of Directors and Auditors.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Approval of Final Dividend for the financial year ended 31st March, 2019 and to confirm the payment of Interim Dividend already paid in February, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Appointment of Shri P K Gupta, who retires by rotation, and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Shri Gajendra Singh, who retires by rotation, and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	Authorization to the Board of Directors to fix the remuneration of the Joint Statutory Auditors for FY 2019-20	In favour of the proposal	For	Normal course of business.
				Shareholder	Approval for appointment of Shri A. K. Tiwari as Director (Finance) and CFO, liable to retire by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Approval for ratification of remuneration of the Cost Auditors for FY 2018-19	In favour of the proposal	For	Normal course of business.
				Management	Approval for Material Related Party Transactions with Petronet LNG Limited for FY 2019 -20	In favour of the proposal	For	Normal course of business.
				Management	Amendment in the Articles of Association of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Re-Appointment of Shri Anupam Kulshreshtha (DIN : 07352288), Non-Official Part-Time (Independent) Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Shri Sanjay Tandon (DIN : 00484699), Non-Official Part-Time (Independent) Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-Appointment of Shri S K Srivastava (DIN : 02163658), Non-Official Part-Time (Independent) Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	21.08.19	United Spirits Ltd.	AGM	Management	ORDINARY BUSINESS :- ORDINARY RESOLUTION To receive, consider and adopt the Audited Financial Statements (including Consolidated Financial Statements) for the financial year ended March 31, 2019 and the Report of the Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	ORDINARY BUSINESS :- ORDINARY RESOLUTION To appoint a Director in place of Mr Vinod Rao (DIN 01788921), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Re-appointment of Mr Anand Kripalu (DIN : 00118324) as a Managing Director and Chief Executive Officer of the Company for a further period of three years:	In favour of the proposal	For	Favourable business decision.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Re-appointment of Mr Sivanandhan Dhanushkodi (DIN : 03607203) as an Independent Director for a further period of five years:	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Re-appointment of Mr Mahendra Kumar Sharma (DIN : 00327684) as an Independent Director for a further period of five years:	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Re-appointment of Mr Rajeev Gupta (DIN : 00241501) as an Independent Director for a further period of five years :	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Re-appointment of Ms Indu Ranjit Shahani (DIN : 00112289) as an Independent Director for a further period of five years :	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	SPECIAL BUSINESS :- SPECIAL RESOLUTION Approval for Granting Loans and Gurantees to Pioneer Distilleries Limited.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	22.08.19	Abbot India Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2019 together with the Reports of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To declare a final dividend of Rs 50/- and special dividend of Rs15/- per Equity Share for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr Jawed Zia (DIN: 00191276), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a Director in place of Mr Kaiyomarz Marfatia (DIN: 03449627), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To re-appoint S R B C & CO LLP, Chartered Accountants (ICAI Firm Registration No.: 324982E/E300003) as the Statutory Auditors of the Company for a term of 5 (five) years i.e. from the conclusion of this Annual General Meeting till the conclusion of Eightieth Annual General Meeting and approve the remuneration payable to them.	In favour of the proposal	For	Normal course of business.
				Management	To ratify remuneration of Rs 6.65 Lakhs plus applicable taxes and reimbursement of reasonable out-of-pocket expenses payable to M/s Kishore Bhatia & Associates (Registration No. 00294), Cost Auditors for the financial year 2019-20.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Mr. Sudarshan Jain (DIN: 00927487) as Independent Director for a term of 3 (three) years effective April 1, 2019, not liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To appoint Mr Mark Murphy II (DIN: 08385393) as Director effective April 1, 2019, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	To re-appoint Mr Rajiv Sonalker (DIN: 07900178) as Whole-time Director for a period effective August 8, 2019 upto June 30, 2021, not liable to retire by rotation, at a remuneration and upon such terms and conditions as set out in the explanatory statement annexed to the Notice convening 75th Annual General Meeting of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	To approve Transactions with Abbott Products Operations AG., Switzerland ("Related Party") for an amount not exceeding Rs 650 Crores in each financial year.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	23.08.19	SBI Life Insurance Co. Ltd.	AGM	Management	Adoption of the Revenue Account, Profit and Loss Account and Receipts and Payments Account for the year ended March 31, 2019 and the Balance sheet as at that date together with the Reports of the Board of Directors and Auditors thereon and comments of the Comptroller & Auditor General of India.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Confirm the interim dividend paid by the Company as final dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Fixation of remuneration of Joint Statutory Auditors as appointed by Comptroller & Auditor General of India.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Ms. Joji Sekhon Gill as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Continuation of Directorship of Mr. Raj Narain Bhardwaj as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Revision in the remuneration of Mr. Sanjeev Nautiyal, Managing Director & Chief Executive Officer.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Increase in Foreign Portfolio Investment Limits in the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Gregory Michael Zeluck as Non-Executive Director.	In favour of the proposal	For	Favourable business decision.

Jul-Sep 2019	26.08.19	Kajaria Ceramics Ltd.	AGM	Management	To receive, consider and adopt Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the financial year ended 31st March, 2019 and Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a dividend of Rs. 3/- per equity share.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To re-appoint Mr. Dev Datt Rishi (DIN: 00312882), who retires by rotation.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To re-appoint Mrs. Sushmita Singha (DIN: 02284266) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	27.08.19	Interglobe Aviation Ltd.	AGM	Management	Adoption of the Audited Standalone and Consolidated financial statements for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of Final Dividend of Rs. 5 per equity share for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Rahul Bhatia as a Director of the Company, who retires by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of S.R. Batliboi & Co. LLP, Chartered Accountants as the Statutory Auditors of the Company	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. Meleveetil Damodaran as Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Anil Parashar as Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Payment of profit related commission to the Independent directors.	In favour of the proposal	For	Normal course of business.
				Management	Extending travel benefits to Non-Executive Directors.	In favour of the proposal	Against	Not favourable for the company.
				Management	Alteration of Article of Association.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	27.08.19	Petronet LNG Ltd.	AGM	Management	To receive, consider and adopt the Audited Standalone as well as Audited Consolidated Financial Statements of the Company for the year ended 31st March, 2019 together with the Reports of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To consider declaration of final dividend on equity shares.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Dr. M.M. Kutty (DIN 01943083) who retires by rotation and being eligible offers himself for re-appointment as Director and Chairman of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a Director in place of Shri Shashi Shanker (DIN 06447938) who retires by rotation and being eligible offers himself for re-appointment as Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Shri D. Rajkumar (DIN 00872597) as Director of the Company	In favour of the proposal	For	Favourable business decision.

				Shareholder	To appoint Shri B.C. Tripathi (DIN 01657366) as Director of the Company(Pursuant to the addendum issued by the Company to Annual Report 2018-19 dated 1st August, 2019 issued to the Members of the Company, voting in respect of this item will be not considered due to resignation of Shri B. C. Tripathi from the Board of Petronet LNG Limited w.e.f. 01.08.2019 consequent to completion of his tenure as Chairman and Managing Director of GAIL (India) Ltd. on 31.07.2019.)	In favour of the proposal	Abstain	Not applicable.
				Shareholder	To appoint Shri Sanjiv Singh (DIN 05280701) as Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Shri Sunil Kumar Srivastava (DIN 02809123) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To appoint Dr. Siddhartha Shekhar Singh (DIN 06873925) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To appoint Shri Arun Kumar (DIN 03570776) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To ratify the remuneration of Cost Auditor from the Financial Year 2019-20 to Financial Year 2021-22	In favour of the proposal	For	Normal course of business.
				Management	To approve Related Party Transactions entered or to be entered by the Company during the financial years 2019-20 & 2020-21(In term of provisions of Section 188 of Companies Act, 2013 and SEBI (LODR) Regulations, 2015, IOCL, BPCL, GAIL, ONGC, Adani Petronet Dahej Port Pvt. Ltd., Petronet LNG Foundation, India LNG Transport Co. (No. 4) Pvt. Ltd. Singapore and KMPs qualify as Related Party(s), hence they are not entitled to vote in respect of Resolution at Item No. 12).	In favour of the proposal	For	Normal course of business.
				Management	To approve Related Party Transaction in relation to transfer of various commercial agreement for supply of goods or service along with rights and obligations in this regard which were entered with Bharat Petroleum Corporation Limited (BPCL) to Bharat Gas Resources Limited (BGRL) on arm's length basis and not in the ordinary course of business (In term of provisions of Section 188 of Companies Act, 2013 and SEBI (LODR) Regulations, 2015, IOCL, BPCL, GAIL, ONGC, Adani Petronet Dahej Port Pvt. Ltd., Petronet LNG Foundation, India LNG Transport Co. (No. 4) Pvt. Ltd. Singapore and KMPs qualify as Related Party(s), hence they are not entitled to vote in respect of Resolution at Item No. 13).	In favour of the proposal	For	Normal course of business.
				Management	To approve amendment in Memorandum of Association (MoA) of the Company.	In favour of the proposal	For	Normal course of business.
				Management	To approve amendment in Articles of Association (AoA) of the Company.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	27.08.19	Maruti Suzuki Ltd.	AGM	Management	To receive, consider and adopt the audited financial statements (including the consolidated financial statements) of the Company for the year ended 31st March, 2019 including the audited Balance Sheet as at 31st March, 2019, the statement of Profit and Loss for the year ended on that date and the reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on equity shares of the Company.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Mr. Toshihiro Suzuki (DIN: 06709846), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a director in place of Mr. Kinji Saito (DIN: 00049067), who retires by rotation and being eligible, offers himself for reappointment.	In favour of the proposal	For	Favourable business decision.

				Management	To re-appoint Mr. Kenichi Ayukawa (DIN: 02262755) as Managing Director and Chief Executive Officer.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Mr. Takahiko Hashimoto (DIN: 08506746) as a Director and Whole-time Director designated as Director (Marketing & Sales).	In favour of the proposal	For	Favourable business decision.
				Management	To re-appoint Mr. D.S. Brar (DIN:00068502) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr. R.P. Singh (DIN: 02943155) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To appoint Ms. Lira Goswami (DIN: 00114636) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the appointment of Mr. Hiroshi Sakamoto (DIN: 02209076) as a Director.	In favour of the proposal	For	Favourable business decision.
				Management	To approve the appointment of Mr. Hisashi Takeuchi (DIN: 07806180) as a Director.	In favour of the proposal	For	Favourable business decision.
				Management	Enhancement of ceiling of payment of commission to Non-executive directors.	In favour of the proposal	For	Normal course of business.
				Management	To ratify the remuneration of the Cost Auditor, M/s R. J. Goel & Co., cost accountants.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	27.08.19	Powergrid Corporation India Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements including Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2019, together with the Board's Report, the Auditor's Report thereon and comments of the Comptroller and Auditor General of India.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To note the payment of interim dividend and declare final dividend for the Financial Year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Shri Ravi P. Singh (DIN 05240974), who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To authorize the Board of Directors of the Company to fix the remuneration of the Statutory Auditors for the Financial Year 2019-20.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Shri Rajeev Kumar Chauhan (DIN 02018931) as a Director liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	To approve the reappointment of Shri Jagdish Ishwarbhai Patel (DIN: 02291361) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To approve the appointment of Shri M. N. Venkatesan (DIN: 02126022) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of remuneration of the Cost Auditors for the Financial Year 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	To raise funds up to Rs.10,000 Crore, from domestic market through issue of secured / unsecured, non-convertible, non-cumulative/cumulative, redeemable, taxable / tax-free Debentures/Bonds under Private Placement during the Financial Year 2020-21 in upto twenty tranches/offers.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	30.08.19	Bharat Petroleum Company Ltd.	AGM	Management	To receive, consider and adopt (a) the Audited Financial Statements of the Company for the Financial Year ended 31st March, 2019 (b) the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2019; and the Reports of the Board of Directors, the Statutory Auditors and the Comments of the Comptroller & Auditor General of India thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Confirmation of payment of Interim Dividend and Declaration of final Dividend for the Financial Year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Shareholder	Reappointment of Shri Padmakar Kappagantula, Director who retires by rotation	In favour of the proposal	For	Favourable business decision.
				Management	Fixing the remuneration of the Statutory Auditors for the Financial Year 2019-20	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Shri Arun Kumar Singh as Director (Marketing)	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Shri Neelakantapillai Vijayagopal as Director (Finance)	In favour of the proposal	For	Favourable business decision.
				Shareholder	Reappointment of Shri Rajesh Kumar Mangal as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Shri Harshadkumar P. Shah as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval of Material Related Party Transactions.	In favour of the proposal	For	Normal course of business.
				Management	Approval of Remuneration of the Cost Auditor for the Financial Year 2019-20.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	29.08.19	Aurobindo Pharma Ltd.	AGM	Management	To receive, consider and adopt the Audited Standalone Financial Statements for the financial year ended March 31, 2019, together with the reports of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To receive, consider and adopt the Audited Consolidated Financial Statements for the financial year ended March 31, 2019, together with the report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the first interim dividend of Rs.1.25 and second interim dividend of Rs.1.25 in aggregate Rs.2.50 per equity share of Rs.1/- each, as dividend paid for the financial year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. P. Sarath Chandra Reddy (DIN: 01628013) who retires by rotation at this Annual General Meeting and being eligible, seeks re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a Director in place of Dr. M. Sivakumaran (DIN: 01284320) who retires by rotation at this Annual General Meeting and being eligible, seeks re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To re-appoint Mr. K.Ragunathan (DIN: 00523576) as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To re-appoint Mrs. Savita Mahajan (DIN: 06492679) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To re-appoint Dr (Mrs) Avnit Bimal Singh (DIN: 01316166) as an Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr.P.Sarath Chandra Reddy (DIN: 01628013) as Whole-time Director	In favour of the proposal	For	Favourable business decision.
				Management	To revise the remuneration of Mr. M.Madan Mohan Reddy (DIN: 01284266), Whole-time Director	In favour of the proposal	For	Normal course of business.
				Management	To revise the remuneration of Mr. N. Govindarajan (DIN: 00050482), Managing Director	In favour of the proposal	For	Inline with current corporate practice.

Jul-Sep 2019	29.08.19	City Union Finance Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended 31st March, 2019 and the Reports of the Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare Dividend on Equity shares for the Financial Year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of M/s Sundaram & Srinivasan, Chartered Accountants, Chennai as Statutory Central Auditors of the Bank for FY 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	Approval for appointment of Branch Auditors and to fix their remuneration.	In favour of the proposal	For	Normal course of business.
				Management	Approval for appointment of Shri. R. Mohan (DIN 06902614) as Part-time Chairman of the Bank.	In favour of the proposal	For	Favourable business decision.
				Management	Approval for Revision in Remuneration and Variable Pay for FY 2018 to Dr. N. Kamakodi, Managing Director & CEO of the Bank and also ratification of Variable Pay paid to him for FY 2017.	In favour of the proposal	For	Inline with current corporate practice.
				Management	Approval for appointment of Shri. Vaidyanathan Kalyanasundaram (DIN 07120706) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval for appointment of Shri. Thirukkarugavur Krishnamoorthy Ramkumar (DIN 02688194) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval for re-appointment of Smt. Abarna Bhaskar (DIN 06971635) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To authorize the Board to offer, issue and allot shares by way of Qualified Institutions Placement.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	28.08.19	Sun Pharmaceutical Industries Ltd.	AGM	Management	To alter the Articles of Association of the Bank.	In favour of the proposal	For	Inline with the regulatory requirement to change of companies act.
				Management	Adoption of audited standalone financial statements of the Company for the financial year ended March 31, 2019 and the reports of the Board of Directors and Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Adoption of audited consolidated financial statements of the Company for the financial year ended March 31, 2019 and the reports of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declaration of dividend on equity shares for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Sailesh T. Desai (DIN: 00005443), who retires by rotation and being eligible offers himself for reappointment	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Kalyanasundaram Subramanian (DIN: 00179072), who retires by rotation and being eligible offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Ordinary Resolution for ratification of remuneration of, M/s. B M Sharma and Associates, Cost Auditors.	In favour of the proposal	For	Normal course of business.
				Management	Special Resolution for consent/ratification of excess commission paid to Non-executive Directors for the year 2013-14 pursuant to the letter received from MCA in respect of abatement of the pending applications for approval of remuneration.	In favour of the proposal	Against	Not favourable for the company.
				Management	Special Resolution for approval of remuneration to be paid to Mr. Kalyanasundaram Subramanian, Whole-time Director, with effect from July 04, 2019.	In favour of the proposal	For	Inline with the regulatory requirement

Jul-Sep 2019	30.08.19	Hindalco Industries Ltd.	AGM	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) for the year ended 31st March, 2019 and the Report of the Directors and the Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare and sanction the payment of Dividend on equity shares of the Company for the financial year 2018-2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Smt. Rajashree Birla (DIN: 00022995), who retires from office by rotation and being eligible, offers herself for reappointment	In favour of the proposal	For	Favourable business decision.
				Management	To ratify the remuneration of the Cost Auditors for the financial year ending 31st March, 2020 and in this regard to consider and if thought fit, to pass the following resolution, which will be proposed as an Ordinary Resolution	In favour of the proposal	For	Normal course of business.
				Management	To approve the appointment of Dr. Vikas Balia (DIN: 00424524) who was appointed as an Independent Director on the Board of the Company, under Section 149, read with the Rules made thereunder and Schedule V of the Companies Act, 2013 and the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and in that behalf, to consider and if thought fit, to pass the following resolution, which will be proposed as an Ordinary Resolution	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr. K.N. Bhandari (DIN: 00026078) as an Independent Director and in this regard to consider and if thought fit, to pass the following resolution, which will be proposed as a Special Resolution	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To re-appoint Mr. Ram Charan (DIN: 03464530) as an Independent Director and in this regard to consider and if thought fit, to pass the following resolution, which will be proposed as a Special Resolution	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	30.08.19	Dabur India Ltd.	AGM	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended 31st March, 2019 and the reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended 31st March, 2019 and the report of Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the interim dividend already paid and declare final dividend on equity shares for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Amit Burman (DIN : 00042050) who retires by rotation and being eligible offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To appoint a Director in place of Mr. Mohit Burman (DIN : 00021963) who retires by rotation and being eligible offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Approval and ratification of remuneration payable to Cost Auditors for Financial Year 2019-20.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Mr. Mohit Malhotra (DIN : 08346826) as whole-time Director of the Company, designated as CEO, for five years w.e.f. January 31, 2019, subject to approval of statutory authorities and approval of remuneration and other terms and conditions of his appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Ajit Mohan Sharan (DIN : 02458844) as an Independent Director of the Company for a term of five consecutive years w.e.f. January 31, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. Aditya Burman (DIN : 00042277), as a Non-Executive Promoter Director of the Company w.e.f. July 19, 2019.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mrs. Falguni Sanjay Nayar (DIN : 00003633) as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 28, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Re-appointment of Mr. P N Vijay (DIN: 00049992) as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 22, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. S Narayan (DIN: 00094081), aged 76 years, as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 22, 2019, including his appointment for being more than seventy five years of age.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. R C Bhargava (DIN: 00007620), aged 84 years, as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 22, 2019, including his appointment for being more than seventy five years of age.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Dr. Ajay Dua (DIN: 02318948) aged 72 years, as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 22, 2019, including his continuation in office upon attaining the age of seventy five years in July, 2022.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Sanjay Kumar Bhattacharyya (DIN: 01924770) as an Independent Director of the Company for a second term of five consecutive years w.e.f. July 22, 2019.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval for payment of remuneration including profit related commission, by whatever name called, to non-executive independent directors for a period of 5 years, commencing from 1.4.2019, upto one percent of the net profits of the Company in any financial year in terms of Section 197 of the Act.	In favour of the proposal	For	Inline with current corporate practice.
Jul-Sep 2019	08.09.19	Astral Poly Technik Ltd.	Postal Ballot	Management	Ordinary Resolution To approve the issue of Bonus shares.	In favour of the proposal	For	No material impact on company's financials
Jul-Sep 2019	09.09.19	Mahanagar Gas Ltd.	AGM	Management	To consider and adopt the audited Financial Statements of the Company for the Financial Year ended on March 31, 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the interim dividend of '9.50 per equity share, already paid and to declare the final dividend of '10.50 per equity share for the Financial Year ended on March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Retiring Director position not to fill.	In favour of the proposal	For	Normal course of business.
				Management	Ratification of Cost Auditors remuneration.	In favour of the proposal	For	Normal course of business.
				Management	To approve Material Related Party Transactions.	In favour of the proposal	For	Normal course of business.
				Shareholder	To appoint Dr. Ashutosh Karnatak (DIN: 03267102) as Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Mr. Deepak Sawant (DIN: 07339381) as Director	In favour of the proposal	For	Favourable business decision.
				Management	To appoint Mr. Deepak Sawant (DIN: 07339381), Whole - Time Director, as Deputy Managing Director	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Mr. Trivikram Arun Ramanathan (DIN: 08035390) as Director of the Company	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	16.09.19	Aarti Industries	AGM	Management	Adoption of Financial Statements for the year ended March 31, 2019	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Declare final dividend for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Shri Renil R. Gogri, who retires by rotation and being eligible, seeks reappointment.	In favour of the proposal	For	Favourable business decision.

