

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : H82925066

Service Request Date : 16/08/2019

Payment made into : ICICI Bank

Received From :

Name : Suhas Sadanand Ganpule

Address : SG and Associates,

Flat No. 1, 1st Floor, New Vora House, Grampanchyat Road, Goregaon - West

Mumbai, Maharashtra

IN - 400062

Entity on whose behalf money is paid

CIN: L65991MH1994PLC079874

Name : SHRIRAM ASSET MANAGEMENT COMPANY LIMITED

Address : Wockhardt Towers, 2nd Floor, East Wing,

C-2, G Block, Bandra Kurla Complex, Bandra East

MUMBAI, Maharashtra

India - 400051

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2019	Normal	600.00
Total		600.00

Mode of Payment: Credit Card- ICICI Bank

Received Payment Rupees: Six Hundred Only

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65991MH1994PLC079874

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACS7168N

(ii) (a) Name of the company

SHRIRAM ASSET MANAGEMEN

(b) Registered office address

Wockhardt Towers, 2nd Floor, East Wing,
C-2, G Block, Bandra Kurla Complex, Bandra East
MUMBAI
Maharashtra
400051

(c) *e-mail ID of the company

srmf@shriramamc.com

(d) *Telephone number with STD code

02242410400

(e) Website

www.shriramamc.com

(iii) Date of Incorporation

27/07/1994

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67120MH1993PTC074079

Pre-fill

Name of the Registrar and Transfer Agent

PURVA SHAREGISTRY (INDIA) PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

9, SHIV SHAKTI INDUSTRIAL ESTATE, J.R.BORICHA MARG
LOWER PAREL (EAST)

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 02/08/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 2

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Shriram Credit Company Limit	U65993TN1980PLC008215	Holding	68.67
2	Ceylinco Shriram Capital Mana		Associate	30

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	9,000,000	6,000,000	6,000,000	6,000,000
Total amount of equity shares (in Rupees)	90,000,000	60,000,000	60,000,000	60,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	9,000,000	6,000,000	6,000,000	6,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	90,000,000	60,000,000	60,000,000	60,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,100,000	4,900,000	4,900,000	4,900,000
Total amount of preference shares (in rupees)	510,000,000	490,000,000	490,000,000	490,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	5,100,000	4,900,000	4,900,000	4,900,000
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	510,000,000	490,000,000	490,000,000	490,000,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				

At the beginning of the year	6,000,000	60,000,000	60,000,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	0
iii. Bonus Issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	6,000,000	60,000,000	60,000,000	
Preference shares				
At the beginning of the year	4,900,000	490,000,000	490,000,000	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0

i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	4,900,000	490,000,000	490,000,000	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		07/08/2018	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

9,439,842

(ii) Net worth of the Company

536,311,685

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	4,120,010	68.67	2,800,000	57.14
10.	Others	0	0	0	0
	Total	4,120,010	68.67	2,800,000	57.14

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,644,781	27.41	0	0
	(ii) Non-resident Indian (NRI)	158	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	211,554	3.53	2,100,000	42.86
10.	Others Hindu Undivided Family an	23,497	0.39	0	0
	Total	1,879,990	31.33	2,100,000	42.86

Total number of shareholders (other than promoters)

1,016

Total number of shareholders (Promoters+Public/
Other than promoters)

1,017

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	1,043	1,016
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	7	1	7	0	0
(i) Non-Independent	1	3	1	3	0	0
(ii) Independent	0	4	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Akhilesh Kumar Singh	00421577	Managing Director	0	
Mr. Prabhakar Karandikar	02142050	Director	0	
Mr. R Sundara Rajan	00498404	Director	8,167	
Mr. Dhruv Mehta	02083226	Director	0	
Mr. Arindom Mukherjee	00174199	Director	0	
Mrs. Jayashree Mahesh	06993492	Director	0	
Mr. Kshiti Ranjan Das	07212449	Director	0	
Mrs. Chandra Iyengar	02821294	Director	0	
Mrs. Chandana Dutt	AHRPD3615J	CFO	0	
Mrs. Reena Yadav	AIPPM9354C	Company Secretary	1	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	07/08/2018	1,034	59	70.12