				Management	Re-appointment of Smt. Hetal Gogri Gala, who retires by rotation and being eligible, seeks reappointment.	In favour of the proposal	For	Favourable business decision.
				Management	Variation in the terms of remuneration payable to Executive Directors.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Dr. Vinay Gopal Nayak as an Independent Director for a period of 5 (Five) years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Shri Lalitkumar S. Naik as an Independent Director for a period of 5 (Five) years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri P.A. Sethi as an Independent Director for a period of 5 (Five) years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri KVS Shyam Sunder as an Independent Director for a period of 5 (Five) years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri Bhavesh Rasiklal Vora as an Independent Director for a period of 5 (Five) years.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of the remuneration to be paid to Cost Auditor.	In favour of the proposal	For	Normal course of business.
				Management	Issuance of Bonus Shares.	In favour of the proposal	For	No material impact on company's financials.
Jul-Sep 2019	18.09.19	IEX Ltd.	AGM	Management	To adopt the Audited Financial Statements for the year ended March 31, 2019 together with the Reports of the Board of Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mr. Ajeet Kumar Agarwal (DIN: 02231613), who retires by rotation, and being eligible, offers himself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Management	To appoint Statutory Auditors from the conclusion of this Annual General Meeting until the conclusion of the 18th Annual General Meeting and to fix their remuneration.	In favour of the proposal	For	Normal course of business.
				Management	To not fill the vacancy caused due to retirement of Ms. Renuka Ramnath (DIN: 00147182)	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. Gautam Dalmia as a Non-executive and Non-Independent Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Tejpreet Singh Chopra as a Non-executive and Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Ms. Sudha Pillai as a Non-executive and Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of appointment of Mr. Satyanarayan Goel as MD & CEO of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Approval for appointment of Mr. Rajiv Srivastava as a Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Ratification of appointment of Mr. Rajiv Srivastava as Whole-time Director and MD & CEO of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Approval for Indian Energy Exchange Limited 'Restricted Stock Unit Scheme 2019'.	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval for payment of remuneration in the form of Commission to Mr. Satyanarayan Goel, Non-Executive Chairman of the Board.	In favour of the proposal	For	Normal course of business.

Jul-Sep 2019	23.09.19	ITC Ltd.	Postal Ballot	Shareholder	Appointment of Mr. A. K. Seth.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. A. Nayak.	In favour of the proposal	For	Favourable business decision.
				Management	Variation in remuneration of Wholtime Directors.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	23.09.19	Reliance Nippon Life Asset Management Co.	AGM	Management	To consider and adopt: a) the audited financial statement of the Company for the financial year ended March 31, 2019 and the reports of the Board of Directors and that of the Auditors' thereon; and b) the audited consolidated financial statement of the Company for the financial year ended March 31, 2019 and the report of the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To confirm the 1st Interim Dividend of Rs. 3 per equity share as well as 2nd Interim Dividend of Rs. 3 per equity share, as already paid for the financial Year 2018-19.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a director in place of Mr. Minoru Kimura (DIN 07497568), who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and, being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	To appoint Mr. Mahadevan Veeramony as a Non-executive Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	19.09.19	Finolex Industries Ltd.	AGM	Management	To consider and adopt standalone and consolidated audited financial statements of the Company for the financial year ended 31st March, 2019 together with the reports of the Auditors and the Board of Directors	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare dividend on equity shares for the financial year ended 31st March, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Mrs. Ritu P. Chhabria (DIN 00062144), who retires by rotation and, being eligible, offers herself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	To ratify a consolidated remuneration of H 4,00,000/- (Rupees Four Lakh Only) to be paid to M/s. S. R. Bhargave & Co., Cost Accountants, Pune, (Firm Registration No. 000218) the Cost Auditors appointed by the Board of Directors of the Company to conduct the audit of cost records of the Company for the financial year ending 31st March, 2020	In favour of the proposal	For	Normal course of business.
				Management	To give approval for appointment of Mrs. Kanchan U. Chitale (DIN 00007267) as an Independent Director of the Company, not liable to retire by rotation for a term of 5 (five) consecutive years on the Board of the Company effective from 1st April, 2019 to 31st March, 2024.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	To give approval for issuing offer(s) or invitation(s) to subscribe to debt securities as defined under the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, as amended from time to time, including Non-Convertible Debentures ("NCDs") for a sum not exceeding H 2,50,00,00,000/- (Rupees two hundred fifty crore only) on a private placement basis in one or more tranches during a period of one year from the date of passing of this resolution within the overall borrowing limits of the Company, as approved by the members, from time to time	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	21.09.19	HCL Technologies Ltd.	Postal Ballot	Management	Scheme of amalgamation ("Scheme") amongst HCL Eagle Limited ("Transferor Company-I"), HCL Comnet Limited ("Transferor Company-II"), HCL Technologies Solutions Limited, ("Transferor Company-III") Concept2Silicon Systems Private Limited ("Transferor Company-IV"), HCL Technologies Limited ("Transferee Company") and their respective shareholders and creditors under the provisions of Section 230 to 232 of the Companies Act, 2013.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	25.09.19	Jubilant Life Science Ltd.	AGM	Management	To consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the Financial Year ended March 31, 2019 and the Reports of the Board of Directors and Auditors thereon. (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	Declaration of Dividend for the year ended March 31, 2019. (Ordinary Resolution)	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Priyavrat Bhartia (DIN: 00020603), who retires by rotation. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Mr. Arjun Shanker Bhartia (DIN: 03019690), who retires by rotation. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Arun Seth (DIN: 00204434) as an Independent Director. (Ordinary Resolution)	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Anant Pande (DIN: 08186854) as a Director liable to retire by rotation. (Ordinary Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Anant Pande (DIN: 08186854) as Whole-time Director. (Special Resolution)	In favour of the proposal	For	Favourable business decision.
				Management	Approval of implementation of 'Jubilant General Employee Benefits Scheme - 2019 ('JGEBS'). (Special Resolution)	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Applicability of JGEBS to employees of holding company and subsidiary companies of the Company. (Special Resolution)	In favour of the proposal	For	As per industry practice for employee benefit.
				Management	Approval of remuneration of Cost Auditors - M/s J. K. Kabra & Co., Cost Accountants for the Financial Year ending March 31, 2020. (Ordinary Resolution)	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	24.09.19	Indraprastha Gas Ltd.	AGM	Management	To receive, consider and adopt the (a) the Audited Financial Statements of the Company for the financial year ended March 31, 2019 (b) the Audited Consolidated Financial Statements for the financial year ended March 31, 2019; and the reports of the Board of Directors and the Statutory Auditor and the comments of Comptroller & Auditor General of India thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a Dividend on equity shares for the financial year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Approval of remuneration payable to Statutory Auditor of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Shri Gajendra Singh as a Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Re-appointment of Shri E. S. Ranganathan as Managing Director and approval of his remuneration.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Shri Amit Garg as a Director of the Company, not liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Shri Amit Garg as Director (Commercial) and approval of his remuneration.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Shri R. P. Natekar as a Director of the Company	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Shri Rajeev Verma as a Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Smt. Saroj Bala as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Dr. Akhilesh Kumar Ambasht as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Shri Rajib Sekhar Sahoo as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

				Management	Appointment of Shri Ramesh Narain Misra as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Shri Deepak Mishra as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Ratification of the remuneration payable to Cost Auditor of the Company for the Financial Year ending March 31, 2020.	In favour of the proposal	For	Normal course of business.
				Management	Approval of material Related Party Transactions under a contract entered with GAIL (India) Limited.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	26.09.19	Relaxo Footwears Ltd.	AGM	Management	To consider, approve and adopt the Audited Financial Statements of the Company for the year ended 31st March, 2019, which includes Balance Sheet as at 31st March, 2019 and the statement of Profit and Loss Account for the year ended on that date together with the Reports of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare final dividend @ 180% equivalent to Rs. 1.80/- per equity share of the Face Value of Re. 1/- each for the Financial Year 2018-19. (If the proposed bonus issue in the ratio of 1:1 is approved by the members, dividend will be accordingly adjusted i.e. @ 90% equivalent to Re. 0.90/- per equity share of face value of Re. 1/ each.).	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To re-appoint Mr. Nikhil Dua (DIN - 00157919) who retires by rotation and being eligible offers himself for reappointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Ms. Deepa Verma (DIN: 06944281), as Non-Executive and Independent Director of the company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Mr. Rajeev Rupendra Bhadauria (DIN - 00376562) as Non-Executive Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jul-Sep 2019	27.09.19	Apollo Hospital Enterprises Ltd.	AGM	Management	To consider and adopt The audited standalone and Consolidate financial statements of the Company for the financial year ended 31st March 2019 and the Reports of the Board of Directors and Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To declare a dividend on the equity shares of the Company for the financial year ended 31st March 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	To appoint a Director in place of Smt Shobana Kamineni (DIN 00003836), who retires at this meeting and, being eligible, offers herself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Dr.Murali Doraiswamy (DIN:08235560) as an Independent Director to hold office for a term of five consecutive years upto 26th September 2023, not liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Smt. V. Kavitha Dutt (DIN:00139274) as an Independent Director to hold office for a term of five consecutive years upto 8th February 2024, not liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Appointment of Shri. MBN Rao (DIN : 00287260) as an Independent Director to hold office for a term of five consecutive years upto 8th February 2024, not liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Shri. Vinayak Chatterjee (DIN : 00008933) as an Independent Director to hold office for a second term of 5 (five) consecutive years, i.e. upto 31st March 2024, not liable to retire by rotation.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Payment of commission to the Non-Executive and Independent Directors of the Company (other than the Managing Director and/or Whole Time Directors) to be determined by the Board of Directors for each Non-Executive and Independent Director for every financial year over a period of five (5) financial years with effect from 1st April 2019.	In favour of the proposal	For	Normal course of business.

				Management	Re-appointment of Smt. Shobana Kamineni as Wholtime Director designated as Executive Vice Chairperson of the Company for a further period of five years with effect from 1st February 2020.	In favour of the proposal	For	Favourable business decision.
				Management	Consent of continuation of payment of remuneration to Dr. Prathap C Reddy (DIN :00003654), Executive Chairman, Smt.Preetha Reddy (DIN: 00001871), Executive Vice Chairperson, Smt. Suneeta Reddy (DIN: 00001873), Managing Director, Smt. Shobana Kamineni, (DIN: 00003836) Executive Vice-Chairperson and Smt. Sangita Reddy (DIN: 00006285), Joint Managing Director (collectively referred to as Promoter Executive Directors) for the FY 2019-2020 and onwards until the expiry of their respective tenure of services, in compliance with the limits prescribed under the SEBI LODR Regulations.	In favour of the proposal	For	Normal course of business.
				Management	Adoption of the new Memorandum of Association in pursuance of Companies Act, 2013, in place of the existing Memorandum of Association.	In favour of the proposal	For	Inline with the regulatory requirement to change of
				Management	Adoption of the new Articles of Association in pursuance of Companies Act, 2013, in place of the existing Articles of Association.	In favour of the proposal	For	Inline with the regulatory requirement to change of
				Management	Special Resolution under Sections 42, 71 and other applicable provisions if any of the Companies Act,2013 read with the Companies (Prospectus and Allotment of Securities) Rules, 2014 and the Companies (Share Capital and Debentures) Rules, 2014 to offer or invite subscriptions for secured / unsecured redeemable non-convertible debentures, in one or more series / tranches, aggregating upto Rs.5,000 million on a private placement basis.	In favour of the proposal	For	Favourable business decision.
				Management	Ordinary Resolution under Section 148 of the Companies Act, 2013 for ratification of the remuneration of the Cost Auditor for the financial year ending 31st March 2020.	In favour of the proposal	For	Normal course of business.
Jul-Sep 2019	23.10.19	Bajaj Finance Ltd.	Postal Ballot	Management	Issue of securities to Qualified Institutional Buyers.	In favour of the proposal	For	Favourable business decision.
Jul-Sep 2019	30.09.19	Spicejet Ltd.	AGM	Management	Adoption of the audited standalone financial statements of the Company for the financial year ended March 31, 2019, together with the Report of the Board of Directors and the Statutory Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Adoption of the audited consolidated financial statements of the Company for the financial year ended March 31, 2019, together with the Report of the Statutory Auditors thereon	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mrs. Shiwani Singh (DIN: 05229788) who retires by rotation and being eligible, offers herself for re-appointment	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Ajay Chhotelal Aggarwal as an Independent Director of the Company	In favour of the proposal	For	Favourable business decision.
				Shareholder	Appointment of Mr. Manoj Kumar (DIN: 00072634) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Remuneration to Mr. Ajay Singh (DIN: 01360684), Managing Director of the Company in case of no profits/ inadequacy of profits of the Company.	In favour of the proposal	For	Normal course of business.
Oct-Dec 2019	20.10.19	Apollo Hospitals Enterprises Ltd.	Postal Ballot	Management	Resolution approving the Scheme of Arrangement by way of transfer of the front end portion of the Standalone Pharmacy business segment of Applicant Company into Apollo Pharmacies Limited (APL) by way of slump sale and their respective shareholders under Sections 230 to 232 and other applicable provisions of the Companies Act, 2013 and applicable SEBI Regulations.	In favour of the proposal	For	Favourable business decision.
Oct-Dec 2019	21.10.19	Aarti Surfactants Ltd.	Postal Ballot	Management	Adoption of Financial Statements for the year ended March 31, 2019.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Appointment of Statutory Auditors	In favour of the proposal	For	Normal course of business.

				Shareholder	Appointment of Mr. Chandrakant Vallabhaji Gogri as Non-Executive Director	In favour of the proposal	For	Normal course of business.
				Shareholder	Appointment of Mr. Dattatray Sidram Galpalli as Non-Executive Director.	In favour of the proposal	For	Normal course of business.
				Management	Appointment of Mr. Mulesh Manilal Savla as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Ms. Misha Bharat Gala as Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Mr. Nikhil Parimal Desai as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Nikhil Parimal Desai as Managing Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Santosh Kakade as Director.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Mr. Santosh Kakade as Whole-time Director.	In favour of the proposal	For	Favourable business decision.
				Management	Increase of authorised share capital and consequent alteration to capital clause of the Memorandum of Association of the Company	In favour of the proposal	For	Normal course of business.
				Management	Approval for raising of funds by way of issue of securities by way of public and/or private placement, through Qualified Institutional Placement (QIP)/ Rights Issue or through any other permissible mode under applicable laws and/or combination thereof.	In favour of the proposal	For	Favourable business decision.
				Management	Ratification of Remuneration of cost auditor.	In favour of the proposal	For	Normal course of business.
				Management	Increase in borrowing limit upto Rs 125 crores.	In favour of the proposal	For	Favourable business decision.
				Management	Adoption of Financial Statements for the year ended 30th June, 2019 and the Directors' and Auditors' Report.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
Oct-Dec 2019	24.10.19	Accelya Solutions Indian Ltd.	AGM	Management	Confirmation of payment of interim dividend and declaration of final dividend.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. John Johnston as Director of the Company, liable to retire by rotation.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Statutory Auditors.	In favour of the proposal	For	Normal course of business.
				Management	Approval for the payment of bonus rewards to employees and / or directors of the Company as identified by Accelya Topco Limited from time to time.	In favour of the proposal	For	Normal course of business.
				Management	Re-appointment of Mr. Sekhar Natarajan as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Mr. Nani Javeri as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Re-appointment of Ms. Sangeeta Singh as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.

Oct-Dec 2019	30.10.19	Sanofi India Ltd.	Postal Ballot	Management	Special Resolution for slump sale and transfer of the Company's manufacturing facility at Ankleshwar, Gujarat on an ongoing concern basis	In favour of the proposal	For	Favourable business decision.
Oct-Dec 2019	18.11.19	Minda Industries Ltd.	Postal Ballot	Management	Resolution for approval of the Composite Scheme of Arrangement amongst Harita Limited and Harita Venu Private Limited and Harita Cheema Private Limited and Harita Financial Services Limited and Harita Seating Systems Limited and Minda Industries Limited and their respective shareholders under Sections 230 to 232 and other applicable provisions of the Companies Act, 2013.	In favour of the proposal	For	Favourable business decision.
Oct-Dec 2019	29.11.19	HCL Technologies Ltd.	Postal Ballot	Management	Increase in Authorized Share Capital and consequent alteration in the Memorandum of Association of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Issue of Bonus Shares.	In favour of the proposal	For	No material impact on company's financials.
Oct-Dec 2019	04.12.19	Bandhan Bank Ltd.	Postal Ballot	Management	Reduction in the Authorized Share Capital in compliance with the Banking Regulation Act, 1949 and consequent amendment to the Capital Clause of the Memorandum of Association of the Bank	In favour of the proposal	For	Normal course of business.
				Management	Increase in the total shareholding limits for all Foreign Portfolio Investors ('FPIs') and Foreign Institutional Investors ('FIIs') including their sub-accounts from current 24% to 49% of the paid-up voting equity capital of the Bank	In favour of the proposal	For	Favourable business decision.
Oct-Dec 2019	18.11.19	Shree Cement Ltd.	Postal Ballot	Management	Raising of funds in one or more tranches, by issuance of equity shares by way of qualified institutions placement(s) for an amount not exceeding Rs. 3,000 crore.	In favour of the proposal	For	Favourable business decision.
				Management	Partial modification in terms of remuneration of Shri Prakash Narayan Chhangani, Whole Time Director of the Company.	In favour of the proposal	For	Normal course of business.
Oct-Dec 2019	21.11.19	Tata Motors Ltd.	Postal Ballot	Management	Issuance of ordinary shares and warrants to Tata Sons Private Ltd, promoter of the company, on a preferential basis	In favour of the proposal	For	Normal course of business.
Oct-Dec 2019	29.11.19	Aurobindo Pharma Ltd.	Postal Ballot	Management	Approval of Scheme of Amalgamation of APL Research Centre Limited (Transferor Company 1) and Aurozymes Limited (Transferor Company 2) and Curepro Parenterals Limited (Transferor Company 3) and Hyacinths Pharma Private Limited (Transferor Company 4) and Silicon Life Sciences Private Limited (Transferor Company 5) and APL Healthcare Limited (Transferor Company 6) with Aurobindo Pharma Limited (Transferee Company) and their respective Shareholders and Creditors.	In favour of the proposal	Abstain	No sufficient information to take a
Oct-Dec 2019	08.12.19	Minda Industries Ltd.	Postal Ballot	Management	Approval of Scheme of Amalgamation of M J Casting Limited, Minda Distribution and Services Limited, Minda Auto Components Limited, Minda Rinder Private Limited with Minda Industries Limited and their Respective Shareholders and Creditors	In favour of the proposal	For	Favourable business decision.
Oct-Dec 2019	18.12.19	Reliance Nippon	Postal Ballot	Management	Change in name of the Company.	In favour of the proposal	For	No material impact on company's financials.
				Management	To Adopt new set of Articles of Association.	In favour of the proposal	For	Normal course of business.
Jan-Mar 2020	09.01.20	Axis Bank Ltd.	Postal Ballot	Management	Re-appointment of Shri S. Vishvanathan (DIN: 02255828) as an Independent Director of the Bank, with effect from 11th February 2020 upto 10th February 2023 (both days inclusive).	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jan-Mar 2020	13.01.20	Cholamandalam Investment & Finance Co. Ltd.	Postal Ballot	Management	To offer, issue and allot equity shares of Rs.2/- each up to an amount not exceeding Rs.1,000 crores by way of qualified institutions placement to eligible qualified institutional buyers.	In favour of the proposal	For	Favourable business decision.
Jan-Mar 2020	03.02.20	Apollo Hospital Enterprises Ltd.	Postal Ballot	Management	Approval for entering into Long Term Supply Agreement with Apollo Pharmacies Limited (APL) for supply of pharmaceutical products.	In favour of the proposal	For	Normal course of business.
Jan-Mar 2020	11.02.20	Siemens Ltd.	AGM	Management	Consideration and adoption of : the Audited Standalone and Consolidate Financial Statements of the Company for the Financial Year ended 30th September 2019, together with the Reports of the Directors and the Auditors thereon.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.

				Management	To declare a Dividend on Equity Shares for the Financial Year ended 30th September 2019.{The Board of Directors has recommended a Dividend of Rs. 7/- per Equity Share of Rs. 2/- each.}	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
				Management	Re-appointment of Mr. Johannes Apitzsch (DIN: 05259354) as a Director, who retires by rotation and being eligible, offers himself for re-appointment.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Ms. Anjali Bansal (DIN: 00207746) as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Appointment of Dr. Daniel Spindler (DIN: 08533833) as a Director of the Company.	In favour of the proposal	For	Favourable business decision.
				Management	Appointment of Dr. Daniel Spindler (DIN: 08533833) as Executive Director and Chief Financial Officer of the Company.	In favour of the proposal	For	Favourable business decision.
				Shareholder	Re-appointment of Mr. Deepak S. Parekh (DIN: 00009078) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	Re-appointment of Mr. Yezdi H. Malegam (DIN: 00092017) as an Independent Director.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Management	Approval of transactions with Siemens Aktiengesellschaft, Germany, Holding company of the Company	In favour of the proposal	For	Normal course of business.
				Management	Payment of remuneration to Messrs R. Nanabhoy & Co., Cost Accountants (Firm Registration No. 000010), the Cost Auditors of the Company for FY 2019-20.	In favour of the proposal	For	Normal regulatory requirement as part of ordinary business.
Jan-Mar 2020	27.02.20	3M India Ltd.	Postal Ballot	Management	To consider and approve the Scheme of Amalgamation between the 3M India Limited (the Company/Transferee Company) and 3M Electro and Communication India Private Limited (Transferor Company) and their respective members and creditors under Section 233 of the Companies Act, 2013	In favour of the proposal	For	Favourable business decision.
Jan-Mar 2020	06.03.20	Nippon Life India Asset Management Ltd.	Postal Ballot	Management	Re-appointment of Ms. Ameeta Chatterjee as a Non-executive Independent Director	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jan-Mar 2020	24.02.20	Cholamandalam Investment & Finance Co. Ltd.	Postal Ballot	Management	To offer, issue and allot equity shares under section 62(1)(c) of the Companies Act, 2013 by way of preferential allotment to Cholamandalam Financial Holdings Limited	In favour of the proposal	For	Normal course of business.
Jan-Mar 2020	18.03.20	Bata India Ltd.	Postal Ballot	Shareholder	To appoint Mr. Ashwani Windlass as an Independent Director of the Company	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
				Shareholder	To re-appoint Mr. Ravindra Dhariwal as an Independent Director of the Company.	In favour of the proposal	For	Possesses requisite qualification to help in business growth.
Jan-Mar 2020	18.03.20	Hindustan Unilever Ltd.	Postal Ballot	Management	Appointment of Mr. Wilhelmus Adrianus Theodorus Uijen (Wilhelmus Uijen) as Whole-time Director of the Company	In favour of the proposal	For	Favourable business decision.
Jan-Mar 2020	14.03.20	Bajaj Auto Ltd.	Postal Ballot	Management	Appointment and continuation of Shri Rahul Kumar Kamalnayan Bajaj as Non-executive Director, liable to retire by rotation and with designation continuing as Chairman.	In favour of the proposal	For	Favourable business decision.
				Management	Approval for payment of remuneration to Shri Rahul Kumar Kamalnayan Bajaj, Non-executive Director and Chairman	In favour of the proposal	For	Normal course of business.
				Management	Approval for adoption of new set of Articles of Association of the Company.	In favour of the proposal	For	Normal course of business.
				Management	Approval to fix the Foreign Portfolio Investor's investment limit.	In favour of the proposal	For	Nothing negative for the company.

Jan-Mar 2020	14.03.20	Bajaj Finserv Ltd.	Postal Ballot	Management	Approval to adopt new set of Articles of Association for the Company.	In favour of the proposal	For	Normal course of business.
				Management	Approval to fix the Foreign Portfolio Investors' (FPIs) investment limit.	In favour of the proposal	For	Nothing negative for the company.
Jan-Mar 2020	15.03.20	Bajaj Finance Ltd.	Postal Ballot	Management	Alteration to Articles of Association.	In favour of the proposal	For	Normal course of business.
				Management	Fix the Foreign Portfolio Investors' (FPIs) holdings/ investments limits at 49 percent of the paid-up equity share capital in the Company	In favour of the proposal	For	Nothing negative for the company.
				Management	Increase in the borrowing powers of the Board to Rs. 160,000 crore.	In favour of the proposal	For	Favourable business decision.
				Management	Creation of charge on the properties including whole or substantially the whole of Company's undertaking upto maximum amount of Rs. 160,000 crore	In favour of the proposal	For	Normal course of business.
Jan-Mar 2020	24.03.20	3M India Ltd.	Postal Ballot	Management	Re-appointment of Mr. Bharat Dhirajlal Shah (holding DIN : 00136969) as non-executive Independent Director for a second term.	In favour of the proposal	For	Favourable business decision.

Summary of Votes cast during the F.Y. 2019-2020					
F.Y.	Quarter	Total No. of resolutions	Break-up of Vote decision		
			For	Against	Abstained
2019-2020	Apr - Jun 2019	134	132	1	1
	Jul - Sep 2019	751	740	4	7
	Oct - Dec 2019	36	35	0	1
	Jan - Mar 2020	30	30	0	0

ANNEXURE - I

Redressal of complaints received against SHRIRAM Mutual Fund for the period : 01-April-2019 to 31-Mar-2020

Total Number of Folio as on 31-Mar-2020 : 20512												
Complaint Code	Type of complaint #	No of complaints pending at the beginning of the year (a)	No of complaints received during the year (b)	Action on (a) and (b)								
				Resolved				Non Actionable*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	-	-	-	-	-	-	-	-	-	-	-
I B	Interest on delayed payment of Dividend	-	-	-	-	-	-	-	-	-	-	-
I C	Non receipt of Redemption Proceeds	-	-	-	-	-	-	-	-	-	-	-
I D	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	-	-	-	-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account \$\$	-	-	-	-	-	-	-	-	-	-	-
II C	Data corrections in Investor details \$\$	-	-	-	-	-	-	-	-	-	-	-
II D	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	-	-	-	-	-	-	-	-	-	-	-
IV	Others	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	0	0	0	0	0	0	0	0	0	0	0

including against its authorized persons/distributors/employees. etc.

SHRIRAM MUTUAL FUND

SHRIRAM MUTUAL FUND

INDEPENDENT AUDITORS' REPORT

To,
The Board of Trustees

SHRIRAM MUTUAL FUND

Report on the Audit of the Financial Statements

Opinion

We have audited the financial statements of **SHRIRAM MUTUAL FUND – SHRIRAM HYBRID EQUITY FUND (ERSTWHILE SHRIRAM EQUITY AND DEBT OPPORTUNITIES FUND), SHRIRAM MUTUAL FUND - SHRIRAM MULTICAP FUND, SHRIRAM MUTUAL FUND - SHRIRAM LONG TERM EQUITY FUND and SHRIRAM MUTUAL FUND - SHRIRAM BALANCED ADVANTAGE FUND** (collectively "the Schemes") which comprise the Balance Sheets as at March 31 2020, Revenue Accounts for the year/period then ended and notes to the financial statements, including summary of significant accounting policies.

In our opinion and to the best of our information and according to the explanations given to us, the aforesaid financial statements give the information required by the Securities and Exchange board of India (Mutual Funds) Regulations, 1996, as amended (the 'Regulations') in the manner so required and give a true and fair view in conformity with the accounting principles generally in India, of the state of affairs of the scheme at 31 March 2020 and the net surplus/(deficit) for the year/period ended on that date.

Basis for Opinion

We conducted our audit in accordance with the Standards on Auditing ('SAs') issued by the Institute of Chartered accountants of India (the 'ICAI'). Our responsibilities under those SAs are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Schemes in accordance with the ethical requirements that are relevant to audit of the financial statements in India, and we have fulfilled our other ethical responsibilities in accordance with the ICAI Code of Ethics. We believe that the audit evidence we have obtained are sufficient and appropriate to provide a basis for our audit opinion on the financial statements.

Emphasis of Matter Paragraph

We draw attention to schedule 16 of significant accounting policies and notes to accounts forming part of the financial statements, which describes the management's assessment of the financial impact and uncertainties on account of outbreak of COVID-19 pandemic on the financial statements of the Mutual Fund as at the balance sheet date. Our opinion is not modified in respect of this matter.

Information Other than the Financial Statements and Auditor's Report Thereon

The Schemes Management is responsible for the other information. The other information comprises the information included in the Annual Report, but does not include the financial statements and our auditor's report thereon.

Our opinion on the financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained during the course of our audit or otherwise appears to be materially misstated.

If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

Responsibilities of the Management and Those Charged with the Governance for the Financial Statements

The Scheme's Management, the Board of Directors of Shriram Asset Management Co. Ltd. (the 'AMC') the Board of Trustees (the 'Trustees') are responsible for the preparation of these financial statements that give a true and fair view of the state of affairs and surplus/deficit in accordance with accounting policies and standards specified in the Ninth Schedule of the Regulations and the accounting principles generally accepted in India. This responsibility includes maintenance of adequate accounting records in accordance with the Regulations, the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error

In preparing these financial statements, the Schemes management, the Trustees and the AMC are responsible for assessing the Scheme's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using

SHRIRAM MUTUAL FUND

the going concern basis of accounting unless management either intends to liquidate the Schemes or to cease operations, or has no realistic alternative to do so.

The Trustees and the AMC are also responsible for overseeing the Scheme's financial reporting process.

Auditor's Responsibility for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements of the Scheme as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Standards on Auditing ('the SAs') will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements. The description of the auditor's responsibilities for the audit of the financial statements is given "**Appendix I**" to this report.

Report on Other Legal and Regulatory Requirements

1. As required by Regulation 55 (4) to the Regulations, we report that:
 - a) We have obtained all the information and explanations which, to the best of our knowledge and belief, were necessary for the purpose of our audit; and
 - b) The balance sheets and the revenue accounts has been prepared in accordance with the accounting policies and standards specified in the Ninth Schedule of the Regulations.
2. As required by Clause 5 (ii) (2) of the Eleventh Schedule of the regulations, we report the balance sheet and revenue account are in agreement with the books of account of the Schemes
3. As required by the Eight Schedule of the Regulations, we report that, in our opinion and on the basis of information and explanations given to us, the methods used to value non-traded securities as at March 31, 2020, as determined by the Board of Directors of the AMC, are in accordance with the SEBI Regulations and other guidelines issued by the Securities and Exchange Board of India, as applicable, and approved by the Board of Trustees, and fair and reasonable.

For **Chokshi & Chokshi LLP**

Chartered Accountants

FRN - 101872W/W100045

Nilesh Joshi

Partner

Membership No. 114749

Place : Mumbai

Date : 28.08.2020

APPENDIX - I :

**THE FURTHER DESCRIPTION OF THE AUDITOR'S RESPONSIBILITIES
FOR THE AUDIT OF THE FINANCIAL STATEMENTS**

As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also :

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error to design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the scheme's internal controls.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management of the schemes.
- Conclude on the appropriateness of Management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Schemes ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the schemes to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

We also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

BALANCE SHEET AS AT 31 MARCH 2020

		SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	Schedule	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
SOURCES OF FUNDS									
Unit Capital	3	349,528,990	347,985,477	551,838,643	479,687,230	240,617,563	182,884,735	412,228,087	-
Reserves and surplus	4	149,033,439	235,681,392	(96,357,405)	28,903,328	(26,981,580)	8,770,764	(24,953,984)	-
Current liabilities	5	6,971,066	3,756,008	5,331,506	2,652,617	2,056,562	1,001,909	4,413,284	-
		505,533,495	587,422,877	460,812,744	511,243,175	215,692,545	192,657,408	391,687,387	-
APPLICATION OF FUNDS									
Investments	6	483,058,829	541,771,332	451,346,432	503,696,486	207,113,740	163,310,356	377,055,544	-
Other current assets	7	22,474,666	45,651,545	9,466,312	7,546,689	8,578,805	29,347,052	14,631,843	-
		505,533,495	587,422,877	460,812,744	511,243,175	215,692,545	192,657,408	391,687,387	-

The accompanying schedules are an integral part of this balance sheet.
As per our report of even date.

For **Chokshi & Chokshi LLP**
Chartered Accountants
(Firm Regn No. 101872W/W100045)

NILESH JOSHI
Partner
(Membership No. 114749)

Place : Chennai
Date : 28th August, 2020

For and on behalf of
Board of Trustees

S. KRISHNAMURTHY
Chairman

V. N. SHIVA SHANKAR
Trustee

For and on behalf of
**Shriram Asset Management
Co. Limited**

PRABHAKAR D. KARANDIKAR
Chairman

AKHILESH KUMAR SINGH
Managing Director

GARGI BHATTACHARYYA BANERJEE
Fund Manager

SHRIRAM MUTUAL FUND

REVENUE ACCOUNT FOR THE YEAR ENDED 31 MARCH 2020

		SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	Schedule	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
INCOME									
Dividend		3,633,101	4,708,866	5,142,004	807,087	1,681,442	30,006	2,346,291	-
Interest	8	9,650,293	8,647,782	1,960,897	2,310,872	470,563	566,370	5,106,954	-
Profit/(loss) on sale/redem- ption of investments, net		13,625,961	12,981,529	(9,907,948)	(823,441)	(321,470)	-	(6,145,761)	-
Load income		172,879	142,550	198,775	208,828	-	-	173,221	-
Change in provision for net unrealised loss in value of investments, written back			-		-		-		-
Other income		787	48,840	7,300	34,568	4,002	6,676	-	-
Realised Gain / (Loss) on Derivatives trade, net		13,049,437	94,025	5,661,898	353,118	532,427	-	34,733,420	-
Change in net unrealised gain in value of investments		(115,175,412)	23,117,912	(117,149,947)	31,638,774	(36,212,679)	8,496,336	(53,525,377)	-
Total		(75,042,953)	49,741,503	(114,087,021)	34,529,805	(33,845,714)	9,099,389	(17,311,252)	-
EXPENSES AND LOSSES									
Management fee incl Service tax/GST	9	1,762,745	8,860,754	1,671,194	2,200,742	619,073	77,526	679,154	-
Investor Education and Protection Fund Expense		118,245	103,502	110,834	45,968	45,499	6,137	60,403	-
Other operating expenses	9A	9,652,538	3,699,433	11,693,493	3,525,691	4,712,855	661,401	7,587,455	-
Change in provision for net unrealised loss in value of investments			(1,040,884)			-			-
Total		11,533,529	11,622,806	13,475,520	5,772,401	5,377,427	745,064	8,327,012	-
Surplus /(Deficit) for the year		(86,576,482)	38,118,698	(127,562,542)	28,757,404	(39,223,141)	8,354,324	(25,638,265)	-
Equalisation (Debit) / Credit		627,765	30,260,820	(346,902)	(207,452)	(50,904)	548,967	(269,206)	-
Transfer (to) from Unrealised appreciation reserve		115,175,412	(23,117,912)	117,149,947	(31,638,774)	36,212,679	(8,496,336)	53,525,377	-
Transfer from retained surplus			-		-		-		-
Income distribution on capital account			-		-		-		-
Surplus / (Deficit) transferred to the balance sheet		29,226,695	45,261,606	(10,759,497)	(3,088,822)	(3,061,365)	406,955	27,617,907	-

SHRIRAM MUTUAL FUND

REVENUE ACCOUNT FOR THE YEAR ENDED 31 MARCH 2020

The accompanying schedules are an integral part of this revenue account.
As per our report of even date.

For **Chokshi & Chokshi LLP**
Chartered Accountants
(Firm Regn No. 101872W/W100045)

For and on behalf of
Board of Trustees

For and on behalf of
**Shriram Asset Management
Co. Limited**

NILESH JOSHI
Partner
(Membership No. 114749)

S. KRISHNAMURTHY
Chairman

PRABHAKAR D. KARANDIKAR
Chairman

Place : Chennai
Date : 28th August, 2020

V. N. SHIVA SHANKAR
Trustee

AKHILESH KUMAR SINGH
Managing Director

GARGI BHATTACHARYYA BANERJEE
Fund Manager

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

1. BACKGROUND

Shriram Credit Company Limited is the sponsor of Shriram Mutual Fund ('The Fund').

In accordance with SEBI (Mutual Funds) Regulations, 1996 ('the SEBI Regulations'), the Board of Trustees has appointed Shriram Asset Management Company Ltd. ('the AMC') to manage the Fund's affairs and operate its schemes.

Scheme Name	Type of Scheme	Investment objective of the scheme	NFO Open NFO Close	Options
Shriram Hybrid Equity Fund (erstwhile Shriram Equity and Debt Opportunities Fund)	An Open Ended Equity oriented Asset Allocation Scheme	The investment objective of the scheme would be to generate long term capital appreciation and current Income with reduce volatility by investing in judicious mix of a diversified portfolio of Equity and Equity related instruments, and debt and money market instruments.	8th Nov. 2013 to 22nd Nov. 2013	(i) Regular Plan : Growth & Dividend (Payout/Reinvestment) Options. (ii) Direct Plan : Growth & Dividend (Payout/Reinvestment) Options.
Shriram Multicap Fund	An Open Ended Equity Scheme investing across Large Cap, Mid Cap, Small Cap Stocks	The primary investment objective of the scheme is to generate long term capital appreciation by investing in an actively managed portfolio predominantly consisting of Equity & equity related securities diversified over various sectors	7th Sept. 2018 to 21st Sept. 2018	(i) Regular Plan : Growth & Dividend (Payout/Reinvestment) Options. (ii) Direct Plan : Growth & Dividend (Payout/Reinvestment) Options.
Shriram Long Term Equity Fund	An Open-Ended Equity linked saving scheme with a statutory lock in of 3 years and tax benefit.	The primary investment objective of the Scheme is to generate income and long-term capital appreciation from a diversified portfolio of predominantly equity and equity-related securities and to enable investors to avail the income tax rebate, as permitted from time to time.	17th Dec. 2018 to 18th Jan. 2019	(i) Regular Plan : Growth & Dividend (Payout) Options. (ii) Direct Plan : Growth & Dividend (Payout) Options.
Shriram Balanced Advantage Fund	An open ended dynamic asset allocation fund	The primary objective of the Scheme is to generate capital appreciation with relatively lower volatility over a longer tenure of time. The Scheme will accordingly invest in equities, arbitrage opportunities, derivative strategies and debt and money market instruments. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.	14th June, 2019 to 28th June, 2019	(i) Regular Plan : Growth & Dividend (Payout/Reinvestment) Options. (ii) Direct Plan : Growth & Dividend (Payout/Reinvestment) Options.

Institutional Option - Dividend Plan

Regular Plan - Dividend Option.

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The financial statements are prepared on the accrual basis of accounting, under the historical cost convention, as modified for investments, which are 'marked-to-market'. The significant accounting policies, which are in accordance with the SEBI Regulations and have been approved by the Board of Directors of the AMC and the Trustee, are stated below.

(a) Determination of net asset value

- The net asset value of the units of the Scheme is determined separately for the units issued under the options.
- For reporting the net asset values within the portfolio, the Scheme's daily income earned, including realised profit or loss and unrealised gain or loss in the value of investments, and expenses accrued, are allocated to the related plans in proportion to their respective daily net assets arrived at by multiplying day end outstanding units to previous day's closing net asset value.