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/04/2018	8	7	87.5
2	07/08/2018	8	7	87.5
3	26/10/2018	8	8	100
4	28/01/2019	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	27/04/2018	4	4	100
2	Audit Committee	07/08/2018	4	3	75
3	Audit Committee	26/10/2018	4	4	100
4	Audit Committee	28/01/2019	4	4	100
5	Nomination and Remuneration Committee	27/04/2018	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
6	Nomination an	28/01/2019	4	4	100
7	Stakeholders F	27/04/2018	4	3	75
8	Stakeholders F	07/08/2018	4	3	75
9	Stakeholders F	14/09/2018	4	4	100
10	Stakeholders F	26/10/2018	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/08/2019
								(Y/N/NA)
1	Mr. Akhilesh K	4	4	100	0	0	0	Yes
2	Mr. Prabhakar	4	4	100	6	6	100	Yes
3	Mr. R Sundara	4	4	100	0	0	0	No
4	Mr. Dhruv Mel	4	3	75	5	4	80	Yes
5	Mr. Arindom M	4	4	100	11	11	100	No
6	Mrs. Jayashre	4	3	75	11	9	81.82	Yes
7	Mr. Kshiti Ran	4	4	100	6	6	100	Yes
8	Mrs. Chandra	4	4	100	5	5	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Akhilesh Kumar	Managing Direct	2,284,560	0	0	253,440	2,538,000
	Total		2,284,560	0	0	253,440	2,538,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mrs. Chandana Dut	CFO	1,126,000	0	0	52,200	1,178,200
2	Mrs. Reena Yadav	Company Secre	1,595,392	0	0	21,600	1,616,992
	Total		2,721,392	0	0	73,800	2,795,192

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Prabhakar Kara	Director	0	0	0	103,000	103,000
2	Mr. R Sundara Raja	Director	0	0	0	0	0
3	Mr. Dhruv Mehta	Director	0	0	0	64,000	64,000
4	Mr. Arindom Mukhe	Director	0	0	0	108,000	108,000
5	Mrs. Jayashree Mal	Director	0	0	0	81,000	81,000
6	Mr. Kshiti Ranjan Di	Director	0	0	0	103,000	103,000
7	Mrs. Chandra lyeng	Director	0	0	0	86,000	86,000
	Total		0	0	0	545,000	545,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SUHAS S GANPULE

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

5722

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 17 dated 28/01/2019

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

AKHILESH
KUMAR
SINGH Digitally signed by
AKHILESH KUMAR
SINGH
Date: 2019.08.16
16:12:42 +0530

DIN of the director

00421577

To be digitally signed by

REENA
YADAV Digitally signed by
REENA YADAV
Date: 2019.08.16
11:37:52 +0530

- ☒ Company Secretary
☐ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

SHH.pdf MGT-8.pdf SHT.pdf Committee meeting held during FY 2018-1
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Shriram Asset Management Company Limited
List of Shareholders as on March 31, 2019 (for both physical and demat)