(b) Unit capital

- Unit capital represents the net outstanding units at the balance sheet date, thereby reflecting all transactions relating to the period ended on that date.
- Upon issue and redemption of units, the net premium or discount to the face value of units is adjusted against the unit premium reserve of each option, after an appropriate portion of the issue proceeds and redemption payouts is credited/debited to the equalisation account, a mandatory requirement for open ended mutual fund schemes.

(c) Investments

Accounting for investment transactions

- Purchase and sale of investments are recorded on the date of the transaction, at cost and sale price respectively, after considering brokerage, commission, CCIL charges and fees payable or receivable, if any.
- Right entitlements are recognised as investments on the ex-rights date.
- Bonus entitlements are recognised as investments on the ex-bonus date.

Valuation of investments

- All investments are valued based on the principles of fair valuation and have been valued in good faith in a true and fair manner.
- The investments in domestic equity shares which have traded during a period of thirty days (prior to the balance sheet date) are stated at the closing prices on the balance sheet date or the last trading day before the balance sheet date, as may be applicable, on The National Stock Exchange of India Limited (principal stock exchange). When on a particular valuation day, a security has not been traded on the principal stock exchange, the value at which it is traded on The Bombay Stock Exchange Limited is used.
- Investments in fixed income & money market securities (other than central government securities) are valued as follows :
- Investment in Mutual Fund units are valued at their respective NAV as applicable for the day.
- The net unrealized appreciation / depreciation in the value of investment is determined separately for each category of investments. The unrealized loss, if any, between two balance sheet dates is recognized in the revenue account and net unrealized gain, if any, is adjusted in unrealized appreciation reserve.

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

(d) Revenue recognition

- Dividend income is recognised on the ex-dividend date.
- Interest income is recognised on an accrual basis.
- Profit or loss on sale/redemption of investments is determined on the basis of the weighted average cost method.

(e) Equalisation account

- When units are issued or redeemed, the distributable surplus (excluding unit premium reserve, but including balance of distributable surplus at the beginning of the year) as on the date of the transaction is determined. Based on the number of units outstanding on the transaction date, the distributable surplus (excluding unit premium reserve, but including balance of distributable surplus at the beginning of the year) associated with each unit is computed. The per unit amount so determined is credited / debited to the equalisation account on issue / redemption of each unit respectively. The balance in equalisation account is transferred to revenue account at the year-end without affecting the net income of the Scheme.

(f) Load

- Exit load collected on redemption proceeds is credited to the scheme as income.

(g) Cash and cash equivalent

- Cash and cash equivalent includes balance with banks in current accounts, deposits placed with scheduled banks (with an original maturity of upto three months) and collateralised lending (including reverse purchase transactions).

3. Unit Capital

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

	SHRIRAM HYBRID EQUITY FUND				SHRIRAM MULTICAP FUND			
	31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019	
	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)
Growth Option								
Outstanding, beginning of year	26,576,083.14	265,760,832	19,020,034.13	190,200,342	44,906,918.64	449,069,186	-	-
Issued								
- new fund offer			-	-			39,502,907.34	395,029,073
- during the year	4,204,919.37	42,049,194	10,189,552.68	101,895,527	14,201,292.83	142,012,928	7,837,462.99	78,374,630
Redeemed during the year	4,403,020.00	44,030,200	2,633,503.66	26,335,037	7,024,951.44	70,249,514	2,433,451.68	24,334,517
Outstanding, end of year	26,377,982.51	263,779,825	26,576,083.14	265,760,832	52,083,260.03	520,832,600	44,906,918.64	449,069,186
Dividend Option								
Outstanding, beginning of year	2,269,747.63	22,697,476	2,322,727.57	23,227,275	1,627,739.28	16,277,393	-	-
Issued								
- new fund offer			-	-			1,299,110.00	12,991,100
- during the year	456,358.82	4,563,588	382,905.11	3,829,051	319,921.73	3,199,217	380,233.00	3,802,330
Redeemed during the year	180,494.93	1,804,949	435,885.05	4,358,850	315,542.30	3,155,423	51,603.72	516,037
Outstanding, end of year	2,545,611.53	25,456,115	2,269,747.63	22,697,476	1,632,118.70	16,321,187	1,627,739.28	16,277,393
Direct Plan - Growth option								
Outstanding, beginning of year	5,931,957.39	59,319,574	6,152,092.49	61,520,925	1,432,571.54	14,325,715	-	-
Issued								
- new fund offer			-	-			1,371,250.00	13,712,500
- during the year	132,070.64	1,320,706	32,187.13	321,871	221,011.39	2,210,114	74,889.50	748,895
Redeemed during the year	55,960.10	559,601	252,322.24	2,523,222	191,842.82	1,918,428	13,567.96	135,680
Outstanding, end of year	6,008,067.93	60,080,679	5,931,957.39	59,319,574	1,461,740.10	14,617,401	1,432,571.54	14,325,715
Direct Plan - Dividend option								
Outstanding, beginning of year	20,759.60	207,597	20,896.63	208,967	1,493.46	14,935	-	-
Issued								
- new fund offer			-	-			1,500.00	15,000
- during the year	840.02	8,400	362.62	3,626	6,487.17	64,872	1,493.46	14,935
Redeemed during the year	362.62	3,626	499.65	4,997	1,235.14	12,351	1,500.00	15,000
Outstanding, end of year	21,237.00	212,371	20,759.60	207,597	6745.498	67,454.98	1493.46	14,935
Total								
Outstanding, beginning of year	34,798,547.76	347,985,478	27,515,750.82	275,157,509			-	-
Issued								
- new fund offer	-		-	-			42,174,767.34	421,747,673
- during the year	4,794,188.85	47,941,889	10,605,007.53	106,050,075	14,748,713.11	147,487,131	8,294,078.95	82,940,789
Redeemed during the year	4,639,837.65	46,398,376	3,322,210.59	33,222,106	7,533,571.70	75,335,717	2,500,123.37	25,001,234
Outstanding, end of year	34,952,898.97	349,528,990	34,798,547.76	347,985,478	55,183,864.33	551,838,643	47,968,722.92	479,687,229

SHRIRAM MUTUAL FUND

3. Unit Capital (Contd.) **SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020**

	SHRIRAM LONG TERM EQUITY FUND				SHRIRAM BALANCED ADVANTAGE FUND			
	31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019		31 March, 2020 - 31 March, 2019	
	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)	Quantity	Amount (in Rs.)
Growth Option								
Outstanding, beginning of year	16,016,705.21	160,167,052	-	-	-	-	-	-
Issued								
- new fund offer			14,009,425.00	140,094,250	34,206,190.00	342,061,900	-	-
- during the year	4,944,923.77	49,449,238	2,007,280.21	20,072,802	5,589,175.85	55,891,759	-	-
Redeemed during the year	-	-	-	-	2,197,309.28	21,973,093	-	-
Outstanding, end of year	20,961,628.99	209,616,290	16,016,705.21	160,167,052	37,598,056.57	375,980,566	-	-
Dividend Option								
Outstanding, beginning of year	1,263,016.52	12,630,165	-	-	-	-	-	-
Issued								
- new fund offer	-	-	1,072,790.00	10,727,900	2,259,540.00	22,595,400	-	-
- during the year	472,214.44	4,722,144	190,226.52	1,902,265	322,553.95	3,225,539	-	-
Redeemed during the year	-	-	-	-	47,860.74	478,607	-	-
Outstanding, end of year	1,735,230.96	17,352,310	1,263,016.52	12,630,165	2,534,233.20	25,342,332	-	-
Direct Plan - Growth option								
Outstanding, beginning of year	975,051.50	9,750,515	-	-	-	-	-	-
Issued								
- new fund offer			868,950.00	8,689,500	1,027,700.00	10,277,000	-	-
- during the year	333,503.74	3,335,037	106,101.50	1,061,015	157,811.88	1,578,119	-	-
Redeemed during the year	-	-	-	-	102,571.73	1,025,717	-	-
Outstanding, end of year	1308555.24	13,085,552	975051.496	9,750,515	1,082,940	10,829,401	-	-
Direct Plan - Dividend option								
Outstanding, beginning of year	33,700.22	337,002	-	-	-	-	-	-
Issued								
- new fund offer	-	-	29,450.00	294,500	6,500.00	65,000	-	-
- during the year	22,640.92	226,409	4,250.22	42,502	1,179.54	11,795	-	-
Redeemed during the year	-	-	-	-	100.73	1,007	-	-
Outstanding, end of year	56,341.14	563,411	33,700.22	337,002	7,579	75,788	-	-
Total								
Outstanding, beginning of year	18,288,473.45	182,884,735	-	-	-	-	-	-
Issued								
- new fund offer		-	15,980,615.00	159,806,150	37,499,930.00	374,999,300	-	-
- during the year	5,773,282.88	57,732,829	2,307,858.45	23,078,585	6,070,721.21	60,707,212	-	-
Redeemed during the year	-	-	-	-	2,347,842.48	23,478,425	-	-
Outstanding, end of year	24,061,756.33	240,617,563	18,288,473.45	182,884,735	41,222,808.73	412,228,087	-	-

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

**Note: Details of large holdings (over 25% of the NAV of the Scheme) :

Name of the Scheme	31-Mar-20		31-Mar-19	
	No. of Investors	% Holding	No. of Investors	% Holding
SHRIRAM HYBRID EQUITY FUND	Nil	0	Nil	0
SHRIRAM MULTICAP FUND	Nil	0	Nil	0
SHRIRAM LONG TERM EQUITY FUND	Nil	0	Nil	0
SHRIRAM BALANCED ADVANTAGE FUND	Nil	0	NA	NA

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
4. RESERVES AND SURPLUS								
Unit premium reserve								
Balance, beginning of year	12,610,445	(2,598,145)	353,376	-	(132,527)	-	-	-
Net premium on issue/redemption of units	(71,470)	45,469,410	2,301,809	145,924	3,470,796	416,440	684,280	-
Transferred to/(from) equalisation account	(627,765)	(30,260,820)	346,902	207,452	50,904	(548,967)	269,206	-
Equalisation debit/(credit)	-	-	-	-	-	-	-	-
Transferred to retained surplus on plan closure	-	-	-	-	-	-	-	-
Balance, end of year/period	11,911,209	12,610,445	3,002,087	353,376	3,389,174	(132,527)	953,486	-
Unrealised appreciation reserve								
Balance beginning of year/period	63,314,718	40,196,806	31,638,774	-	8,496,336	-	-	-
Unrealised appreciation in value of investments	(115,175,412)	23,117,912	(117,149,947)	31,638,774	(36,212,679)	8,496,336	(53,525,377)	-
Balance, end of year/period	(51,860,694)	63,314,718	(85,511,173)	31,638,774	(27,716,343)	8,496,336	(53,525,377)	-
Retained surplus								
Balance, beginning of year/period	159,756,229	114,494,623	(3,088,822)	-	406,955	-	-	-
Transfer to revenue account	-	-	-	-	-	-	-	-
Surplus transferred from revenue account	29,226,695	45,261,606	(10,759,497)	(3,088,822)	(3,061,365)	406,955	27,617,907	-
Transferred from unit premium reserve on plan closure	-	-	-	-	-	-	-	-
Balance, end of year/period	188,982,924	159,756,229	(13,848,319)	(3,088,822)	(2,654,410)	406,955	27,617,907	-
Total reserves and surplus	149,033,439	235,681,392	(96,357,405)	28,903,328	(26,981,580)	8,770,764	24,953,984)	-
The share of the options in the reserves and surplus is as follows :								
Growth option	114,591,062	183,074,695	(91,288,746)	26,932,297	(23,773,962)	7,620,294	(22,906,864)	-
Dividend option	30,666,748	44,579,067	(2,863,077)	976,071	(1,977,356)	600,668	(1,544,087)	-
Direct Plan - Growth option	3,733,423	7,946,573	(2,225,405)	993,949	(1,178,483)	531,647	(499,482)	-
Direct Plan - Dividend option	42,207	81,056	19,822	1,011	(51,779)	18,156	(3,551)	-
	149,033,439	235,681,391	(96,357,405)	28,903,328	(26,981,580)	8,770,765	(24,953,984)	-

SHRIRAM MUTUAL FUND

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
5. CURRENT LIABILITIES								
Amount due to AMC								
Amount due to AMC for management fee	124,855	214,152	118,196	193,886	48,776	72,076	69,236	-
- Others	-	-		-		-		
Sundry creditors for units redeemed by investors								
- Lateral Shift Payable	-	-		-		-		
- Others	1,960,778	1,835,737	824,574	183,798	-	-	444,604	-
Payable - AMC	960	960	-	1,546,170	-	-	-	
Other current liabilities	4,884,473	1,705,160	4,388,736	728,763	2,007,786	929,833	3,899,444	-
	6,971,066	3,756,008	5,331,506	2,652,617	2,056,562	1,001,909	4,413,284	-
6. INVESTMENTS								
Equity shares	330,225,501	421,701,085	361,738,300	447,798,958	170,684,487	155,275,248	271,505,213	-
Preference Shares		- 2,926,859	-	-	-	-		
Listed debentures and bonds	82,326,121	66,878,501	-	-	-	-	47,924,370	-
Certificates of Deposits/Commercial Papers	-	-	-	49,790,694	-	-		
Fixed Deposits	-	25,000,000	-	-	-	-		
Mutual Fund Units	17,602,846	25,264,887	19,609,560	6,106,834	9,441,453	8,035,108	12,674,815	-
G Sec, T- Bill, TREPS	52,904,361	-	69,998,573	-	26,987,800	-	44,951,145	-
	483,058,829	541,771,332	451,346,432	503,696,486	207,113,740	163,310,356	377,055,544	-

- (i) All the investments are held in the name of the Scheme, as per clause 7 of Seventh Schedule under Regulation 44(1) of SEBI (Mutual Funds) Regulations, 1996.
- (ii) Aggregate appreciation and depreciation in the value of investments are as follows :

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
5. CURRENT LIABILITIES								
Amount due to AMC								
Amount due to AMC for management fee	124,855	214,152	118,196	193,886	48,776	72,076	69,236	-
- Others	-	-		-		-		
Sundry creditors for units redeemed by investors								
- Lateral Shift Payable	-	-		-		-		
- Others	1,960,778	1,835,737	824,574	183,798	-	-	444,604	-
Payable - AMC	960	960	1,546,170		-	-		
Other current liabilities	4,884,473	1,705,160	4,388,736	728,763	2,007,786	929,833	3,899,444	-
	6,971,066	3,756,008	5,331,506	2,652,617	2,056,562	1,001,909	4,413,284	-

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
6. INVESTMENTS								
Equity shares	330,225,501	421,701,085	361,738,300	447,798,958	170,684,487	155,275,248	271,505,213	-
Preference Shares	-	2,926,859	-	-	-	-	-	-
Listed debentures and bonds	82,326,121	66,878,501	-	-	-	-	47,924,370	-
Certificates of Deposits/Commercial Papers	-	-	-	49,790,694	-	-	-	-
Fixed Deposits	-	25,000,000	-	-	-	-	-	-
Mutual Fund Units	17,602,846	25,264,887	19,609,560	6,106,834	9,441,453	8,035,108	12,674,815	-
G Sec, T- Bill, TREPS	52,904,361	-	69,998,573	-	26,987,800	-	44,951,145	-
	483,058,829	541,771,332	451,346,432	503,696,486	207,113,740	163,310,356	377,055,544	-

- (i) All the investments are held in the name of the Scheme, as per clause 7 of Seventh Schedule under Regulation 44(1) of SEBI (Mutual Funds) Regulations, 1996.
- (ii) Aggregate appreciation and depreciation in the value of investments are as follows :

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
Equity shares - Domestic								
- appreciation	-	63,177,911	-	31,321,460	-	8,461,227	-	-
- depreciation	(52,337,878)	-	(84,915,861)	-	(26,822,002)	-	(56,082,297)	-
Listed debentures and bonds								
- appreciation	2,191,639	-	-	-	-	-	1,178,462	-
- depreciation	-	(289,013)	-	-	-	-	-	-
Mutual Fund								
- appreciation	170,014	820,661	98,199	132,134	56,290	35,108	225,186	-
- depreciation	-	-	-	-	-	-	-	-
Preference Shares								
- appreciation	-	-	-	-	-	-	-	-
- depreciation	-	(216,045)	-	-	-	-	-	-
G Sec								
- appreciation	53,085	-	-	-	-	-	212,336	-
- depreciation	-	-	-	-	-	-	-	-
T-Bill								
- appreciation	-	-	-	-	-	-	-	-
- depreciation	-	-	-	-	-	-	-	-
	(49,923,141)	63,493,514	(84,817,662)	31,453,594	(26,765,712)	8,496,336	(54,466,313)	-

(iii) The aggregate value of investments acquired and sold/redeemed during the year and these amounts as a percentage of average daily net assets are as follows :

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	01 April, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	08 Oct., 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	01 Feb, 2019 to 31 March, 2019	05 July, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019
Purchases (excluding fixed deposits)								
- amount	861,312,052	406,996,259	1,148,063,635	1,263,371,335	479,998,467	369,584,650	747,247,178	0
- as a percentage of average daily net assets	146%	79%	207%	315%	467%	360%	199%	0%
Sales / Redemptions (excluding fixed deposits)								
- amount	739,946,082	338,283,279	882,440,056	569,689,930	387,246,770	192,500,000	309,534,880	0
- as a percentage of average daily net assets	125%	65%	159%	142%	377%	187%	83%	0%

(iv) There is no investments by a company in excess of 5% of the net assets of a scheme and investment made by the scheme or by any other scheme for the current year in that company and the market value as at 31st March 2020 as per the disclosure requirement under Regulation 25(11).

(v) Outstanding investments in the Sponsor and its group companies as at balance sheet date is as follows :

Sr. No.	Scheme Name	Name of Group Company	Type of Investment	Quantity	Market Value (in Rs.)	Cost (in Rs.)
				NIL	NIL	NIL

(vi) Disclosure in accordance with SEBI Circular No.

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

SHRIRAM HYBRID EQUITY FUND

a) Hedging position through futures

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	ICICIBANK_30-Apr-2020_Stock Future	Short	294.60	323.00	29.94
31-Mar-20	BAJFINANCE_30-Apr-2020_Stock Future	Short	2378.44	2226.35	35.80
31-Mar-20	INFY_30-Apr-2020_Stock Future	Short	529.15	643.75	22.14
31-Mar-20	BHARTIARTL_30-Apr-2020_Stock Future	Short	425.15	442.45	20.11
31-Mar-20	HDFC_30-Apr-2020_Stock Future	Short	1616.70	1637.05	6.21
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (hedging positions) as of net assets is -6.09%

The following hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	108	148
Total Number of contracts where futures were sold	108	148
Gross Notional Value of contracts where futures were bought (in Rs.)	57,582,546	94,240,111
Gross Notional Value of contracts where futures were sold (in Rs.)	64,988,913	93,087,571
Net Profit/Loss value on all contracts combined (in Rs.)	7,406,367	(1,152,540)

b) Other than hedging positions through Futures :

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	NIFTY_30-Apr-2020_Index Future	Short	8340.39	8620.95	17.16
31-Mar-19	NIFTY_25-Apr-2019_Index Future	Short	11540.96	11679.90	15.95
31-Mar-19	NIFTYIT_25-Apr-2019_Index Future	Short	15678.00	15734.00	22.79
31-Mar-19	YESBANK_25-Apr-2019_Stock Future	Long	275.26	276.95	26.33

Total exposure due to futures (non-hedging positions) as of net assets is -1.43%

The following other than hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	678	613
Total Number of contracts where futures were sold	678	613
Gross Notional Value of contracts where futures were bought (in Rs.)	516,256,446	475,789,439
Gross Notional Value of contracts where futures were sold (in Rs.)	519,962,069	476,400,499
Net Profit/Loss value on all contracts combined (in Rs.)	3,705,622	611,061

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

c) Hedging positions through Option as on March 31, 2020 : Nil

d) Other than hedging positions through Option as on March 31, 2020

As on	Underlying	Call / Put	No. of contracts	Option Price when purchased / sold	Current Price of the contract
31-Mar-20	NIL	NIL	NIL	NIL	NIL
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure through Options as of net assets is 0%

The following non hedging transactions through options have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts entered into	1,008	876
Gross Notional Value of contracts	7,423,852	8,831,087
Net Profit/Loss value on all contracts (in Rs.)	(1,786,827)	(125,394)

e) Hedging positions through SWAP as on March 31, 2020 : Nil

vii) Deposit with scheduled banks includes deposits aggregating to Nil (Previous Year : Nil) held in the name of the stock exchanges/clearing members towards margin money.