Page 1 of 25

LFNO	NAME	FHNAME	ADDR1	TOWNCITY	STATE	PIN	SCRIP/INOS	SHAR/AMOUNT
A01029	POPAT KUMAR H ANIL	HASMUKHLAL	AT & POST SANTHALI	VAYA VASAVAD DIST RA	TA JASADAN	364490	1	500
V01008	DIPAKKUMAR VARSHABEN	D K BALUBHAI	121 KESHAV BAG COLONY	RAMBAG ROAD SABARMAT	AHMEDABAD	380005	1	1000
U01016	TAORI USHA	SURESH TAORI	33 CENTRAL BAZAR ROAD	RAMDASPETH	NAGPUR	440010	1	500
G01004	SETTY KRISHNA G	K GIRISETTY	NO 2089 1 FLR 24TH A CROSS	B S K IIND STAGE	BANGALORE	560070	1	1500
B00002	AGARWAL D B	LATE SHAMILAL	D-15 KALKAJI			110019	1	300
A01017	NAIK NATVERLAL AMIT	NATVERLAL K NAIK	5 YOGESHWAR BHADRAN	NAGAR LANE NO 1 S V	MALAD WEST	400064	1	1000
D01051	DIPTIBEN S SHAH	SHARADCHANDRA B SHAH	207 KOYANA BLDG 2ND FLR NEW	CWADI SLEATER LANE GR	BOMBAY	400007	1	600
S00018	AGARWAL SANJAY	N D AGARWAL				0	1	24500
A01057	PAUL ASHA	RL PAUL	176 SAMACHAR APARTMENT'S	MAYUR VIHAR PHASE I	EXTENSION	110091	1	500
R01111	VARAIYA B RUPAL	BHOGLAL VARAIYA	2 PANDU PADMA VALJI LADHA RD	MULUND W	BOMBAY	400080	1	600
D01043	HARWANI DINESH	H RAI	602 MARIGOLD MAY FLOWER GARISHV	MANDIR ROAD	AMBERNATH E	421501	1	1000
G01020	MEHTA V GIRISH		C/O RAJESH SHAH 22 PARMAR BLC	FLR PARAJPE B SCHAME	HANUMAN RD VILE	400057	1	1000
S01186	S D SOWBHAGYA	D N SHESHADRI	NR POST OFFICE	BHARATHI STREET	SRINGERI	577139	1	500
V01020	MOGRA DEVI VIDYA	MAN LAL MOGRA	167 ROAD 11, ASHOK NAGAR	UDAIPUR	RAJSTHAN	313001	1	500
K00002	SIVAPRAGAASAN K	KRISHNASWAMY	NO.38	SANJIVIROYAN KOLI ST	MADRAS	600021	1	500
M01075	PARVEZ MOHD	MOHD SADIQUE	NAYA NAKASHA	LASHKARI BAGH	NAGPUR	440017	1	500
B01002	MURALIDHARA B	BETTE GOWDA	GURUSADANA VASAVI COLONY	OLD TOWN		577301	1	500
G01017	MEHTA GIRISH	AWANTI LAL	37- SURANA STREET	MALDAS STREET	UDAIPUR (RAJAST	313001	1	500
S01214	MENON SURESH	P P MENON	SAMYAKUL HSG SOC LTD	R NO 2 PLOT NO 38	SEC 1 KOPPAR KHAIRNO	1	100	10
F01003	SUMIYA FATMA	A SATTAR	1/3092 BADEKHAN CHAKLA	NEAR PAKHALIWAD MASJ	SURAT	395001	1	1000
A01095	AMIDEEP S P LTD		1/3092 BADEKHAN CHAKLA	HEMUKALANI CROSS ROA	KANDIVLI WEST	400067	1	1100
R01042	SHAH N RAKESH	NAVINCHANDRA MAFATLA	62 ARIHANT HARIDAS NAGAR	SHIMPOLI RD BORIVLI	BOMBAY	400092	1	500
G00008	VAIDYA GIRIJA				PUNE	0	1	1500
D01034	SANGHVI DILIP	KAMMAL SANGHAVI	SWARUP GANJ	DIST SIROHI	RAJSTHAN	307023	1	1000
R01005	KHATRI RABIA	AHMED KHATRI	C/O DR A I KHATRI 534	SANDHURST BRIDGE BOM	TERRACE 3RD FLR	400007	1	900
P01063	MEHTA K PURVI	K B MEHTA	B/905 SARJAN TOWER 9TH FLOOR	GURUKUL ROAD MEMNAGA	AHMEDABAD	380052	1	500
L00009	LOBO LINUS	GILBERT LOBO	4 SUTRIM SOCIETY	10TH ROAD KHAR	BOMBAY	400052	1	2000
V00003	SUNDAR VANISHYAM	M VELUSWAMI	NO.12 POES ROAD	3RD STREET	MADRAS	600018	1	1000
R00005	SRIDHAR R	A M RAMACHANDRAN	A-1 NAV PARAMANU CHS	W T PATIL MARG	CHEMBUR	400071	1	1000
J01018	JARINABAI ABDULLABHO	ABDULLA BHOY ISMAILJ	C/O I I KHETY & COMPANY	9 ZAKARIA STREET 1ST	CALCUTTA	700073	1	800
R00002	VASUDEVAN R		S/O.V.R.RAMCHANDRA IYER	3 JAYARAMAN AVENUE	SHASTRI NAGAR	600020	1	10
D01059	DEVIDAS R BORKAR		A-13 JAYAS CO OP HSG SOC LTD	J P NAGAR ROAD NO 5	EAST MUMBAI	400063	1	1000
S01036	MADNANI SAPNA	R S BORKAR	1640 S P MUKERJEE MARG	ABOVE SHIV MANDIR	DELHI	110006	1	1000
B01029	JUMANI R BHARTI	SUKHDEV MADNANI	5 VALLABH PARK	SHOPPING CENTRE D CA	SABARMATI AHMED	380019	1	300
S00008	CHANDRA S	R DHANOMAL	LATE RAJA GOPAL MUDA	NAMALWARPET	CHENNAI	600012	1	500
S01141	JAIN T SUMITRA	TARA CHAND	B-61 OM TOWER	GORACAMP SHAHIBAG	AHMEDABAD	380004	1	1000
S01058	MERCHANT R SHABANA	FIRDAUS R MERCHANT	AMIR VILLA 3RD FLR	43 PALI MALA ROAD BA	BOMBAY	400050	1	500
V01051	JAIN KUMAR VINOD	SAJAL LAL JAIN	JAIN NIKET SHYAM METAL LANE	BARA LAL STREET UPPE	JHARKHAND RANCHI	834001	1	1000
V01045	LELE PANDURANG VIJAY	P N LELE	43 UDAY SOCIETY AAREY ROAD	GOREGAON WEST	MUMBAI	400062	1	200
B01034	M SENA REDDY BHEMA	NARSIMHA REDDY	3 101 C CHOUTUPPAL	NALGONDA		508252	1	600
V00009	KUMAR VINIT		501 JOANNA-2	PALI ROAD BANDRA WE	BOMBAY	400050	1	2000
C01026	SHAH R CHANDRAKANT	RATILAL	3A MANGALDAS BLDG 3RD FLR	R NO 458 MANGALDAS R	MUMBAI	400002	1	1000
V01048	JAIN KUMAR VINOD	SAJAN LAL JAIN	JAIN NIKET SHYAM METAL LANE	BARA LAL STREET UPPE	JHARKHAND RANCHI	834001	1	1000