SHRIRAM MULTICAP FUND

a) Hedging position through futures

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	NTPC_30-Apr-2020_Stock Future	Short	76.43	84.55	8.32
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (hedging positions) as of net assets is -0.71%

The following hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	33	126
Total Number of contracts where futures were sold	33	126
Gross Notional Value of contracts where futures were bought (in Rs.)	16,917,531	89,949,969
Gross Notional Value of contracts where futures were sold (in Rs.)	17,153,699	87,873,385
Net Profit/Loss value on all contracts combined (in Rs.)	236,168	(2,076,585)

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

b) Other than hedging positions through Futures :

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	NIFTY_30-Apr-2020_Index Future	Short	8257.54	8620.95	21.84
31-Mar-19	BANKNIFTY_25-Apr-2019_Index Future	Long	30281.58	30525.85	34.01
31-Mar-19	NIFTYIT_25-Apr-2019_Index Future	Short	15666.83	15734.00	22.79

Total exposure due to futures (non-hedging positions) as of net assets is -1.99%

The following other than hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	624	520
Total Number of contracts where futures were sold	624	520
Gross Notional Value of contracts where futures were bought (in Rs.)	446,520,068	368,018,877
Gross Notional Value of contracts where futures were sold (in Rs.)	447,899,646	369,201,955
Net Profit/Loss value on all contracts combined (in Rs.)	1,379,578	1,183,077

c) Hedging positions through Option as on March 31, 2020 : Nil

d) Other than hedging positions through Option as on March 31, 2020 :

As on	Underlying	Call / Put	No. of contracts	Option Price when purchased / sold	Current Price of the contract
31-Mar-20	NIL	NIL	NIL	NIL	NIL
31-Mar-19	NIFTY 12000 - Call Option - May 2019	Call	3	228.58	251.15
31-Mar-19	NIFTY 11000 - Put Option - May 2019	Put	5	163.93	142.60

Total exposure through Options as of net assets is 0%

The following non hedging transactions through options have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts entered into	562	593
Gross Notional Value of contracts	5,673,805	5,891,028
Net Profit/Loss value on all contracts (in Rs.)	(2,467,461)	328,609

e) Hedging positions through SWAP as on March 31, 2020 : Nil

vii) Deposit with scheduled banks includes deposits aggregating to Nil (Previous Year : Nil) held in the name of the stock exchanges/clearing members towards margin money.

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

SHRIRAM LONG TERM EQUITY FUND

a) Hedging position through futures

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	INFY_30-Apr-2020_Stock Future	Short	534.89	643.75	17.22
31-Mar-20	HDFC_30-Apr-2020_Stock Future	Short	1613.70	1637.05	6.21
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (hedging positions) as of net assets is -3.30%

The following hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	19	-
Total Number of contracts where futures were sold	19	-
Gross Notional Value of contracts where futures were bought (in Rs.)	9,993,930	-
Gross Notional Value of contracts where futures were sold (in Rs.)	10,044,160	-
Net Profit/Loss value on all contracts combined (in Rs.)	50,230	-

b) Other than hedging positions through Futures :

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	NIFTY_30-Apr-2020_Index Future	Short	8450.00	8620.95	1.56
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (non-hedging positions) as of net assets is -0.30%

The following other than hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	9	-
Total Number of contracts where futures were sold	9	-
Gross Notional Value of contracts where futures were bought (in Rs.)	5,494,237	-
Gross Notional Value of contracts where futures were sold (in Rs.)	5,976,435	-
Net Profit/Loss value on all contracts combined (in Rs.)	482,198	-

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

c) Hedging positions through Option as on March 31, 2020 : Nil

d) Other than hedging positions through Option as on March 31, 2020

As on	Underlying	Call / Put	No. of contracts	Option Price when purchased / sold	Current Price of the contract
31-Mar-20	NIL	NIL	NIL	NIL	NIL
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure through Options as of net assets is 0%

The following non hedging transactions through options have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts entered into	44	-
Gross Notional Value of contracts	916,575	-
Net Profit/Loss value on all contracts (in Rs.)	(396,275)	-

e) Hedging positions through SWAP as on March 31, 2020 : Nil

vii) Deposit with scheduled banks includes deposits aggregating to Nil (Previous Year : Nil) held in the name of the stock exchanges/clearing members towards margin money.

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

SHRIRAM BALANCED ADVANTAGE FUND

a) Hedging position through futures

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	INFY_30-Apr-2020_Stock Future	Short	583.89	643.75	71.36
31-Mar-20	HDFC_30-Apr-2020_Stock Future	Short	1739.56	1637.05	57.41
31-Mar-20	RELIANCE_30-Apr-2020_Stock Future	Short	1007.36	1117.95	47.09
31-Mar-20	BAJFINANCE_30-Apr-2020_Stock Future	Short	2851.27	2226.35	52.33
31-Mar-20	HDFCBANK_30-Apr-2020_Stock Future	Short	876.80	864.40	33.25
31-Mar-20	HINDUNILVR_30-Apr-2020_Stock Future	Short	2026.50	2296.25	25.86
31-Mar-20	ICICIBANK_30-Apr-2020_Stock Future	Short	343.44	323.00	31.61
31-Mar-20	BHARTIARTL_30-Apr-2020_Stock Future	Short	460.09	442.45	25.14
31-Mar-20	ASIANPAINT_30-Apr-2020_Stock Future	Short	1706.26	1670.65	20.21
31-Mar-20	ITC_30-Apr-2020_Stock Future	Short	172.80	171.65	17.69
31-Mar-20	SUNPHARMA_30-Apr-2020_Stock Future	Short	376.45	351.80	14.82
31-Mar-20	TCS_30-Apr-2020_Stock Future	Short	1858.98	1827.45	7.1
31-Mar-20	KOTAKBANK_30-Apr-2020_Stock Future	Short	1173.55	1292.90	9.07
31-Mar-20	BPCL_30-Apr-2020_Stock Future	Short	299.85	315.85	8.67
31-Mar-20	BATAINDIA_30-Apr-2020_Stock Future	Short	1147.90	1229.80	6.88
31-Mar-20	SBIN_30-Apr-2020_Stock Future	Short	213.10	196.60	6.17
31-Mar-20	AXISBANK_30-Apr-2020_Stock Future	Short	439.00	380.80	6.66
31-Mar-20	GAIL_30-Apr-2020_Stock Future	Short	74.30	76.95	4.25
31-Mar-20	SIEMENS_30-Apr-2020_Stock Future	Short	1081.70	1118.60	3.75
31-Mar-20	M&M_30-Apr-2020_Stock Future	Short	316.00	285.80	2.72
31-Mar-20	NESTLEIND_30-Apr-2020_Stock Future	Short	14418.30	16339.90	2.2
31-Mar-20	TECHM_30-Apr-2020_Stock Future	Short	580.95	564.65	2.33
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (hedging positions) as of net assets is -33.87%

The following hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	1,353	-
Total Number of contracts where futures were sold	1,353	-
Gross Notional Value of contracts where futures were bought (in Rs.)	892,063,745	-
Gross Notional Value of contracts where futures were sold (in Rs.)	923,916,949	-
Net Profit/Loss value on all contracts combined (in Rs.)	31,853,204	-

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

b) Other than hedging positions through Futures :

As on	Underlying	Long/Short	Future Price when Purchased/Sold	Current Price of the contract	Margin maintained in Lakhs
31-Mar-20	NIFTY_30-Apr-2020_Index Future	Long	7646.82	8620.95	1.56
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure due to futures (non-hedging positions) as of net assets is 0.17%

The following other than hedging transactions through futures have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts where futures were bought	452	-
Total Number of contracts where futures were sold	452	-
Gross Notional Value of contracts where futures were bought (in Rs.)	353,914,084	-
Gross Notional Value of contracts where futures were sold (in Rs.)	356,578,296	-
Net Profit/Loss value on all contracts combined (in Rs.)	2,664,212	-

c) Hedging positions through Option as on March 31, 2020 : Nil

d) Other than hedging positions through Option as on March 31, 2020 :

As on	Underlying	Call / Put	No. of contracts	Option Price when purchased / sold	Current Price of the contract
31-Mar-20	NIL	NIL	NIL	NIL	NIL
31-Mar-19	NIL	NIL	NIL	NIL	NIL

Total exposure through Options as of net assets is 0%

The following non hedging transactions through options have been squared off/expired :

For The Period	01.04.2019 to 31.03.2020	01.04.2018 to 31.03.2019
Total Number of contracts entered into	260	-
Gross Notional Value of contracts	3,374,846	-
Net Profit/Loss value on all contracts (in Rs.)	(2,098,069)	-

e) Hedging positions through SWAP as on March 31, 2020 : Nil

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
7. DEPOSITS								
CCIL margin deposit	3,200,000	-	-	-	2,400,000	-	-	-
Margin deposit for derivatives	-	-	-	-	-	-	-	-
7. OTHER CURRENT ASSETS								
Balances with banks in current accounts	15,121,639	41,825,021	10,673,954	7,028,222	3,740,899	22,923,779	13,874,215	-
Sundry debtors for units issued to investors								
- Lateral shift receivable	-	-	-	-	-	-	-	-
- Others	574,422	698,215	(793,261)	533,317	2,634,314	6,278,103	3,798,998	-
Contracts for sale of investments	-	-	-	-	-	-	-	-
Future Variable Margin Receivable	(735,367)	(56,205)	(456,780)	(14,850)	(206,340)	-	(4,699,120)	-
Advances and deposits								
Interscheme receivable						145,170		
receivable from broker						-		
Outstanding and accrued income	4,313,972	3,184,514	42,399	-	9,932	-	1,657,750	-
Amount due from AMC								
Collateralised lending								
	22,474,666	45,651,545	9,466,312	7,546,689	8,578,805	29,347,052	14,631,843	0

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	01 April, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	08 Oct., 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	01 Feb, 2019 to 31 March, 2019	05 July, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019
8. INTEREST								
Debentures and bonds	7,560,318	7,935,751	1,723	-	-	-	2,679,107	-
Fixed Deposits	863,756	712,031	269,514	206,678	227,447	-	344,295	-
Certificate of Deposits	-	-	-	-	-	-	-	-
Commercial Papers	-	-	-	-	-	-	-	-
TREPS	28,214		43,603	-	32,105	-	27,571	-
T-Bill	781,219		1,646,056	2,104,194	211,010	566,370	388,838	-
G-SEC	416,785		-	-	-	-	1,667,144	-
Others	-	-	-	-	-	-	-	-
	9,650,293	8,647,782	1,960,897	2,310,872	470,563	566,370	5,106,954	0

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

9. MANAGEMENT AND TRUSTEESHIP FEE

The Scheme pays fees for investment management services (including service tax/GST) under an agreement with the AMC, which provides for computation of such fee as a percentage of the Scheme's average daily net assets, after excluding the net asset value of the investments by the AMC in the scheme and net asset value of investment made in other schemes, if any.

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	01 April, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	08 Oct., 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	01 Feb, 2019 to 31 March, 2019	05 July, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019
Management fee	1,762,745	8,860,754	1,671,193.53	2,200,742	619,072.82	77,526	679,154	0
Management fee at annualised average rate	0.30%	1.71%	0.30%	0.55%	0.27%	0.08%	0.18%	0.00%
Trusteeship fee	247,150	97,500	227,732	97,500	89,828		90,290	-
Trusteeship fee at annualised average rate	0.04%	0.02%		0.024%	0.00	0.00%	0.02%	0.00%

9A. OTHER OPERATING EXPENSES

	SHRIRAM HYBRID EQUITY FUND	SHRIRAM MULTICAP FUND	SHRIRAM LONG TERM EQUITY FUND	SHRIRAM BALANCED ADVANTAGE FUND
	01 April, 2019 to 31 March, 2020	01 April, 2019 to 31 March, 2020	01 April, 2019 to 31 March, 2020	01 April, 2019 to 31 March, 2020
Distributors' Commission	6,464,578	9,298,544	4,138,410	6,364,026
Audit Fees	66,387	61,950	28,490	49,673
Custodians' Fees and Expenses	146,164	133,211	111,517	83,814
Registrars' Fees and Expenses	794,029	744,328	304,900	398,191
Trusteeship Fees	247,146	227,733	89,829	90,292
Publicity Expenses	1,598,794	949,446	-	-
Brokerage and STT	262,613	194,819	9,489	554,913
Other Fees and Expenses	72,827	83,462	30,220	46,546
Total	9,652,538	11,693,493	4,712,855	7,587,455

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

10. INCOME AND EXPENDITURE

The total income and expenditure and these amounts as a percentage of the scheme's average daily net assets on an annualised basis are provided below :

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	01 April, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	08 Oct., 2018 to 31 March, 2019	01 April, 2019 to 31 March, 2020	01 Feb, 2019 to 31 March, 2019	05 July, 2019 to 31 March, 2020	01 April, 2018 to 31 March, 2019
Income								
- amount	40,132,459	26,623,591	3,062,925	2,891,031	2,366,965	603,053	36,214,125	-
- as a percentage of average daily net assets	6.79%	5.14%	0.55%	0.72%	1.04%	0.59%	9.66%	0.00%
Expenditure (excluding provision for net unrealised loss in value of investments, realised loss on sale of investments, realised loss on inter-scheme transfer/sale of investments)								
- amount	11,533,529	12,663,690	13,475,520	5,772,401	5,377,427	745,064	8,327,012	-
- as a percentage of average daily net assets	1.95%	2.45%	2.43%	1.44%	2.36%	0.72%	2.22%	0.00%

11. UNHEDGED FOREIGN CURRENCY EXPOSURE

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
Foreign currency exposure that has not been hedged by derivative instruments	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil

12. TRANSACTIONS COVERED BY REGULATION 25(8) OF THE SEBI REGULATION WITH THE SPONSOR OR ASSOCIATE OF THE SPONSOR

(As identified by the management and relied upon by the auditors)

Brokerage paid to associates/related parties/group companies of Sponsor/AMC

Name of the scheme	Name of Associate / related parties / group companies of Sponsor / AMC	Nature of Association	Period covered	Value of transaction (in Rs. Cr. & % of total value of transaction of the fund)	Brokerage (Rs. Cr & % of total brokerage paid by the fund)
Shriram Hybrid Equity Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2019 to 31-03-2020	2.32 & 1.20%	0.0023 & 2.40%
Shriram Hybrid Equity Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2018 to 31-03-2019	0.0052 & 0.003%	!0.00001 & 0.008%
Shriram Multicap Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2019 to 31-03-2020	4.84 & 2.71%	0.0048 & 4.65%
Shriram Multicap Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2018 to 31-03-2019	0.7273 & 0.50%	!0.0007 & 1.13%
Shriram Long Term Equity Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2019 to 31-03-2020	1.14 & 3.01%	0.001134 & 3.34%
Shriram Long Term Equity Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2018 to 31-03-2019	0.0174 & 0.12%	!0.00002 & 0.12%
Shriram Balanced Advantage Fund	Shriram Insight Share Brokers Limited	Associate Broker	01-04-2019 to 31-03-2020	0.0903744 & 0.029%	0.000090374 & 0.112%

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

Commission paid to associates / related parties / group companies of Sponsor / AMC

Name of Associate/related parties / group companies of Sponsor / AMC	Name of Associate / related parties / group companies of Sponsor / AMC	Nature of Association	Period covered	Business given (Rs. Cr. & % of total business received by the fund)	Commission (Rs. Cr & % of total Commission paid by the fund)
Shriram Hybrid Equity	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	0.11 & 1.44%	0.02 & 3.50%
Shriram Hybrid Equity	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	0.30 & 1.74%	0.02 & 3.22%
Shriram Hybrid Equity	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	2.65 & 33.91%	0.37 & 79.06%
Shriram Hybrid Equity	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	11.94 & 69.93%	0.45 & 77.88%
Shriram Multicap	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	0.01 & 0.09%	0.00 & 0.30%
Shriram Multicap	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	0.37 & 0.75%	0.01 & 0.99%
Shriram Multicap	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	5.74 & 37.75%	0.29 & 48.20%
Shriram Multicap	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	34.46 & 70.26%	0.89 & 79.46%
Shriram Long Term Equity	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	0.01 & 0.23%	0.00 & 0.31%
Shriram Long Term Equity	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	0.07 & 0.42%	0.0002 & 0.30%
Shriram Long Term Equity	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	1.55 & 27.01%	0.18 & 44.09%
Shriram Long Term Equity	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2018 to 31-03-2019	9.62 & 55.54%	0.04 & 59.28%
Shriram Balanced Advantage	Shriram Fortune Solutions Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	0.01 & 0.02%	0.00 & 0.01%
Shriram Balanced Advantage	Shriram Insight Share Brokers Ltd	Distribution of Scheme	01-04-2019 to 31-03-2020	20.95 & 49.28%	0.31 & 49.98%

13. OUTSTANDING BALANCE OF INVESTOR EDUCATION AND AWARENESS INITIATIVES

Income earned on investment of unclaimed redemption and dividend which has been outstanding for more than 3 years. Investors Education & Awareness Initiative - An annual charge of 2bps of daily NAV being part of total recurring expenses is set aside for IEAI as mandated by SEBI vide Circular No CIR/IMD/DF/21/2012 dated September 13, 2012. The cumulative balance of the IEF and IEAI is transferred on periodic basis to separate bank account maintained for this purpose. These funds are utilised by the AMC in accordance with SEBI regulations and policy approved by the trustees. The balance pending utilisation are deployed in money - market instruments.

The movement is as follows -

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
Opening Balance	23,457	2,539	13,993	0	1,137	0	0	0
Additions during the current year	118,245	103,502	110,834	45,968	45,499	6,137	60,403	0
Less – Utilizations during the current year	42,764	30,834	39,883	8,991	16,128	1,932	19,997	0
Less -50% balance transfer to AMFI	59,123	51,751	55,417	22,984	22,749	3,069	30,201	0
Closing Balance	39,815	23,457	29,527	13,993	7,759	1,137	10,204	0

Note : The investor education fund is set aside in a different account and 50% of balance of unutilised amount payable to AMFI as per AMFI circular dated 20th April 2016

SCHEDULES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020

14. NET ASSET VALUE

	SHRIRAM HYBRID EQUITY FUND		SHRIRAM MULTICAP FUND		SHRIRAM LONG TERM EQUITY FUND		SHRIRAM BALANCED ADVANTAGE FUND	
	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019	31 March, 2020	31 March, 2019
Growth option	14.3442	16.8884	8.2478	10.5983	8.8670	10.4779	9.3907	NIL
Dividend option	11.4671	13.5013	8.2476	10.5983	8.8622	10.4779	9.3907	NIL
Direct Dividend	11.9879	13.9033	8.4562	10.6742	9.0649	10.5109	9.5314	NIL
Direct Growth	15.104	17.5130	8.4776	10.6909	9.0795	10.5144	9.5388	NIL

The net asset value of the Scheme's unit is determined separately for units issued under the options after including the respective unit capital and reserves and surplus.

The net asset value disclosed above represents the computed NAV as on March 31, 2020, and not the last declared NAV.

15. CONTINGENT LIABILITIES

As on March 31, 2020 - Nil (March 31, 2019 - Nil)

16. In early 2020, the existence of a new coronavirus named SARS-CoV-2 responsible for the disease COVID-19, was confirmed and since then the virus has spread across the globe necessitating the World Health Organization (WHO) to declare it a global pandemic. The pandemic has caused disruption to businesses and economic activity which has been reflected in recent fluctuations in markets across the globe. Various governments have introduced a variety of measures to contain the spread of the virus. The Government of India announced a country wide lockdown which still continues across large swathes of the country with some variations. In this nation-wide lock-down, though most services across the nation have been suspended, some establishments like securities market intermediaries are exempt from the lock-down and therefore functional. There has been no material change in the controls or processes followed in the closing of these financial statements of the Mutual Fund. The Management of the Mutual Fund has assessed the impact of the pandemic on its operations and its assets including the value of its investments as at March 31, 2020. However, since the revenue of the Mutual Fund is ultimately dependent on the Investments it manages and changes in market conditions may have an impact on the operations of the Mutual Fund. Since the situation is rapidly evolving, its effect on the operations of the Mutual Fund may be different from that estimated as at the date of approval of these financial results. The Management of the Mutual Fund will continue to closely monitor material changes in markets and future economic conditions.

17. PRIOR PERIOD COMPARATIVES

Figures for the previous years have been regrouped / reclassified, wherever necessary to confirm to current years presentations.

18. SUPPLEMENTARY INVESTMENT PORTFOLIO INFORMATION AND INDUSTRYWISE CLASSIFICATION (REFER ANNEXURE II)

For **Chokshi & Chokshi LLP**
Chartered Accountants
(Firm Regn No. 101872W/W100045)

For and on behalf of
Board of Trustees

For and on behalf of
**Shriram Asset Management
Co. Limited**

NILESH JOSHI
Partner
(Membership No. 114749)

S. KRISHNAMURTHY
Chairman

PRABHAKAR D. KARANDIKAR
Chairman

Place : Chennai
Date : 28th August, 2020

V. N. SHIVA SHANKAR
Trustee

AKHILESH KUMAR SINGH
Managing Director

GARGI BHATTACHARYYA BANERJEE
Fund Manager

SHRIRAM MUTUAL FUND

SHRIRAM MUTUAL FUND - SHRIRAM HYBRID EQUITY FUND ANNEXURE - II

SUPPLEMENTARY INVESTMENT PORTFOLIO INFORMATION AND INDUSTRYWISE CLASSIFICATION

Details of investment portfolio and industrywise classification of the Scheme's investments in each category of investments at 31 March 2020 are presented below. The industry and company exposures are stated as a percentage of the Scheme's net assets as at 31 March 2020, as well as the aggregate investments in each investment category.

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
1. Equity and Equity Related Instruments				
(a) Listed/awaiting Listing on Stock Exchange				
Auto		4206822.3	0.84	1.27
Maruti Suzuki India Ltd	981.00	4206822.3	0.84	1.27
Banks		99237247.7	19.90	30.05
HDFC Bank Ltd.	45,632.00	39330220.8	7.89	11.91
ICICI Bank Ltd.	89,231.00	28888536.25	5.79	8.75
Kotak Mahindra Bank Ltd.	9,144.00	11851081.2	2.38	3.59
Axis Bank Ltd.	20,176.00	7646704	1.53	2.32
State Bank of India	37,632.00	7407859.2	1.49	2.24
Bandhan Bank Ltd.	13,757.00	2802988.75	0.56	0.85
City Union Bank Ltd.	10,150.00	1309857.5	0.26	0.40
Cement		2758122.5	0.55	0.84
Ultratech Cement Ltd	850.00	2758122.5	0.55	0.84
Commercial Services		11635673.1	2.33	3.52
3M India Ltd.	618.00	11635673.1	2.33	3.52
Construction Project		4375602	0.88	1.33
Larsen & Toubro Ltd	5,412.00	4375602	0.88	1.33
Consumer Durables		4726872.8	0.95	1.43
Titan Company Ltd.	3,744.00	3495772.8	0.70	1.06
Bata India Ltd.	1,000.00	1231100	0.25	0.37
Consumer Non Durables		32809962.15	6.58	9.94
ITC Ltd.	73,417.00	12605698.9	2.53	3.82
Dabur India Ltd.	16,983.00	7643199.15	1.53	2.31
Hindustan Unilever Ltd.	1,971.00	4530343.5	0.91	1.37
Nestle India Ltd.	181.00	2950408.6	0.59	0.89
Colgate Palmolive (India) Ltd.	2,060.00	2580562	0.52	0.78
Asian Paints Ltd.	1,500.00	2499750	0.50	0.76
Finance		73395834.05	14.73	22.23
Bajaj Finance Ltd.	8,689.00	19253086.2	3.86	5.83
HDFC Ltd.	10,713.00	17495400.3	3.51	5.30
HDFC Asset Management Company Ltd.	6,079.00	12843711.2	2.58	3.89
HDFC Life Insurance Company Ltd.	21,333.00	9415319.55	1.89	2.85
SBI Life Insurance Company Ltd.	13,296.00	8522736	1.71	2.58
Nippon Life India Asset Mgmt. Ltd.	14,940.00	3723048	0.75	1.13
CRISIL Ltd.	1,408.00	1766054.4	0.35	0.53
Bajaj Finserv Ltd.	82.00	376478.4	0.08	0.11
Gas		1306933.1	0.27	0.40
GAIL (India) Ltd.	10,118.00	774532.9	0.16	0.23
Petronet LNG Ltd.	2,666.00	532400.2	0.11	0.16
Industrial Capital Goods		4,920,042.80	0.99	1.49
Siemens Ltd.	3,233.00	3599622.2	0.72	1.09
Honeywell Automation India Ltd.	51.00	1320420.6	0.27	0.40
Petroleum Products		24,344,644.50	4.88	7.37
Reliance Industries Ltd.	20,768.00	23130360	4.64	7.00
Castrol India Ltd.	12,210.00	1214284.5	0.24	0.37

SHRIRAM MUTUAL FUND

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
Pharmaceuticals		23342205.3	4.68	7.07
Sanofi India Ltd.	1,906.00	11918885.1	2.39	3.61
Abbott India Ltd.	612.00	9456073.2	1.90	2.86
Pfizer Ltd.	489.00	1967247	0.39	0.60
Retailing		16,432,500.00	3.30	4.98
Avenue Supermarts Ltd.	7,512.00	16432500	3.30	4.98
Software		20204191.8	4.05	6.12
Infosys Ltd.	17,253.00	11067799.5	2.22	3.35
Tata Consultancy Services Ltd.	1,893.00	3456807.3	0.69	1.05
Larsen & Toubro Infotech Ltd.	1,522.00	2174709.7	0.44	0.66
L&T Technology Services Ltd.	1,760.00	2043800	0.41	0.62
Oracle Financial Services Software Ltd.	722.00	1461075.3	0.29	0.44
Telecom - Services		6,528,847.20	1.31	1.98
Bharti Airtel Ltd	14,808.00	6528847.2	1.31	1.98
Sub Total		330,225,501.30	66.24	100.00
(b) Unlisted	-	-	-	-
Total Equity (a)+(b)		330,225,501.30	66.24	100.00
2. Debt Instruments				
(a) Listing/awating listing on stock exchange				
Govt Securities				
07.26% GOI 2029	100,000.00	10,492,170.00	2.10	11.30
Non-Convertible debentures / Bonds				
9.22% LIC HF Ltd - Mat 161024	30.00	31,840,699.51	6.39	34.30
8.57% REC Ltd - Mat 211224	20.00	20,980,154.53	4.21	22.60
8.85% REC Ltd - Mat 160429	5.00	5,441,381.31	1.09	5.86
9.48% REC Ltd - Mat 100821	5.00	5,167,439.02	1.04	5.57
8.94% PFC Ltd - Mat 250328	4.00	4,361,065.67	0.87	4.70
9.34% REC Ltd - Mat 250824	3.00	3,213,861.41	0.64	3.46
7.85% PFC Ltd - Mat 030428	3.00	3,104,643.45	0.62	3.34
8.95% LIC HF Ltd - Mat 150920	2.00	2,018,199.18	0.41	2.17
8.70% PFC Ltd - Mat 150720	2.00	2,014,052.20	0.40	2.17
Sub Total		88,633,666.28	17.77	95.49
(b) Privately Placed / Unlisted				
Non-Convertible debentures / Bonds				
9.74% Tata Sons Ltd - Mat 130124	4.00	4,184,624.83	0.84	4.51
(c) Securitised Debt		-	-	-
Debt Total		92,818,291.11	18.61	100.00
3. Money Market Instruments				
T BILL				
182 Days TBill - Mat 09042020	275,300.00	27,499,866.44	5.52	64.84
364 Days TBill - Mat 14052020	150,000.00	14,912,324.94	2.99	35.16
Total		42,412,191.38	8.51	100.00
4. Other - Current Assets				
Mutual Fund				
HDFC Liquid Fund - Direct Plan - Growth Option	4,509.12	17,602,845.60	3.53	100.00
Total	4,509.12	17,602,845.60	3.53	100.00
Total Investments		483,058,829.39	96.89	100.00
Others				
Deposits		-	-	
Cash,Cash Equivalents and Net Current Assets		15,497,567.67	3.11	
Net Asset		498,556,397.06	100.00	

SHRIRAM MUTUAL FUND

SHRIRAM MUTUAL FUND - SHRIRAM MULTICAP FUND

ANNEXURE - II

SUPPLEMENTARY INVESTMENT PORTFOLIO INFORMATION AND INDUSTRYWISE CLASSIFICATION

Details of investment portfolio and industrywise classification of the Scheme's investments in each category of investments at 31 March 2020 are presented below. The industry and company exposures are stated as a percentage of the Scheme's net assets as at 31 March 2020, as well as the aggregate investments in each investment category.

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
1. Equity and Equity Related Instruments				
(a) Listed/awaiting Listing on Stock Exchange				
Auto		6,822,685.30	1.50	1.89
Maruti Suzuki India Ltd	1591.00	6,822,685.30	1.50	1.89
Auto Ancillaries		35,332.60	0.01	0.01
Automotive Axles Ltd	92.00	35,332.60	0.01	0.01
Banks		91,600,538.80	20.11	25.32
HDFC Bank Ltd.	43656.00	37,627,106.40	8.26	10.40
ICICI Bank Ltd.	68444.00	22,158,745.00	4.86	6.13
Axis Bank Ltd.	26789.00	10,153,031.00	2.23	2.81
Kotak Mahindra Bank Ltd.	7282.00	9,437,836.10	2.07	2.61
State Bank of India	38993.00	7,675,772.05	1.69	2.12
Bandhan Bank Ltd.	16501.00	3,362,078.75	0.74	0.93
City Union Bank Ltd.	9190.00	1,185,969.50	0.26	0.33
Cement		3,140,796.65	0.69	0.87
Shree Cement Ltd.	179.00	3,140,796.65	0.69	0.87
Commercial Services		13,480,812.20	2.96	3.73
3M India Ltd	716.00	13,480,812.20	2.96	3.73
Construction Project		9,203,155.50	2.02	2.54
Larsen & Toubro Ltd	11383.00	9,203,155.50	2.02	2.54
Consumer Durables		8,199,994.80	1.80	2.27
Titan Company Ltd.	7589.00	7,085,849.30	1.56	1.96
Bata India Ltd.	905.00	1,114,145.50	0.24	0.31
Consumer Non Durables		41,800,436.60	9.17	11.56
ITC Ltd.	88669.00	15,224,467.30	3.34	4.21
Dabur India Ltd.	23718.00	10,674,285.90	2.34	2.95
Hindustan Unilever Ltd.	3720.00	8,550,420.00	1.88	2.36
Nestle India Ltd.	169.00	2,754,801.40	0.60	0.76
Colgate Palmolive (India) Ltd.	1860.00	2,330,022.00	0.51	0.64
Asian Paints Ltd.	1360.00	2,266,440.00	0.50	0.63
Ferrous Metals		2,384,072.80	0.52	0.66
Tata Steel Ltd	8843.00	2,384,072.80	0.52	0.66
Finance		79,069,393.40	17.36	21.86
HDFC Ltd.	13330.00	21,769,223.00	4.78	6.02
HDFC Asset Management Company Ltd.	7927.00	16,748,165.60	3.68	4.63
Bajaj Finance Ltd.	6790.00	15,045,282.00	3.30	4.16
HDFC Life Insurance Company Ltd.	32790.00	14,471,866.50	3.18	4.00
SBI Life Insurance Company Ltd.	12719.00	8,152,879.00	1.79	2.25
CRISIL Ltd.	1723.00	2,161,158.90	0.47	0.60
Bajaj Finserv Ltd.	157.00	720,818.40	0.16	0.20

SHRIRAM MUTUAL FUND

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
Gas		1,529,087.00	0.34	0.42
Petronet LNG Ltd.	4000.00	798,800.00	0.18	0.22
GAIL (India) Ltd.	9540.00	730,287.00	0.16	0.20
Industrial Capital Goods		13,750,818.40	3.02	3.80
Honeywell Automation India Ltd.	356.00	9,217,053.60	2.02	2.55
Siemens Ltd.	4072.00	4,533,764.80	1.00	1.25
Petroleum Products		24,607,102.50	5.40	6.80
Reliance Industries Ltd.	21105.00	23,505,693.75	5.16	6.50
Castrol India Ltd.	11075.00	1,101,408.75	0.24	0.30
Pharmaceuticals		26,177,138.00	5.75	7.24
Abbott India Ltd.	991.00	15,312,040.10	3.36	4.23
Sanofi India Ltd.	1374.00	8,592,102.90	1.89	2.38
Pfizer Ltd.	565.00	2,272,995.00	0.50	0.63
Power		3,420,035.60	0.75	0.95
NTPC Ltd.	40618.00	3,420,035.60	0.75	0.95
Retailing		16,010,312.50	3.52	4.43
Avenue Supermarts Ltd	7319.00	16,010,312.50	3.52	4.43
Software		20,506,586.90	4.50	5.67
Infosys Ltd.	10189.00	6,536,243.50	1.43	1.81
Tata Consultancy Services Ltd.	3422.00	6,248,914.20	1.37	1.73
Larsen & Toubro Infotech Ltd.	2263.00	3,233,487.55	0.71	0.89
L&T Technology Services Ltd.	2739.00	3,180,663.75	0.70	0.88
Oracle Financial Services Software Ltd.	646.00	1,307,277.90	0.29	0.36
Sub Total		361,738,299.55	79.42	100.00
(b) Unlisted	-	-	-	-
Total Equity (a)+(b)		361,738,299.55	79.42	100.00
2. Debt Instruments				
(a) Listing/awaiting listing on stock exchange		-	-	-
(b) Privately Placed / Unlisted		-	-	-
(c) Securitised Debt		-	-	-
Debt Total		-	0.00	0.00
3. Money Market Instruments				
TREPS				
TREPS - 03APR2020		69,998,572.79	15.37	100.00
Total		69,998,572.79	15.37	100.00
4. Other - Current Assets				
Mutual Fund				
HDFC Liquid Fund - Direct Plan - Growth Option	5,023.16	19,609,559.53	4.31	100.00
Total	5,023.16	19,609,559.53	4.31	100.00
TOTAL INVESTMENTS		451,346,431.87	99.10	100.00
Others		-	-	-
Deposits				
Cash, Cash Equivalents and Net Current Assets		4,141,209.62	0.91	
Net Asset		455,487,641.49	100.00	

SHRIRAM MUTUAL FUND

SHRIRAM MUTUAL FUND - SHRIRAM LONG TERM EQUITY FUND

ANNEXURE - II

SUPPLEMENTARY INVESTMENT PORTFOLIO INFORMATION AND INDUSTRYWISE CLASSIFICATION

Details of investment portfolio and industrywise classification of the Scheme's investments in each category of investments at 31 March 2020 are presented below. The industry and company exposures are stated as a percentage of the Scheme's net assets as at 31 March 2020, as well as the aggregate investments in each investment category.

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
EQUITY & EQUITY RELATED				
(a) Listed / awaiting listing on Stock Exchanges				
Auto		3,357,738.90	1.57	1.97
Maruti Suzuki India Ltd	783	3,357,738.90	1.57	1.97
Banks		35,649,803.95	16.70	20.89
HDFC Bank Ltd.	18,566.00	16,002,035.40	7.50	9.38
ICICI Bank Ltd.	30,351.00	9,826,136.25	4.60	5.76
Axis Bank Ltd.	12,167.00	4,611,293.00	2.16	2.70
State Bank of India	14,313.00	2,817,514.05	1.32	1.65
Bandhan Bank Ltd.	5,013.00	1,021,398.75	0.48	0.60
Kotak Mahindra Bank Ltd.	630.00	816,511.50	0.38	0.48
City Union Bank Ltd.	4,300.00	554,915.00	0.26	0.33
Chemicals		2,758,917.60	1.29	1.62
Pidilite Industries Ltd	2,034.00	2,758,917.60	1.29	1.62
Commercial Services		5,629,557.05	2.64	3.30
3M India Ltd.	299.00	5,629,557.05	2.64	3.30
Construction Project		578,077.50	0.27	0.34
Larsen & Toubro Ltd	715.00	578,077.50	0.27	0.34
Consumer Durables		2,366,341.30	1.11	1.39
Titan Company Ltd.	1,974.00	1,843,123.80	0.86	1.08
Bata India Ltd.	425.00	523,217.50	0.25	0.31
Consumer Non Durables		27,251,579.85	12.77	15.97
Nestle India Ltd.	444.00	7,237,466.40	3.39	4.24
ITC Ltd.	41,089.00	7,054,981.30	3.31	4.13
Dabur India Ltd.	11,113.00	5,001,405.65	2.34	2.93
Asian Paints Ltd.	2,164.00	3,606,306.00	1.69	2.11
Hindustan Unilever Ltd.	1,419.00	3,261,571.50	1.53	1.91
Colgate Palmolive (India) Ltd.	870.00	1,089,849.00	0.51	0.64
Finance		36,847,696.95	17.27	21.59
HDFC Ltd.	7,405.00	12,093,105.50	5.67	7.09
HDFC Life Insurance Company Ltd.	18,075.00	7,977,401.25	3.74	4.67
Bajaj Finance Ltd.	3,591.00	7,956,937.80	3.73	4.66
HDFC Asset Management Company Ltd.	3,569.00	7,540,583.20	3.53	4.42
CRISIL Ltd.	764.00	958,285.20	0.45	0.56
Bajaj Finserv Ltd.	70.00	321,384.00	0.15	0.19
Industrial Capital Goods		2,124,763.00	0.99	1.24
Siemens Ltd.	1,234.00	1,373,935.60	0.64	0.80
Honeywell Automation India Ltd.	29.00	750,827.40	0.35	0.44
Petroleum Products		12,200,616.00	5.71	7.15
Reliance Industries Ltd.	10,492.00	11,685,465.00	5.47	6.85

SHRIRAM MUTUAL FUND

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
Castrol India Ltd.	5,180.00	515,151.00	0.24	0.30
Pharmaceuticals		15,570,100.20	7.29	9.12
Abbott India Ltd.	654.00	10,105,019.40	4.73	5.92
Sanofi India Ltd.	468.00	2,926,567.80	1.37	1.71
Pfizer Ltd.	631.00	2,538,513.00	1.19	1.49
Retailing		9,901,441.55	4.64	5.80
Avenue Supermarts Ltd.	3,040.00	6,650,000.00	3.12	3.90
Trent Ltd.	6,713.00	3,251,441.55	1.52	1.90
Software		16,358,190.60	7.67	9.58
Infosys Ltd.	15,897.00	10,197,925.50	4.78	5.97
Tata Consultancy Services Ltd.	3,041.00	5,553,170.10	2.60	3.25
Oracle Financial Services Software Ltd.	300.00	607,095.00	0.29	0.36
Sub Total		170,594,824.45	79.92	99.95
(b) Unlisted				
Arti Surfactants Ltd.	117	89,662.95	0.04	0.05
Total Equity (a)+(b)		170,684,487.40	79.96	100.00
2. Debt Instruments				
(a) Listing/awaiting listing on stock exchange		-	-	-
(b) Privately Placed / Unlisted		-	-	-
(c) Securitised Debt		-	-	-
Debt Total		-	0.00	0.00
3. Money Market Instruments				
T BILL				
364 Days TBill - Mat 14052020	20,000.00	1,988,309.98	0.93	7.37
TREPS				
TREPS - 03APR2020		24,999,490.29	11.71	92.63
Total		26,987,800.27	12.64	100.00
4. Other - Current Assets				
Mutual Fund				
ICICI PRUDENTIAL LIQUID - DIRECT PLAN - GROWTH	32,155.915	9,441,452.55	4.43	100.00
Total	32,155.915	9,441,452.55	4.43	100.00
Total Investments		207,113,740.22	97.03	100.00
Others				
Deposits		-	-	-
Cash, Cash Equivalents and Net Current Assets		6,345,107.03	2.97	
Net Asset		213,458,847.25	100.00	

SHRIRAM MUTUAL FUND

SHRIRAM MUTUAL FUND - SHRIRAM BALANCED ADVANTAGE FUND

ANNEXURE - II

SUPPLEMENTARY INVESTMENT PORTFOLIO INFORMATION AND INDUSTRYWISE CLASSIFICATION

Details of investment portfolio and industrywise classification of the Scheme's investments in each category of investments at 31 March 2020 are presented below. The industry and company exposures are stated as a percentage of the Scheme's net assets as at 31 March 2020, as well as the aggregate investments in each investment category.