Shriram Asset Management Company Limited

List of Shareholders as on March 31, 2019 (for both physical and demat)

Page 25 of 25

LFNO	NAME	FHNAME	ADDR1	TOWNCITY	STATE	PIN	SCRIPINOS	SHAR AMOUNT
11051151	SANDEEP D BAHETI	DAGDURAM RAMKISAN BA	RAMGIRBABA MARG PATHARDI	AHMEDNAGAR	MAHARASHTRA	414102	1	92
11051160	KAILAS S SOMANI	SHRINIWAS BANSILAL S	GHAR NO 1150 MAIN ROAD	RAJENDRA CLOTH STORE	SANGAMNER	422605	1	75
11051436	SUSHIL D BAHETI	DAGDURAM RAMKISAN BA	RAMGIRBABA MARG PATHARDI	PATHARDI AHMEDNAGAR		414102	1	82
10001518	SHAH KIRTI	JAYANTILAL KAPURCHAN	C/3, H-WING, FLAT NO-81	3RD FLOOR, MAHAVIR N	LANE, KANDIVALI-WEST	400067	1	16
90067222	RHONTON F BATIWALA	FRAMROZE HORMASJI	STATE BANK OF INDIA HILL VIEW S 1ST FLR,FLAT NO 7,GA	HILL VIEW SOC, 1S	GAUTHAN, SANTAWADI	400058	1	10
90109668	JEHANGIR R BATIWALA	ROHINTON FRAMROZE	STATE BANK OF INDIA,		CHENNAI-118	600118	1	700
90810752	N SHANNMUGAM.	NALLIAH	NO.3, THIRUGNANA SAMBANDAR SVIVEKANANDA NAGAR,					6000000

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

Certificate by A Company Secretary In Practice

We have examined the Registers, Records and Books and Papers of Shriram Asset Management Company Limited (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the Financial Year ended on 31st March, 2019. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:

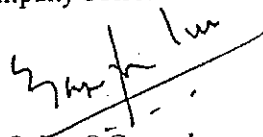
1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
4. Calling/Convening/Holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members/ Security holders.
6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act; - Not Applicable.
7. Contracts/arrangements with related parties as specified in Section 188 of the Act;
8. Transfer or transmission of securities and issue of security certificates in all instances;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - Not Applicable.
10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; - Not Applicable.
11. Signing of Audited Financial Statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof;

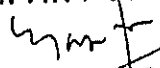


12. Constitution/appointment/re-appointments/retirement/filling-up-casual-vacancies/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment or Reappointment filling-up-casual-vacancies of auditors as per the provisions of Section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; - Not Applicable.
15. Acceptance/ renewal/ repayment of deposits; - Not Applicable.
16. Borrowings from its directors, members, Public Financial Institutions, Banks and others and creation/ modification/ satisfaction of charges in that respect; - Not Applicable.
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company-Not Applicable.