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
1. Equity and Equity Related Instruments				
(a) Listed/awaiting Listing on Stock Exchange				
Auto		4279646.9	1.11	1.58
Maruti Suzuki India Ltd.	482.00	2066960.6	0.53	0.76
Bajaj Auto Ltd.	547.00	1106225.45	0.29	0.41
Mahindra & Mahindra Ltd.	3,883.00	1106460.85	0.29	0.41
Banks		55241940.3	14.26	20.35
HDFC Bank Ltd.	29,913.00	25782014.7	6.66	9.50
ICICI Bank Ltd.	44,296.00	14340830	3.70	5.28
Kotak Mahindra Bank Ltd.	3,955.00	5125877.75	1.32	1.89
State Bank of India	20,846.00	4103535.1	1.06	1.51
Axis Bank Ltd.	9,796.00	3712684	0.96	1.37
Bandhan Bank Ltd.	5,681.00	1157503.75	0.30	0.43
City Union Bank Ltd.	7,900.00	1019495	0.26	0.38
Cement		2,016,569.95	0.52	0.74
UltraTech Cement Ltd.	537.00	1742484.45	0.45	0.64
ACC Ltd.	283.00	274085.5	0.07	0.10
Commercial Services		6326191.2	1.63	2.33
3M India Ltd.	336.00	6326191.2	1.63	2.33
Construction Project		635481	0.16	0.23
Larsen & Toubro Ltd	786.00	635481	0.16	0.23
Consumer Durables		3673174	0.95	1.35
Bata India Ltd.	2,430.00	2991573	0.77	1.10
Titan Company Ltd.	730.00	681601	0.18	0.25
Consumer Non Durables		45478595.7	11.73	16.75
Hindustan Unilever Ltd.	7,026.00	16149261	4.17	5.95
Asian Paints Ltd.	5,911.00	9850681.5	2.54	3.63
ITC Ltd.	55,135.00	9466679.5	2.44	3.49
Nestle India Ltd.	362.00	5900817.2	1.52	2.17
Colgate Palmolive (India) Ltd.	1,640.00	2054428	0.53	0.76
Dabur India Ltd.	4,570.00	2056728.5	0.53	0.76
Finance		54513287.7	14.09	20.08
HDFC Ltd.	14,999.00	24494866.9	6.33	9.02
Bajaj Finance Ltd.	6,816.00	15102892.8	3.90	5.56
HDFC Asset Management Company Ltd.	2,694.00	5691883.2	1.47	2.10
HDFC Life Insurance Company Ltd.	10,242.00	4520306.7	1.17	1.66
Nippon Life India Asset Mgmt. Ltd.	12,590.00	3137428	0.81	1.16
Bajaj Finserv Ltd.	173.00	794277.6	0.21	0.29
CRISIL Ltd.	375.00	470362.5	0.12	0.17
SBI Life Insurance Company Ltd.	470.00	301270	0.08	0.11
Gas		1557562.85	0.40	0.57
GAIL (India) Ltd.	20,347.00	1557562.85	0.40	0.57
Healthcare Services		569,525.00	0.15	0.21
Apollo Hospitals Enterprise Ltd.	500.00	569525	0.15	0.21

SHRIRAM MUTUAL FUND

Industry and Company Particulars	Quantity	Amount (Rupees)	Percentage to Net Assets	Percentage to Investment category (%)
Industrial Capital Goods		2,991,588.60	0.77	1.10
Siemens Ltd.	1,578.00	1756945.2	0.45	0.65
Honeywell Automation India Ltd.	39.00	1009733.4	0.26	0.37
ABB Power Products and Systems India Ltd.	300.00	224910	0.06	0.08
Petroleum Products		28,830,927.20	7.44	10.62
Reliance Industries Ltd.	22,340.00	24881175	6.42	9.16
Bharat Petroleum Corporation Ltd.	9,473.00	3001993.7	0.78	1.11
Castrol India Ltd.	9,530.00	947758.5	0.24	0.35
Pharmaceuticals		15307051	3.96	5.64
Sun Pharmaceutical Industries Ltd.	15,467.00	5449024.1	1.41	2.01
Abbott India Ltd.	328.00	5067960.8	1.31	1.87
Sanofi India Ltd.	766.00	4790066.1	1.24	1.76
Retailing		3,491,250.00	0.90	1.29
Avenue Supermarts Ltd.	1,596.00	3491250	0.90	1.29
Software		38431362.9	9.93	14.15
Infosys Ltd.	41,880.00	26866020	6.94	9.90
Tata Consultancy Services Ltd.	5,319.00	9713025.9	2.51	3.58
Oracle Financial Services Software Ltd.	580.00	1173717	0.30	0.43
Tech Mahindra Ltd.	1,200.00	678600	0.18	0.25
Telecom - Services		8,161,059.00	2.11	3.01
Bharti Airtel Ltd	18,510.00	8161059	2.11	3.01
Sub Total		271,505,213.30	70.11	100.00
(b) Unlisted		-	-	-
Total Equity (a)+(b)		271,505,213.30	70.11	100.00
DEBT INSTRUMENTS				
(a) Listing/awaiting listing on stock exchange				
Govt Securities				
07.26% GS 2029	400,000.00	41,968,680.00	10.84	46.69
Non-Convertible debentures / Bonds				
9.22% LIC HF Ltd - Mat 161024	20.00	21,227,133.00	5.48	23.61
8.70% LIC HF Ltd - Mat 230329	11.00	11,599,910.09	3.00	12.90
8.55% HDFC Ltd - Mat 270329	9.00	9,655,448.75	2.49	10.74
9.00% HDFC Ltd - Mat 291128	5.00	5,441,878.30	1.40	6.05
Sub Total		89,893,050.14	23.21	100.00
(b) Privately Placed / Unlisted		-	-	-
(c) Securitised Debt		-	-	-
Debt Total		89,893,050.14	23.21	100.00
3. Money Market Instruments				
T BILL				
364 Days TBill - Mat 14052020	30,000.00	2,982,464.97	0.77	100.00
Total		2,982,464.97	0.77	100.00
4. Other - Current Assets				
Mutual Fund				
ICICI PRUDENTIAL LIQUID - DIRECT PLAN - GROWTH	43,168.176	12,674,815.36	3.27	100.00
Total	43,168.176	12,674,815.36	3.27	100.00
Total Investments		377,055,543.77	97.36	100.00
Others				
Deposits		-	-	-
Cash, Cash Equivalents and Net Current Assets		10,228,026.47	2.64	
Net Asset		387,283,570.24	100.00	

SHRIRAM MUTUAL FUND

INDEPENDENT AUDITORS' REPORT

To,

The Board of Trustees

SHRIRAM MUTUAL FUND

Report on the Audit of the Financial Statements

Opinion

We have audited the financial statements of **Shriram Mutual fund Tax Guardian — 95, Shriram Mutual Fund - Tax Guardian - 96, Shriram Mutual Fund - Tax Guardian - 97 , Shriram Mutual Fund - Risk Guardian - 95 and Shriram Mutual Fund - Interval Fund 97** (collectively "the Schemes") which comprise the Balance Sheets as at March 31 2020, Revenue Accounts for the year/period then ended and notes to the financial statements, including summary of significant accounting policies.

In our opinion and to the best of our information and according to the explanations given to us, the aforesaid financial statements give the information required by the Securities and Exchange board of India (Mutual Funds) Regulations, 1996, as amended (the 'Regulations') in the manner so required and give a true and fair view in conformity with the accounting principles generally in India, of the state of affairs of the scheme at 31 March 2020 and the net surplus/(deficit) for the year/period ended on that date.

Basis for Opinion

We conducted our audit in accordance with the Standards on Auditing ('SAs') issued by the Institute of Chartered accountants of India (the 'ICAI'). Our responsibilities under those SAs are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Schemes in accordance with the ethical requirements that are relevant to audit of the financial statements in India, and we have fulfilled our other ethical responsibilities in accordance with the ICAI Code of Ethics. We believe that the audit evidence we have obtained are sufficient and appropriate to provide a basis for our audit opinion on the financial statements.

Information Other than the Financial Statements and Auditor's Report Thereon

The Schemes Management is responsible for the other information. The other information comprises the information included in the Annual Report, but does not include the financial statements and our auditor's report thereon.

Our opinion on the financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained during the course of our audit or otherwise appears to be materially misstated.

If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

Responsibilities of the Management and Those Charged with the Governance for the Financial Statements

The Scheme's Management, the Board of Directors of Shriram Asset Management Co. Ltd. (the 'AMC') the Board of Trustees (the 'Trustees') are responsible for the preparation of these financial statements that give a true and fair view of the state of affairs and surplus/deficit in accordance with accounting policies and standards specified in the Ninth Schedule of the Regulations and the accounting principles generally accepted in India. This responsibility includes maintenance of adequate accounting records in accordance with the Regulations, the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error

In preparing these financial statements, the Schemes management, the Trustees and the AMC are responsible for assessing the Scheme's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Schemes or to cease operations, or has no realistic alternative to do so.

The Trustees and the AMC are also responsible for overseeing the Scheme's financial reporting process.

Auditor's Responsibility for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements of the Scheme as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Standards on Auditing ("the SAs") will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements. The description of the auditor's responsibilities for the audit of the financial statements is given "**Appendix I**" to this report.

Report on Other Legal and Regulatory Requirements

1. As required by Regulation 55 (4) to the Regulations, we report that:
 - a) We have obtained all the information and explanations which, to the best of our knowledge and belief, were necessary for the purpose of our audit; and
 - b) The balance sheets and the revenue accounts has been prepared in accordance with the accounting policies and standards specified in the Ninth Schedule of the Regulations.
2. As required by Clause 5 (ii) (2) of the Eleventh Schedule of the regulations, we report the balance sheet and revenue account are in agreement with the books of account of the Schemes
3. As required by the Eight Schedule of the Regulations, we report that, in our opinion and on the basis of information and explanations given to us, the methods used to value non-traded securities as at March 31, 2020, as determined by the Board of Directors of the AMC, are in accordance with the SEBI Regulations and other guidelines issued by the Securities and Exchange Board of India, as applicable, and approved by the Board of Trustees, and fair and reasonable.

For **Chokshi & Chokshi LLP**
Chartered Accountants
FRN - 101872W/W100045

Nilesh Joshi

Partner

Membership No. 114749

Place : Mumbai
Date : 28.08.2020

APPENDIX - I :

**THE FURTHER DESCRIPTION OF THE AUDITOR'S RESPONSIBILITIES
FOR THE AUDIT OF THE FINANCIAL STATEMENTS**

As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also :

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error to design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the scheme's internal controls.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management of the schemes.
- Conclude on the appropriateness of Management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Schemes ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the schemes to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

We also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

SHRIRAM MUTUAL FUND

**RISK GUARDIAN-95
MEMORANDUM OF ACCOUNTS AS AT 31ST MARCH, 2020
(SCHEME WOUND UP WITH EFFECT FROM 07.07.2000)**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 07.07.2000 AMOUNT (₹)</u>
<u>SOURCES</u>			
UNITS TO BE REDEEMED	A	24,087	115,760,299
OTHER PAYABLES	B	50,975	3,862,595
	T O T A L	75,062	119,622,894
<u>UTILISATION</u>			
BANK BALANCE IN CURRENT ACCOUNTS	C	66,212	745,355
DEPOSIT WITH SCHEDULED BANKS	D	-	17,550,000
OTHER RECEIVABLES	E	8,850	101,327,539
	T O T A L	76,062	119,622,894

SCHEDULES REFERRED TO ABOVE FORM AN INTEGRAL PART OF THE MEMORANDUM OF ACCOUNTS

AS PER OUR REPORT OF EVEN DATE
FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

RISK GUARDIAN-95
SCHEDULES TO MEMORANDUM OF ACCOUNTS AS ON 31.03.2020

		AS AT 31.03.2020 AMOUNT (₹)	AS AT 07.07.2000 AMOUNT (₹)
<u>SOURCES</u>	<u>SCHEDULE</u>		
<u>UNITS TO BE REDEEMED</u>	A		
Money payable on 3,700 units at the final redemption value of Rs.6.51 per unit.		24,087	115,760,299
TOTAL		24,087	115,760,299
<u>OTHER PAYABLES</u>	B		
Sundry Creditors		16,202	249,920
Redemption DD Cancelled		3,255	45,755
Unclaimed Dividend		538	295,973
Unclaimed Interest -31.03.2001		4,430	-
Unclaimed Interest -31.03.2002		6,510	-
Tax Deducted At Source		375	15,006
Payable to sponsors against redemption effected by them by their various offices		-	2,550,941
		31,308	3,157,595
Outstanding Expenses (Net)		19,667	705,000
TOTAL		50,975	3,862,595
<u>UTILISATION</u>			
<u>BALANCE WITH BANKS IN CURRENT ACCOUNTS</u>	C		
ABU DHABI COMMERCIAL		-	10,000
CENTRAL BANK OF INDIA		66,212	478,680
CENTRAL BANK OF INDIA N.P.		-	98,267
INDUS IND BANK LTD.		-	10,680
RESERVE BANK OF INDIA		-	100,000
THE LAKSHMI VILAS BANK LTD.		-	12,131
UNION BANK OF INDIA		-	5,479
UNION BANK OF INDIA		-	30,118
TOTAL		66,212	745,355
<u>DEPOSIT WITH SCHEDULED BANK</u>	D		
With ABN Amro Bank		-	5,000,000
With Abudhabi Bank		-	5,000,000
With Bank of Baharin & Kuwait		-	5,000,000
With Central Bank of India		-	2,550,000
TOTAL		-	17,550,000

SHRIRAM MUTUAL FUND

		AS OF 31.03.2020 (₹)	AS OF 07.07.2000 (₹)
<u>OTHER RECEIVABLES</u>	E		
<u>CONTRACTS FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES		—	101,008,628
OTHERS		8,850	258,406
		8,850	101,267,034
OTHER RECEIVABLE		—	60,505
TOTAL		8.850	101,327,539
	<u>GROUPING</u>		
<u>SUNDRY CREDITORS</u>			
BHAI SHANKER KANGA		—	195,331
H N MOTIWALA & CO.		—	16,025
CHOKSHI & CHOKSHI		16,200	—
ILFS		—	25,504
R & D CONSULTANTS		—	13,060
TOTAL		16,200	249,920
<u>CONTRACTS FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES			
PRUDENTIAL INVESTMENT		—	87,737,598
SHRIRAM ASSET MANAGEMENT		—	13,271,029
		—	101,008,627
<u>CONTRACT FOR SALE OF INVESTMENT</u>			
OTHERS			
KEYNOTE CAPITALS LTD		—	258,406
TOTAL		—	258,406
OTHER RECEIVABLE			
PURVA SHAREGISTRY (INDIA) PVT. LTD.		—	—
TOTAL		—	—

SHRIRAM MUTUAL FUND

RISK GUARDIAN 95

SCHEDULE - F

NOTES TO MEMORANDUM OF ACCOUNTS FOR THE PERIOD FROM 8th JULY, 2000 TO 31ST MARCH 2020

1) **ORGANISATION :**

The Shriram Mutual Fund - Risk Guardian 95 (the "Scheme") was launched on 26th October, 1995, as Growth Oriented close ended Scheme for a period of four years. The scheme was therefore wound up by the Trustees of Shriram Mutual Fund effective 7th July, 2000.

- 2) After making appropriate provision for meeting the expenses connected with winding up, the redemption value at the time of winding up was worked out at Rs.6.51 per unit.

3) **Movement in Units :**

	<u>Nos.</u>	<u>Rupees</u>
Balance as of 07.07.2000	17,781,920	115,760,299
Less : Redemption during the period	17,778,220	115,736,212
Closing Balance on 31.03.2020	3,700	24,087

- 4) Figures as on the date of Winding up i.e as of 7th July, 2000 are given for comparison.
The figures have been regrouped or rearranged wherever considered necessary.

FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**TAX GUARDIAN-95
MEMORANDUM OF ACCOUNTS AS AT 31ST MARCH, 2020
(SCHEME WOUND UP WITH EFFECT FROM 30.04.2001)**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 30.04.2001 AMOUNT (₹)</u>
<u>SOURCES</u>			
UNITS TO BE REDEEMED	A	176,175	22,619,635
OTHER PAYABLES	B	204,454	7,839,274
	T O T A L	380,629	30,458,909
<u>UTILISATION</u>			
BANK BALANCE IN CURRENT ACCOUNTS	C	170,989	73,191
DEPOSIT WITH SCHEDULED BANK	D	200,000	-
OTHER RECEIVABLES	E	9,640	30,385,718
	T O T A L	380,629	30,458,909

SCHEDULES REFERRED TO ABOVE FORM AN INTEGRAL PART OF THE MEMORANDUM OF ACCOUNTS

AS PER OUR REPORT OF EVEN DATE
FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**TAX GUARDIAN-95
SCHEDULES TO MEMORANDUM OF ACCOUNTS AS ON 31.03.2020**

	SCHEDULE	AS AT 31.03.2020 AMOUNT (₹)	AS AT 30.04.2001 AMOUNT (₹)
<u>SOURCES</u>			
<u>UNITS TO BE REDEEMED</u>	A		
Money payable on 39,150 units at the final redemption value of Rs.4.50 per unit.		176,175	22,619,635
	TOTAL	176,175	22,619,635
<u>OTHER PAYABLES</u>	B		
Sundry Creditors		37,390	216,028
Redemption DD Cancelled		17,595	127,827
Tax Deducted At Source		—	6,258
Payable to sponsors against redemption effected by them by their various offices		—	3,930,851
Due To Inter Scheme		—	284,532
Other Liabilities		375	1,748,778
		55,359	6,314,274
Outstanding Expenses (Net)		149,095	1,525,000
	TOTAL	204,454	7,839,274
<u>UTILISATION</u>	C		
<u>BALANCE WITH BANKS IN CURRENT ACCOUNT</u>			
CENTRAL BANK OF INDIA - BELAPUR		170,989	43,332
TIMES BANK		—	4,550
THE LAKSHMI VILAS BANK LTD.		—	25,309
	TOTAL	170,989	73,191
<u>DEPOSIT WITH SCHEDULED BANK</u>	D		
With Central Bank of India		200,000	—
	TOTAL	200,000	—
<u>OTHER RECEIVABLES</u>	E		
<u>CONTRACTS FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES		—	30,232,563
Others		8,850	70,524
		8,850	30,303,087
Due from Inter scheme		—	82,631
Other receivable		790	—
	TOTAL	9,640	30,385,718

SHRIRAM MUTUAL FUND

		AS OF 31.03.2020 (₹)	AS OF 30.04.2001 (₹)
	<u>GROUPING</u>		
<u>SUNDRY CREDITORS</u>			
AJAY KHANDHAR		–	7,200
H.N.MOTIWALLA & CO.		–	19,836
CHOKSHI & CHOKSHI		16,200	–
INTEGRATED ADVISORY SERVICES		21,190	112,270
PURVA SHAREGISTRY		–	–
STOCK HOLDING CORP.OF INDIA LTD.		–	(6,651)
MAKKAL KURAL		–	2,673
MEHTA AND MEHTA		–	2,832
SHANKERLAL JAIN		–	47,200
DELUX PRINTERS		–	340
SURBHI GRAPHICS		–	30,328
	TOTAL	37,390	216,028
 <u>DUE TO INTER SCHEME</u>			
SRMF TAX GUARDIAN 96		–	133,101
SRMF TAX GUARDIAN 97		–	151,431
	TOTAL	–	284,532
 <u>Other Liabilities</u>			
SHRIRAM ASSET MANAGEMENT CO		–	690,064
TAX DEDUCTED AT SOURCE		375	
PRUDENTIAL INVESTMENT		–	1,058,714
	TOTAL	375	1,748,778
 <u>CONTRACT FOR SALE OF INVESTMENT</u>			
<u>ASSOCIATE COMPANIES</u>			
SHRIRAM ASSET MANAGEMENT CO LTD		–	2,152,500
SHRIRAM CONSUMER DURABLE LEASING		–	14,011,533
SHRIRAM GLOBAL FINANCE		–	5,244,861
SHRIRAM DOMESTIC FINANCE		–	8,823,669
	TOTAL	–	30,232,563
 <u>CONTRACT FOR SALE OF INVESTMENT</u>			
<u>OTHERS</u>			
ASIT C MEHTA		–	68,432
KEYNOTE CAPITALS LTD		–	2,092
	TOTAL	–	70,524

SHRIRAM MUTUAL FUND

	AS OF 31.03.2020 (₹)	AS OF 30.04.2001 (₹)
DUE FROM INTER SCHEME		
INTERVAL FUND 97	—	78,515
SRMF RISK GUARDIAN 95	—	4,116
TOTAL	—	82,631
OTHER RECEIVABLE		
ACCRUED INTEREST	790	
TOTAL	790	—

SHRIRAM MUTUAL FUND

TAX GUARDIAN 95

SCHEDULE - F

NOTES TO MEMORANDUM OF ACCOUNTS FOR THE PERIOD FROM 1st MAY, 2001 TO 31ST MARCH 2020

1) **ORGANISATION :**

The Shriram Mutual Fund - Tax Guardian 95 (the "Scheme") was launched on 27th December, 1994, as close ended Tax Saving Scheme for a period of Ten years commencing from 1st April, 1995 and ending on 31st March, 2005. The Scheme provided for Tax benefits under Section 88 of the Income- Tax Act, 1961 for initial investments upto Rs. 10,000/-. Considering the trend in the volume of repurchases undertaken by the Fund, the Trustees of the Shriram Mutual Fund decided to wind up the scheme prematurely. Accordingly, the scheme was wound up effective 30th April, 2001.

- 2) After making appropriate provision for meeting the expenses connected with winding up, the redemption value at the time of winding up was worked out at Rs.4.50 per unit.

3) **Movement in Units :**

	<u>Nos.</u>	<u>Rupees</u>
Balance as of 30.04.2001	5,025,980	22,619,635
Less : Redemption during the period	4,986,830	22,443,460
Closing Balance on 31.03.2020	39,150	176,175

- 4) Figures as on the date of Winding up i.e as of 30th April, 2001 are given for comparison.
The figures have been regrouped or rearranged wherever considered necessary.

FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**TAX GUARDIAN-96
MEMORANDUM OF ACCOUNTS AS AT 31ST MARCH, 2020
(SCHEME WOUND UP WITH EFFECT FROM 30.04.2001)**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 30.04.2001 AMOUNT (₹)</u>
<u>SOURCES</u>			
UNITS TO BE REDEEMED	A	131,500	15,618,829
OTHER PAYABLES	B	69,842	9,056,509
	TOTAL	201,342	24,675,338
<u>UTILISATION</u>			
BANK BALANCE IN CURRENT ACCOUNTS	C	92,097	177,646
DEPOSIT WITH SCHEDULED BANKS	D	100,000	-
OTHER RECEIVABLES	E	9,245	24,497,692
	TOTAL	201,342	24,675,338

SCHEDULES REFERRED TO ABOVE FORM AN INTEGRAL PART OF THE MEMORANDUM OF ACCOUNTS

AS PER OUR REPORT OF EVEN DATE
FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

TAX GUARDIAN-96
SCHEDULES TO MEMORANDUM OF ACCOUNTS AS ON 31.03.2020

	SCHEDULE	AS AT 31.03.2020 AMOUNT (₹)	AS AT 30.04.2001 AMOUNT (₹)
<u>SOURCES</u>	A		
<u>UNITS TO BE REDEEMED</u>			
Money payable on 25,000 units at the final redemption value of Rs.5.26 per unit.		131,500	15,618,829
TOTAL		131,500	15,618,829
<u>OTHER PAYABLES</u>	B		
Sundry Creditors		16,200	63,835
Redemption D D Cancelled		21,620	33,348
Tax Deducted at Source		375	1,094
Payable to sponsors against redemption effected by them by their various offices		-	7,328,874
Due To Inter Scheme		-	78,241
Other Liabilities		-	691,117
		38,195	8,196,509
Outstanding Expenses (Net)		31,647	860,000
TOTAL		69,842	9,056,509
<u>UTILISATION</u>			
<u>BALANCE WITH BANKS IN CURRENT ACCOUNT</u>	C		
CENTRAL BANK OF INDIA - BELAPUR		92,097	161,993
CENTRAL BANK OF INDIA - NP		-	9,845
THE LAKSHMI VILAS BANK LTD.		-	5,808
TOTAL		92,097	177,646
<u>DEPOSIT WITH SCHEDULED BANK</u>	D		
With Central Bank of India		100,000	-
TOTAL		100,000	-
<u>OTHER RECEIVABLES</u>	E		
<u>CONTRACTS FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES		-	24,120,940
Others		8,850	243,650
		8,850	24,364,590
Due from Inter scheme		-	133,102
Purva Sharegistry (India) Pvt. Ltd.		-	-
Accrued Interest		395	-
TOTAL		9,245	24,497,692

SHRIRAM MUTUAL FUND

		AS OF 31.03.2020 (₹)	AS OF 30.04.2001 (₹)
	<u>GROUPING</u>		
<u>SUNDRY CREDITORS</u>			
H N MOTIWALLA & CO.		-	19,836
CHOKSHI & CHOKSHI		16,200	-
R & D CONSULTANTS		-	50,714
STOCK HOLDING CORP. OF INDIA LTD.		-	(6,715)
	TOTAL	16,200	63,835
<u>DUE TO INTER SCHEME</u>			
SRMF TAX GUARDIAN 97		-	78,241
	TOTAL	-	78,241
<u>OTHER LIABILITIES</u>			
SHRIRAM ASSET MANAGEMENT CO.		-	691,117
	TOTAL	-	691,117
<u>CONTRACT FOR SALE OF INVESTMENT</u>			
<u>ASSOCIATE COMPANIES</u>			
SHRIRAM ASSET MANAGEMENT CO. LTD		-	12,995
SHRI CONSUMER DURABLE LEASING		-	2,183,607
SHRI GLOBAL FINANCE		-	7,190,996
SHRIRAM DOMESTIC FINANCE		-	12,885,545
PRUDENTIAL INVESTMENT		-	1,847,797
	TOTAL	-	24,120,940
<u>CONTRACT FOR SALE OF INVESTMENT</u>			
<u>Others</u>			
ALPIC SECURITIES		-	121,450
ASIT MEHTA		-	122,200
	TOTAL	-	243,650
<u>DUE FROM INTER SCHEME</u>			
SRMF TAX GUARDIAN 95		-	133,102
	TOTAL	-	133,102
<u>OTHER RECEIVABLE</u>			
SHRIRAM ASSET MANAGEMENT CO		8,850	-
	TOTAL	8,850	-

SHRIRAM MUTUAL FUND

TAX GUARDIAN 96**SCHEDULE - F****NOTES TO MEMORANDUM OF ACCOUNTS FOR THE PERIOD FROM 1ST MAY, 2001 TO 31ST MARCH 2020****1) ORGANISATION :**

The Shriram Mutual Fund - Tax Guardian 96 (the "Scheme") was launched on 1st December, 1995, as close ended Tax Saving Scheme for a period of Ten years commencing from 1st April, 1996 and ending on 31st March, 2006. The Scheme provided for Tax benefits under Section 88 of the Income Tax Act, 1961 for initial investments upto Rs. 10,000/-. Considering the trend in the volume of repurchases undertaken by the Fund, the Trustees of the Shriram Mutual Fund decided to wind up the scheme prematurely. Accordingly, the scheme was wound up effective 30th April, 2001.

- 2) After making appropriate provision for meeting the expenses connected with winding up, the redemption value at the time of winding up was worked out at Rs.5.26 per unit.

3) Movement in Units :

	<u>Nos.</u>	<u>Rupees</u>
Balance as of 30.04.2001	2,971,495	15,618,829
Less : Redemption during the period	2,946,495	15,487,329
Closing Balance on 31.03.2020	25,000	131,500

- 4) Figures as on the date of Winding up i.e. as of 30th April, 2001 are given for comparison.
The figures have been regrouped or rearranged wherever considered necessary.

FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR **SHRIRAM MUTUAL FUND**

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR **SHRIRAM ASSET MANAGEMENT CO.LTD.**

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**TAX GUARDIAN-97
MEMORANDUM OF ACCOUNTS AS AT 31ST MARCH, 2020
(SCHEME WOUND UP WITH EFFECT FROM 30.04.2001)**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 30.04.2001 AMOUNT (₹)</u>
<u>SOURCES</u>			
UNITS TO BE REDEEMED	A	18,781	4,200,524
OTHER PAYABLES	B	70,852	1,178,363
	TOTAL	89,633	5,378,887
<u>UTILISATION</u>			
BANK BALANCE IN CURRENT ACCOUNTS	C	40,625	229,467
DEPOSIT WITH SCHEDULED BANKS	D	40,000	-
OTHER RECEIVABLES	E	9,008	5,149,420
	TOTAL	89,633	5,378,887

SCHEDULES REFERRED TO ABOVE FORM AN INTEGRAL PART OF THE MEMORANDUM OF ACCOUNTS

AS PER OUR REPORT OF EVEN DATE
FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**TAX GUARDIAN-97
SCHEDULES TO MEMORANDUM OF ACCOUNTS AS ON 31.03.2020**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 30.04.2001 AMOUNT (₹)</u>
<u>SOURCES</u>			
<u>UNITS TO BE REDEEMED</u>	A		
Money payable on 3,000 units at the final redemption value of Rs.6.26 per unit.		18,781	4,200,524
	TOTAL	18,781	4,200,524
<u>OTHER PAYABLES</u>	B		
Sundry Creditors		21,497	68,263
Redemption D D Cancelled		7,386	-
Tax Deducted at Source		375	262
Payable to sponsors against redemption effected by them by their various offices		-	599,838
		29,258	668,363
Outstanding Expenses (Net)		41,594	510,000
	TOTAL	70,852	1,178,363
<u>UTILISATION</u>			
<u>BALANCE WITH BANKS IN CURRENT ACCOUNT</u>	C		
CENTRAL BANK OF INDIA		40,625	220,313
CITI BANK		-	9,154
	TOTAL	40,625	229,467
<u>DEPOSIT WITH SCHEDULED BANK</u>	D		
With Central Bank of India		40,000	-
	TOTAL	40,000	-
<u>OTHER RECEIVABLES</u>	E		
<u>CONTRACT FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES		-	4,063,223
OTHERS		8,850	485,822
		8,850	4,549,045
DUE FROM INTER SCHEME		-	292,219
OTHER RECEIVABLE		158	308,156
	TOTAL	9,008	5,149,420

SHRIRAM MUTUAL FUND

		AS OF 31.03.2020 (₹)	AS OF 30.04.2001 (₹)
	GROUPING		
<u>SUNDRY CREDITORS</u>			
H N MOTIWALLA & CO.		-	39,678
CHOKSHI & CHOKSHI		16,200	-
INTEGRATED ADVISORY SERVICES		5,297	28,969
STOCK HOLDING CORP. OF INDIA LTD.		-	(384)
	TOTAL	21,497	68,263
CONTRACT FOR SALE OF INVESTMENT			
ASSOCIATE COMPANIES			
SHRI CONSUMER DURABLE LEASING		-	1,305,680
SHRI GLOBAL FINANCE		-	838,265
SHRIRAM DOMESTIC FINANCE		-	1,082,643
PRUDENTIAL INVESTMENT		-	836,635
	TOTAL	-	4,063,223
CONTRACT FOR SALE OF INVESTMENT			
OTHERS			
ALPIC SECURITIES		-	121,450
ASIT C MEHTA		-	122,072
KEYNOTE CAPITAL LTD.		-	242,300
	TOTAL	-	485,822
DUE FROM INTER SCHEME			
SRMF INTERVAL FUND 97		-	62,547
SRMF TAX GUARDIAN 95		-	151,431
SRMF TAX GUARDIAN 96		-	78,241
	TOTAL	-	292,219
OTHER RECEIVABLE			
ACCRUED INTEREST		158	308,156
	TOTAL	158	308,156

SHRIRAM MUTUAL FUND

TAX GUARDIAN 97

SCHEDULE - F

NOTES TO MEMORANDUM OF ACCOUNTS FOR THE PERIOD FROM 1ST MAY, 2001 TO 31ST MARCH 2020

1) **ORGANISATION :**

The Shriram Mutual Fund - Tax Guardian 97 (the "Scheme") was launched on 14th October, 1996, as close ended Tax Saving Scheme for a period of Ten years commencing from 1st April, 1997 and ending on 31st March, 2007. The Scheme provided for Tax benefits under Section 88 of the Income Tax Act, 1961 for initial investments upto Rs. 10,000/-. Considering the trend in the volume of repurchases undertaken by the Fund, the Trustees of the Shriram Mutual Fund decided to wind up the scheme prematurely. Accordingly, the scheme was wound up effective 30th April, 2001.

- 2) After making appropriate provision for meeting the expenses connected with winding up, the redemption value at the time of winding up was worked out at Rs.6.26 per unit.

3) **Movement in Units :**

	<u>Nos.</u>	<u>Rupees</u>
Balance as of 30.04.2001	670,840	4,200,524
Less : Redemption during the period	667,840	4,181,743
Closing Balance on 31.03.2020	3,000	18,781

- 4) Figures as on the date of Winding up i.e. as of 30th April, 2001 are given for comparison.
The figures have been regrouped or rearranged wherever considered necessary.

FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

**INTERVAL FUND-97
MEMORANDUM OF ACCOUNTS AS AT 31ST MARCH, 2020
(SCHEME WOUND UP WITH EFFECT FROM 01.12.2001)**

	<u>SCHEDULE</u>	<u>AS AT 31.03.2020 AMOUNT (₹)</u>	<u>AS AT 01.12.2001 AMOUNT (₹)</u>
<u>SOURCES</u>			
UNITS TO BE REDEEMED	A	17,346	451,074
OTHER PAYABLES	B	15,806	3,951,894
	TOTAL	33,152	4,402,968
<u>UTILISATION</u>			
BANK BALANCE IN CURRENT ACCOUNTS	C	24,302	13,729
DEPOSIT WITH SCHEDULED BANKS		-	-
OTHER RECEIVABLES	D	8,850	4,389,239
	TOTAL	33,152	4,402,968

SCHEDULES REFERRED TO ABOVE FORM AN INTEGRAL PART OF THE MEMORANDUM OF ACCOUNTS

AS PER OUR REPORT OF EVEN DATE
FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

SHRIRAM MUTUAL FUND

INTERVAL FUND-97
SCHEDULES TO MEMORANDUM OF ACCOUNTS AS ON 31.03.2020

	<u>SCHEDULE</u>	<u>AS AT</u> <u>31.03.2020</u> <u>AMOUNT (₹)</u>	<u>AS AT</u> <u>01.12.2001</u> <u>AMOUNT (₹)</u>
<u>SOURCES</u>			
<u>UNITS TO BE REDEEMED</u>	A		
Money payable on 1,400 units at the final redemption value of Rs.12.39 per unit.		17,346	451,074
	TOTAL	17,346	451,074
<u>OTHER PAYABLES</u>	B		
Sundry Creditors		16,200	129,002
Tax Deducted at Source		375	759
Payable to sponsors against redemption effected by them by their various offices		-	3,762,705
Due to Inter Scheme		-	19,428
Other Liabilities		-	-
		16,575	3,951,894
Outstanding Expenses (Net)		(769)	40,000
	TOTAL	15,806	3,951,894
<u>UTILISATION</u>			
<u>BANK BALANCE IN CURRENT ACCOUNTS</u>	C		
CENTRAL BANK OF INDIA		24,302	8,929
THE LAKSHMI VILAS BANK LTD.		-	4,800
	TOTAL	24,302	13,729
<u>OTHER RECEIVABLES</u>	D		
<u>CONTRACTS FOR SALE OF INVESTMENT</u>			
ASSOCIATE COMPANIES		-	3,982,049
OTHERS		-	239,376
		-	4,221,425
OTHER RECEIVABLE		8,850	167,814
	TOTAL	8,850	4,389,239

SHRIRAM MUTUAL FUND

		AS OF 31.03.2020 (₹)	AS OF 01.12.2001 (₹)
	<u>GROUPING</u>		
<u>SUNDRY CREDITORS</u>			
H N MOTIWALLA & CO.		-	66,248
CHOKSHI & CHOKSHI		16,200	-
R & D CONSULTANT		-	11,692
ILFS		-	3,511
SURBHI GRAPHICS		-	43,276
MAKKAL KURAL		-	2,672
TRINITY MIRROR		-	1,603
	TOTAL	16,200	129,002
<u>DUE TO INTER SCHEME</u>			
SRMF TAX GUARDIAN 95		-	2,753
SRMF TAX GUARDIAN 96		-	16,675
	TOTAL	-	19,428
<u>CONTRACT FOR SALE OF INVESTMENT ASSOCIATES COMPANIES</u>			
MILLENIUM FINANCE		-	1,980,283
GENIUS FINANCE & INVESTMENT		-	2,001,766
	TOTAL	-	3,982,049
<u>CONTRACT FOR SALE OF INVESTMENT OTHER</u>			
ASIT C MEHTA		-	112,491
KEYNOTE CAPITAL LTD.		-	126,885
	TOTAL	-	239,376
<u>OTHER RECEIVABLE</u>			
TDS RECEIVABLE		-	-
SHRIRAM ASSET MANAGEMENT CO		8,850	167,814
	TOTAL	8,850	167,814

SHRIRAM MUTUAL FUND

INTERVAL FUND 97

SCHEDULE - E

NOTES TO MEMORANDUM OF ACCOUNTS FOR THE PERIOD FROM 2ND DECEMBER 2001 TO 31ST MARCH 2020

1) **ORGANISATION :**

The Shriram Mutual Fund - Interval Fund 97 (the "Scheme") was launched on 30th June, 1997, as Growth Oriented Scheme for a period of Ten Years ending 13th August, 2007. The Scheme provided for repurchase and resale at periodic intervals. Considering the trend in the volume of repurchases undertaken by the Fund, the Trustees of the Shriram Mutual Fund decided to wind up the scheme prematurely. Accordingly, the scheme was wound up effective 1st December, 2001.

- 2) After making appropriate provision for meeting the expenses connected with winding up, the redemption value at the time of winding up was worked out at Rs.12.39 per unit.

3) **Movement in Units :**

	<u>Nos.</u>	<u>Rupees</u>
Balance as of 01.12.2001	36,400	451,074
Less : Redemption during the period	35,000	433,728
Closing Balance on 31.03.2020	1,400	17,346

- 4) Figures as on the date of Winding up i.e. as of 1st December, 2001 are given for comparison.
The figures have been regrouped or rearranged wherever considered necessary.

FOR **CHOKSHI AND CHOKSHI LLP**
CHARTERED ACCOUNTANTS
(FRN : 101872W/W100045)

FOR SHRIRAM MUTUAL FUND

S. KRISHNAMURTHY
TRUSTEE

NILESH JOSHI
PARTNER
(Membership No. - 114749)
PLACE : MUMBAI
DATED : 28th August, 2020

FOR SHRIRAM ASSET MANAGEMENT CO.LTD.

AKHILESH KUMAR SINGH
MANAGING DIRECTOR
PLACE : CHENNAI
DATED : 28th August, 2020

Risk Factors

All Investments in mutual funds are subject to market risks and the NAV of the Schemes may go up or down depending upon the factors and forces affecting the securities market. There can be no assurance that Schemes' investment objectives will be achieved. The past performance of the Mutual Fund is not indicative of the future performance of the Schemes. The Sponsor is not responsible or liable for any loss resulting from the operation of the Schemes beyond the initial contribution of Rs. 1 Lakh made towards setting up the Mutual Fund. There is no guarantee or assurance as to any return on investment of the unitholders. The investments made by the Schemes are subject to external risks on transfer, pricing, trading volumes, settlement risks, etc. of securities. Please refer to the Offer Document / Statement of Additional Information / Key Information Memorandum of the schemes before investing.