Place: Mumbai
Date: 05th August, 2019

For SG & Associates,
Practicing Company Secretaries


Suhas S. Ganpule
Proprietor
Membership No. 12122
C.P. No.5722

SG & ASSOCIATES
COMPANY SECRETARIES

SUHAS S. GANPULE
PROPRIETOR
C.P. NO.5722

SHRIRAM ASSET MANAGEMENT COMPANY LTD							
Share Transfers for the year ended 31-03-2019							
TREDT	TRF TYPE	NO OF SHARE	AMOUNT	LFNOSL	TRF SNAME	LFNOBUY	TRF BNAME
07-09-2018	Transfer	500	40000	M01045	MANJULABAI JAIN	J0010001	JAYANTILAL C CHOUDHARI
29-09-2018	Transmission	10	0	D00001	D A GADGIL	S0010001	SHEELA DUSHYANT GADGIL
02-11-2018	Transfer	1	10	S01264	SAMBHAJI S PATIL	T0010001	T BHAVANI
23-11-2018	Transfer	700	83000	S01116	SOHANRAJ GHEEMAL	H0010001	HARISHKUMAR JITMAL BHANSALI
07-12-2018	Transfer	500	73025	S00010	S T RAMJI	S0010004	S P RAMJI
07-12-2018	Transfer	2500	250000	S00015	S SRIDHARAN	S0010002	S SRIDHARAN
03-01-2019	Transmission	500	0	P01043	PRAMILA BHATT	P0010001	PANKAJKUMAR DEVENDRAPRASAD BHATT
01-02-2019	Transfer	5140	1028000	M01134	M BHUVANASUNDARI	T0010001	T BHAVANI
01-02-2019	Transfer	900	180000	G01044	GEORGE STEPHEN	T0010001	T BHAVANI
01-02-2019	Transfer	2095	419000	G01044	GEORGE STEPHEN	T0010001	T BHAVANI
01-02-2019	Transfer	5000	1000000	G01044	GEORGE STEPHEN	T0010001	T BHAVANI
15-02-2019	Transmission	1000	0	R01023	RAJESH M SHAH	D01052	ZIL RAJESH SHAH



August 05, 2019

To,
The Registrar of Companies,
Everest 100, Marine Drive,
Mumbai – 400002

Subject: IX) MEETING OF MEMBERS/CLASS OF MEMBERS/ BOARD/
COMMITTEES OF THE BOARD OF DIRECTORS

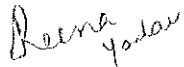
C) COMMITTEE MEETINGS:

Number of Committee Meetings held during the financial year 2018-2019: 11

Sr. No	Type of meeting	Date of Meeting	Total Number of Members as of the Date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	27/04/2018	4	4	100
2	Audit Committee	07/08/2018	4	3	75
3	Audit Committee	26/10/2018	4	4	100
4	Audit Committee	28/01/2019	4	4	100
5	Nomination and Remuneration Committee	27/04/2018	4	4	100
6	Nomination and Remuneration Committee	28/01/2019	4	4	100
7	Stakeholders Relationship Committee	27/04/2018	4	3	75
8	Stakeholders Relationship Committee	07/08/2018	4	3	75
9	Stakeholders Relationship Committee	14/09/2018	4	4	100
10	Stakeholders Relationship Committee	26/10/2018	4	4	100
11	Stakeholders Relationship Committee	28/01/2019	4	4	100

We request you to take the abovementioned information on record.

Thanks and Regards,
For SHRIRAM ASSET MANAGEMENT COMPANY LIMITED


Reena Yadav
Company Secretary

Shriram Asset Management Company Limited

SEBI Registration No. MF/017/94/4, CIN : L65991MH1994PLC079874

Mumbai Office : 208, Shiv Chambers, 'B' Wing, 2nd Floor, Sector 11, C. B. D. Belapur, Navi Mumbai-400 614

Phone : +91-22-27579301/7556, Fax : +91-22-27566634

Regd. Office : Wockhardt Towers, 2nd Floor, East Wing, C-2, G Block, Bandra Kurla Complex, Bandra (East), Mumbai-400 051

Admin. Head Office : CK-6, 2nd Floor, Sector-II, Salt Lake City, Kolkata-700 091, Phone : +91-33-23373012, Fax : +91-33-23373014

Email : srml@shriramamc.com, Website : www.shriramamc.com